Forbidden Configurations A shattered history

> Richard Anstee UBC Vancouver

Western Washington University, Bellingham, Nov 22, 2014

通 とう ほうとう ほうど

I have had the good fortune of working with a number of coauthors in this area: Farzin Barekat, Laura Dunwoody, Ron Ferguson, Balin Fleming, Zoltan Füredi, Jerry Griggs, Nima Kamoosi, Steven Karp, Peter Keevash, Christina Koch, Linyuan (Lincoln) Lu, Connor Meehan, U.S.R. Murty, Miguel Raggi, Lajos Ronyai, and Attila Sali. **Definition** We say that a matrix A is *simple* if it is a (0,1)-matrix with no repeated columns.

・ 回 ト ・ ヨ ト ・ ヨ ト

Definition We say that a matrix A is *simple* if it is a (0,1)-matrix with no repeated columns.

i.e. if A is m-rowed then A is the incidence matrix of some family A of subsets of $[m] = \{1, 2, ..., m\}$.

$$A = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 \end{bmatrix}$$

 $\mathcal{A} = \left\{ \emptyset, \{2\}, \{3\}, \{1,3\}, \{1,2,3\} \right\}$

・ 同 ト ・ ヨ ト ・ ヨ ト ……

Definition Given a matrix F, we say that A has F as a *configuration* written $F \prec A$ if there is a submatrix of A which is a row and column permutation of F.

$$F = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \end{bmatrix} \quad \prec \quad \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix} = A$$

(四) (日) (日)

Definition We define ||A|| to be the number of columns in *A*. Avoid $(m, F) = \{A : A \text{ is } m \text{-rowed simple, } F \not\prec A\}$

▲圖 ▶ ▲ 国 ▶ ▲ 国 ▶

Definition We define ||A|| to be the number of columns in *A*. Avoid $(m, F) = \{A : A \text{ is } m\text{-rowed simple}, F \neq A\}$

 $forb(m, F) = max_A \{ ||A|| : A \in Avoid(m, F) \}$

(周) (王) (王)

Definition We define ||A|| to be the number of columns in *A*. Avoid $(m, F) = \{A : A \text{ is } m\text{-rowed simple}, F \neq A\}$

$$\mathsf{forb}(m, F) = \mathsf{max}_A\{\|A\| : A \in \mathsf{Avoid}(m, F)\}$$

$$forb(m, \left[egin{array}{cc} 1 & 0 \\ 0 & 1 \end{array}
ight]) = m+1.$$

▲圖 ▶ ▲ 国 ▶ ▲ 国 ▶

Definition Let K_k denote the $k \times 2^k$ simple matrix of all possible columns on k rows.

Theorem (Sauer 72, Perles and Shelah 72, Vapnik and Chervonenkis 71)

$$forb(m, K_k) = \binom{m}{k-1} + \binom{m}{k-2} + \cdots + \binom{m}{0} = \Theta(m^{k-1})$$

・ 同 ト ・ ヨ ト ・ ヨ ト

Definition Let K_k denote the $k \times 2^k$ simple matrix of all possible columns on k rows.

Theorem (Sauer 72, Perles and Shelah 72, Vapnik and Chervonenkis 71)

$$forb(m, K_k) = \binom{m}{k-1} + \binom{m}{k-2} + \cdots + \binom{m}{0} = \Theta(m^{k-1})$$

Corollary Let *F* be a $k \times \ell$ simple matrix. Then forb $(m, F) = O(m^{k-1})$ $(F \prec K_k)$

・ 同 ト ・ ヨ ト ・ ヨ ト

We say a set of rows S is shattered by A if $K_{|S|} \prec A|_S$. **Definition** VC-dimension(A) = max{ $k : K_k \prec A$ }

(四) (日) (日)

We say a set of rows *S* is shattered by *A* if $K_{|S|} \prec A|_S$. **Definition** VC-dimension(*A*)= max{*k* : $K_k \prec A$ }

One well used result about VC-dimension involves the following. Let $S \subset [m]$ be a transversal of A if each column of A has at least one 1 in a row of S. Seeking a minimum transversal, we let \mathbf{x} be the (0,1)-incidence vector of S, and compute:

$$\tau = \min \left\{ \mathbf{1} \cdot \mathbf{x} \text{ subject to } A^T \mathbf{x} \geq \mathbf{1}, \quad \mathbf{x} \in \{0, 1\}^m \right\}.$$

伺い イヨト イヨト

We say a set of rows *S* is shattered by *A* if $K_{|S|} \prec A|_S$. **Definition** VC-dimension(*A*)= max{*k* : $K_k \prec A$ }

One well used result about VC-dimension involves the following. Let $S \subset [m]$ be a transversal of A if each column of A has at least one 1 in a row of S. Seeking a minimum transversal, we let \mathbf{x} be the (0,1)-incidence vector of S, and compute:

$$\tau = \min \left\{ \mathbf{1} \cdot \mathbf{x} \text{ subject to } A^T \mathbf{x} \ge \mathbf{1}, \quad \mathbf{x} \in \{0, 1\}^m \right\}.$$

The natural fractional problem is:

$$au^* = \min\left\{ \mathbf{1} \cdot \mathbf{x} \text{ subject to } A^T \mathbf{x} \geq \mathbf{1}, \quad \mathbf{x} \geq \mathbf{0}
ight\}.$$

Theorem (Haussler and Welzl 87) If A has VC-dimension k then $\tau^* \leq \tau \leq 16k\tau^* \log(k\tau^*)$.

・ 同 ト ・ ヨ ト ・ ヨ ト …

e.g.

 $\mathit{sh}(A) = \{ \emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\} \}$

→ □ → → 三 → → 三 → つくで

e.g.

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$

→ □ → → 三 → → 三 → つくで

e.g.

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$

→ □ → → 三 → → 三 → へへで

e.g.

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ \hline 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$

| ◆ □ ▶ ◆ 三 ▶ → 三 = → ○ < ○

e.g.

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$

→ □ → → 三 → → 三 → へへで

e.g.

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$

→ □ → → 三 → → 三 → へへで

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$

→ 同 → → 目 → → 目 → → 目

$$A = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 0 \end{bmatrix}$$

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$

|▲□ ▶ ▲ 臣 ▶ ▲ 臣 ▶ ○ 臣 ○ の Q ()

e.g.

 $sh(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{4\}, \{2,3\}, \{2,4\}\}$ So $|sh(A)| = 7 \ge 6 = ||A||$

◆□▶ ◆□▶ ◆目▶ ◆目▶ 目 のQ@

Let $sh(A) = \{S \subseteq [m] : A \text{ shatters } S\}$ **Theorem** (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose A as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

Let $sh(A) = \{S \subseteq [m] : A \text{ shatters } S\}$ **Theorem** (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose A as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

 $||A|| = ||A_0|| + ||A_1||.$

米部 米油 米油 米油 とう

Let $sh(A) = \{S \subseteq [m] : A \text{ shatters } S\}$ **Theorem** (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose A as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

$$\|A\| = \|A_0\| + \|A_1\|.$$

By induction $|sh(A_0)| \ge \|A_0\|$ and $|sh(A_1)| \ge \|A_1\|.$

Theorem (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose *A* as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

$$\begin{split} \|A\| &= \|A_0\| + \|A_1\|.\\ \text{By induction } |sh(A_0)| \geq \|A_0\| \text{ and } |sh(A_1)| \geq \|A_1\|.\\ |sh(A_0) \cup sh(A_1)| &= |sh(A_0)| + |sh(A_1)| - |sh(A_0) \cap sh(A_1)| \end{split}$$

Theorem (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose *A* as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

$$\begin{split} \|A\| &= \|A_0\| + \|A_1\|.\\ \text{By induction } |sh(A_0)| \geq \|A_0\| \text{ and } |sh(A_1)| \geq \|A_1\|.\\ |sh(A_0) \cup sh(A_1)| &= |sh(A_0)| + |sh(A_1)| - |sh(A_0) \cap sh(A_1)|\\ \text{If } S \in sh(A_0) \cap sh(A_1), \text{ then } 1 \cup S \in sh(A). \end{split}$$

Theorem (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose *A* as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

$$\begin{split} \|A\| &= \|A_0\| + \|A_1\|.\\ \text{By induction } |sh(A_0)| \geq \|A_0\| \text{ and } |sh(A_1)| \geq \|A_1\|.\\ |sh(A_0) \cup sh(A_1)| &= |sh(A_0)| + |sh(A_1)| - |sh(A_0) \cap sh(A_1)|\\ \text{If } S \in sh(A_0) \cap sh(A_1), \text{ then } 1 \cup S \in sh(A).\\ \text{So } (sh(A_0) \cup sh(A_1)) \cup (1 + (sh(A_0) \cap sh(A_1))) \subseteq sh(A). \end{split}$$

Theorem (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose *A* as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

$$\begin{split} \|A\| &= \|A_0\| + \|A_1\|.\\ \text{By induction } |sh(A_0)| \geq \|A_0\| \text{ and } |sh(A_1)| \geq \|A_1\|.\\ |sh(A_0) \cup sh(A_1)| &= |sh(A_0)| + |sh(A_1)| - |sh(A_0) \cap sh(A_1)|\\ \text{If } S \in sh(A_0) \cap sh(A_1), \text{ then } 1 \cup S \in sh(A).\\ \text{So } (sh(A_0) \cup sh(A_1)) \cup (1 + (sh(A_0) \cap sh(A_1))) \subseteq sh(A).\\ |sh(A)| \geq |sh(A_0)| + |sh(A_1)|. \end{split}$$

Theorem (Pajor 85) $|sh(A)| \ge ||A||$. **Proof:** Decompose *A* as follows:

$$A = \left[\begin{array}{ccc} 0 \ 0 \ \cdots \ 0 & 1 \ 1 \ \cdots \ 1 \\ A_0 & A_1 \end{array} \right]$$

$$\begin{split} \|A\| &= \|A_0\| + \|A_1\|.\\ \text{By induction } |sh(A_0)| \geq \|A_0\| \text{ and } |sh(A_1)| \geq \|A_1\|.\\ |sh(A_0) \cup sh(A_1)| &= |sh(A_0)| + |sh(A_1)| - |sh(A_0) \cap sh(A_1)|\\ \text{If } S \in sh(A_0) \cap sh(A_1), \text{ then } 1 \cup S \in sh(A).\\ \text{So } (sh(A_0) \cup sh(A_1)) \cup (1 + (sh(A_0) \cap sh(A_1))) \subseteq sh(A).\\ |sh(A)| \geq |sh(A_0)| + |sh(A_1)|.\\ \text{Hence } |sh(A)| \geq \|A\|. \end{split}$$

Remark If A shatters S then A shatters any subset of S. **Theorem** (Sauer 72, Perles and Shelah 72, Vapnik and Chervonenkis 71)

$$\operatorname{forb}(m, K_k) = \binom{m}{k-1} + \binom{m}{k-2} + \dots + \binom{m}{0}$$

Proof: Let $A \in Avoid(m, K_k)$.

・ 同 ト ・ ヨ ト ・ ヨ ト

æ

Remark If A shatters S then A shatters any subset of S. **Theorem** (Sauer 72, Perles and Shelah 72, Vapnik and Chervonenkis 71)

$$\mathsf{forb}(m, K_k) = \binom{m}{k-1} + \binom{m}{k-2} + \dots + \binom{m}{0}$$

Proof: Let $A \in Avoid(m, K_k)$.

Then sh(A) can only contain sets of size k - 1 or smaller. Then

$$\binom{m}{k-1} + \binom{m}{k-2} + \cdots + \binom{m}{0} \ge |sh(A)| \ge ||A||.$$

・ 同 ト ・ ヨ ト ・ ヨ ト

Critical Substructures

Definition A *critical substructure* of a configuration F is a minimal configuration $F' \prec F$ such that

forb(m, F') = forb(m, F).

When $F' \prec F'' \prec F$, we deduce that

forb(m, F') = forb(m, F'') = forb(m, F).

Let $\mathbf{1}_k \mathbf{0}_\ell$ denote the $(k + \ell) \times 1$ column of k 1's on top of ℓ 0's. Let K_k^ℓ denote the $k \times \binom{k}{\ell}$ simple matrix of all columns of sum ℓ .



Miguel Raggi

Steven Karp

Richard AnsteeUBC Vancouver

Forbidden Configurations A shattered history

Critical substructures are $\mathbf{1}_4$, K_4^3 , K_4^2 , K_4^1 , $\mathbf{0}_4$, $2 \cdot \mathbf{1}_3$, $2 \cdot \mathbf{0}_3$. Note that forb $(m, \mathbf{1}_4) = \text{forb}(m, K_4^3) = \text{forb}(m, K_4^2) = \text{forb}(m, K_4^1)$ = forb $(m, \mathbf{0}_4) = \text{forb}(m, 2 \cdot \mathbf{1}_3) = \text{forb}(m, 2 \cdot \mathbf{0}_3)$.

伺 とう ヨン うちょう

Critical substructures are $\mathbf{1}_4$, K_4^3 , K_4^2 , K_4^1 , $\mathbf{0}_4$, $2 \cdot \mathbf{1}_3$, $2 \cdot \mathbf{0}_3$. Note that forb $(m, \mathbf{1}_4) = \text{forb}(m, K_4^3) = \text{forb}(m, K_4^2) = \text{forb}(m, K_4^1)$ = forb $(m, \mathbf{0}_4) = \text{forb}(m, 2 \cdot \mathbf{1}_3) = \text{forb}(m, 2 \cdot \mathbf{0}_3)$.

向下 イヨト イヨト

Critical substructures are $\mathbf{1}_4$, K_4^3 , K_4^2 , K_4^1 , $\mathbf{0}_4$, $2 \cdot \mathbf{1}_3$, $2 \cdot \mathbf{0}_3$. Note that forb $(m, \mathbf{1}_4) = \text{forb}(m, K_4^3) = \text{forb}(m, K_4^2) = \text{forb}(m, K_4^1)$ = forb $(m, \mathbf{0}_4) = \text{forb}(m, 2 \cdot \mathbf{1}_3) = \text{forb}(m, 2 \cdot \mathbf{0}_3)$.

伺 とう ヨン うちょう
$$\mathcal{K}_{4} = \begin{bmatrix} 1 & 1 & 1 & 1 & 0 \\ 1 & 1 & 1 & 0 & 1 \\ 1 & 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

伺 とう ヨン うちょう

伺 とう ヨン うちょう

向下 イヨト イヨト

伺 ト イヨト イヨト

The same is conjectured to be true for K_k for $k \ge 5$.

向下 イヨト イヨト

We can extend K_4 and yet have the same bound $[K_4|\mathbf{1}_2\mathbf{0}_2] =$



Theorem (A., Meehan) For $m \ge 5$, we have forb $(m, [K_4|\mathbf{1}_2\mathbf{0}_2]) = \text{forb}(m, K_4)$.

向下 イヨト イヨト

We can extend K_4 and yet have the same bound

 $[K_4|\mathbf{1}_2\mathbf{0}_2] =$

1	1	1	1	0	1	1	1	0	0	0	1	0	0	0	0	1]	
1	1	1	0	1	1	0	0	1	1	0	0	1	0	0	0	1	
1	1	0	1	1	0	1	0	1	0	1	0	0	1	0	0	0	
1	0	1	1	1	0	0	1	0	1	1	0	0	0	1	0	0	

Theorem (A., Meehan) For $m \ge 5$, we have forb $(m, [K_4|\mathbf{1}_2\mathbf{0}_2]) = \text{forb}(m, K_4)$.

We expect in fact that we could add many copies of the column $\mathbf{1}_2\mathbf{0}_2$ and obtain the same bound, albeit for larger values of m.



Connor Meehan Richard AnsteeUBC Vancouver

Forbidden Configurations A shattered history

The building blocks of our product constructions are I, I^c and T:

$$I_4 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}, \quad I_4^c = \begin{bmatrix} 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 1 & 1 & 0 \end{bmatrix}, \quad T_4 = \begin{bmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

回 と く ヨ と く ヨ と

æ

Definition Given an $m_1 \times n_1$ matrix A and a $m_2 \times n_2$ matrix B we define the product $A \times B$ as the $(m_1 + m_2) \times (n_1 n_2)$ matrix consisting of all $n_1 n_2$ possible columns formed from placing a column of A on top of a column of B. If A, B are simple, then $A \times B$ is simple. (A, Griggs, Sali 97)

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ \hline 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

Given p simple matrices A_1, A_2, \ldots, A_p , each of size $m/p \times m/p$, the p-fold product $A_1 \times A_2 \times \cdots \times A_p$ is a simple matrix of size $m \times (m^p/p^p)$ i.e. $\Theta(m^p)$ columns.

イロト イポト イヨト イヨト

Definition Given an $m_1 \times n_1$ matrix A and a $m_2 \times n_2$ matrix B we define the product $A \times B$ as the $(m_1 + m_2) \times (n_1 n_2)$ matrix consisting of all $n_1 n_2$ possible columns formed from placing a column of A on top of a column of B. If A, B are simple, then $A \times B$ is simple. (A, Griggs, Sali 97)

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} 1 & 1 & 1 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ \hline 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 1 & 1 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}$$

Given p simple matrices A_1, A_2, \ldots, A_p , each of size $m/p \times m/p$, the p-fold product $A_1 \times A_2 \times \cdots \times A_p$ is a simple matrix of size $m \times (m^p/p^p)$ i.e. $\Theta(m^p)$ columns.

イロト イポト イヨト イヨト

The Conjecture

Definition Let x(F) denote the largest p such that there is a p-fold product which does not contain F as a configuration where the p-fold product is $A_1 \times A_2 \times \cdots \times A_p$ where each $A_i \in \{I_{m/p}, I_{m/p}^c, T_{m/p}\}.$

伺 とう ヨン うちょう

The Conjecture

Definition Let x(F) denote the largest p such that there is a p-fold product which does not contain F as a configuration where the p-fold product is $A_1 \times A_2 \times \cdots \times A_p$ where each $A_i \in \{I_{m/p}, I_{m/p}^c, T_{m/p}\}.$

Conjecture (A, Sali 05) forb(m, F) is $\Theta(m^{\times(F)})$.

In other words, we predict our product constructions with the three building blocks $\{I, I^c, T\}$ determine the asymptotically best constructions. The conjecture has been verified in many cases.

・ 同 ト ・ ヨ ト ・ ヨ ト …

The Conjecture

Definition Let x(F) denote the largest p such that there is a p-fold product which does not contain F as a configuration where the p-fold product is $A_1 \times A_2 \times \cdots \times A_p$ where each $A_i \in \{I_{m/p}, I_{m/p}^c, T_{m/p}\}.$

Conjecture (A, Sali 05) forb(m, F) is $\Theta(m^{\times(F)})$.

In other words, we predict our product constructions with the three building blocks $\{I, I^c, T\}$ determine the asymptotically best constructions. The conjecture has been verified in many cases.



Attila Sali

・ 同 ト ・ ヨ ト ・ ヨ ト

An Unavoidable Forbidden Family

Theorem (Balogh and Bollobás 05) Let k be given. Then

forb $(m, \{I_k, I_k^c, T_k\}) \le 2^{2^k}$

・ 同 ト ・ ヨ ト ・ ヨ ト

æ

Theorem (Balogh and Bollobás 05) Let k be given. Then

forb $(m, \{I_k, I_k^c, T_k\}) \le 2^{2^k}$

We note that there is no obvious product construction so this is consistent with the conjecture. It has the spirit of Ramsey Theory.

向下 イヨト イヨト

Theorem (Balogh and Bollobás 05) Let k be given. Then

forb $(m, \{I_k, I_k^c, T_k\}) \le 2^{2^k}$

We note that there is no obvious product construction so this is consistent with the conjecture. It has the spirit of Ramsey Theory.

Theorem (A., Lu 14) Let k be given. Then there is a constant c

 $forb(m, \{I_k, I_k^c, T_k\}) \le 2^{ck^2}$

(日本)(日本)(日本)

Theorem (Balogh and Bollobás 05) Let k be given. Then

forb $(m, \{I_k, I_k^c, T_k\}) \le 2^{2^k}$

We note that there is no obvious product construction so this is consistent with the conjecture. It has the spirit of Ramsey Theory.

Theorem (A., Lu 14) Let k be given. Then there is a constant c

 $forb(m, \{I_k, I_k^c, T_k\}) \le 2^{ck^2}$

The construction taking all columns of column sum at most k-1 that arise from the k-1-fold product $T_{k-1} \times T_{k-1} \times \cdots \times T_{k-1}$ yields forb $(m, \{I_k, I_k^c, T_k\}) \ge \binom{2k-2}{k-1} \approx 2^{2k}$

The proof uses lots of induction and multicoloured Ramsey numbers: $R(k_1, k_2, ..., k_\ell)$ is the smallest value of n such than any colouring of the edges of K_n with ℓ colours $1, 2, ..., \ell$ will have some colour i and a clique of k_i vertices with all edges of colour i. These numbers are readily bounded by multinomial coefficients:

$$R(k_1, k_2, \dots, k_\ell) \le \begin{pmatrix} \sum_{i=1}^\ell k_i \\ k_1 & k_2 & k_3 \cdots & k_\ell \end{pmatrix}$$
$$R(k_1, k_2, \dots, k_\ell) \le 2^{k_1 + k_2 + \dots + k_\ell}$$

Our original proof had something like forb $(m\{, I_k, I_k^c, T_k\}) < R(R(k, k), R(k, k))$ yielding a doubly exponential bound.



Linyuan (Lincoln) Lu

Richard AnsteeUBC Vancouver

Forbidden Configurations A shattered history

As part of our proof we wish to show that we cannot have a $u \times 2u$ large (0,1)-matrix of the form

0	1	*	*	*	*	*	*	*	*	*	*
а	а	0	1	*	*	*	*	*	*	*	*
b	b	С	С	0	1	*	*	*	*	*	*
d	d	е	е	f	f	0	1	*	*	*	*
g	g	h	h	i	i	j	j	0	1	*	*
k	k	1	1	т	т	n	n	0	0	0	1

One can interpret the entries of the matrix as 1×2 blocks yielding a $u \times u$ matrix with the blocks below the diagonal either $0 \ 0$ or $1 \ 1$ with blocks on the diagonal $0 \ 1$ and arbitrary (0,1)-blocks above the diagonal.

We consider a colouring of the complete graph K_u with edge i, j getting a colour based on the entries in the block j, i and the block i, j.

We consider a colouring of the complete graph K_u with edge i, j getting a colour based on the entries in the block j, i and the block i, j.

There are 6 colours to consider

$$\left[\begin{array}{cc} 0 & 0 \\ 0 & 0 \end{array}\right], \left[\begin{array}{cc} 1 & * \\ 0 & 0 \end{array}\right], \left[\begin{array}{cc} * & 1 \\ 0 & 0 \end{array}\right], \left[\begin{array}{cc} 1 & 1 \\ 1 & 1 \end{array}\right], \left[\begin{array}{cc} 0 & * \\ 1 & 1 \end{array}\right], \left[\begin{array}{cc} * & 0 \\ 1 & 1 \end{array}\right]$$

We are able to show that u < R(k, k + 1, k, k, k + 1, k) and so we get a singly exponential bound on $u \le 2^{6k+3}$. The proof has more to do than this but this is a critical step.

向下 イヨト イヨト

We say that the edge i, j is colour $\begin{bmatrix} 1 & * \\ 0 & 0 \end{bmatrix}$ if we have 00 in entry (i, i) and 1 * in entry (i, j): *i j i* 01 1* ·.. j 00 01 Now consider a clique of size k + 1 of colour $\begin{vmatrix} 1 & * \\ 0 & 0 \end{vmatrix}$:

→ ∃ →

We say that the edge i, j is colour $\begin{bmatrix} 1 & * \\ 0 & 0 \end{bmatrix}$ if we have 00 in entry (j, i) and 1 * in entry (i, j): *i* 01 *J** ·.. *i* **00** 01 Now consider a clique of size k + 1 of colour $\begin{vmatrix} 1 & * \\ 0 & 0 \end{vmatrix}$:





We say that the edge i, j is colour $\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$ if we have 0.0 in entry (i, i) and 00 in entry (i, j): *i j i* 01 00 ·.. j 00 01 Now consider a clique of size k of colour $\begin{vmatrix} 0 & 0 \\ 0 & 0 \end{vmatrix}$:



Let
$$s \cdot F$$
 denote $[F|F|\cdots|F]$.

Theorem (A, Füredi 86) Let $s \ge 2$ be given. Then forb $(m, s \cdot K_k)$ is $\Theta(m^k)$.

Theorem (A, Sali 14) Let α be given. forb $(m, m^{\alpha} \cdot K_k)$ is $\Theta(m^{k+\alpha})$

Note that we are having s grow with m. Our forbidden configuration is not fixed but depends on m.

・ 同 ト ・ ヨ ト ・ ヨ ト …

Theorem forb $(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) = \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}.$ Proof: We note that $[K_m^0 K_m^1 K_m^2 K_m^3] \in \text{Avoid}(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}).$ Thus forb $(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) \ge \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}.$ (note that each pair of rows of has $(m-1) \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}$)

We can argue, using the pigeonhole argument,

$$\operatorname{forb}(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) \leq \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \frac{m-2}{3}\binom{m}{2}$$

Theorem forb $(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) = \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}$. **Proof:** We note that $[K_m^0 K_m^1 K_m^2 K_m^3] \in \text{Avoid}(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix})$. Thus forb $(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) \ge \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}$. (note that each pair of rows of has $(m-1) \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}$) We can argue, using the pigeonhole argument,

$$\operatorname{forb}(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) \leq \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}$$

向下 イヨト イヨト

Theorem forb $(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) = \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}$. **Proof:** We note that $[K_m^0 K_m^1 K_m^2 K_m^3] \in \text{Avoid}(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix})$. Thus forb $(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) \ge \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}$. (note that each pair of rows of has $(m-1) \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}$)

We can argue, using the pigeonhole argument,

$$\operatorname{forb}(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}) \leq \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3}$$

Thus forb $(m, m \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix})$ is $\Theta(m^3)$. Can we deduce the growth of forb $(m, m^{\alpha} \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix})$?

Theorem

forb
$$(m, (m+\binom{m-2}{2})) \cdot \begin{bmatrix} 1\\1 \end{bmatrix}) = \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \binom{m}{3} + \binom{m}{4}.$$

Note
$$[\mathcal{K}_m^0\mathcal{K}_m^1\mathcal{K}_m^2\mathcal{K}_m^3\mathcal{K}_m^4] \in \operatorname{Avoid}(m, (m + \binom{m-2}{2})) \cdot \begin{bmatrix} 1\\1 \end{bmatrix}).$$

◆□> ◆□> ◆臣> ◆臣> 臣 の�?

Definition Given integers $k \leq m$, let $\binom{[m]}{k}$ denote all k- subsets of [m].

Definition Given parameters t, m, k, λ , a t- (m, k, λ) design \mathcal{D} is a multiset of subsets in $\binom{[m]}{k}$ such that for each $S \in \binom{[m]}{t}$ there are exactly λ blocks $B \in \mathcal{D}$ containing S.

Definition A t- (m, k, λ) design \mathcal{D} is simple if \mathcal{D} is a set (i.e. no repeated blocks).

伺 とう ヨン うちょう

If we have a t- (m, k, λ) simple design \mathcal{D} , then we can form a matrix M as the element-block incidence matrix associated with \mathcal{D} and we deduce that

$$\|M\| = \left(\lambda\binom{m}{k}/\binom{k}{t}
ight)$$
 and $M \in \operatorname{Avoid}(m, (\lambda + 1) \cdot \mathbf{1}_t)$

・日・ ・ ヨ・ ・ ヨ・

If we have a t- (m, k, λ) simple design \mathcal{D} , then we can form a matrix M as the element-block incidence matrix associated with \mathcal{D} and we deduce that

$$\|M\| = \left(\lambda {m \choose k}/{k \choose t}\right)$$
 and $M \in \operatorname{Avoid}(m, (\lambda + 1) \cdot \mathbf{1}_t)$

M has all columns of sum k. We can extend M:

$$A = [K_m^0 K_m^1 K_m^2 \cdots K_m^{k-1} M]$$

If we let $\mu = \binom{m-t}{0} + \binom{m-t}{1} + \cdots \binom{m-t}{k-1-t} + \lambda + 1$, then $A \in \operatorname{Avoid}(m, \mu \cdot \mathbf{1}_t)$

We can deduce that

$$\operatorname{forb}(m,\mu\cdot\mathbf{1}_t) = \binom{m}{0} + \binom{m}{1} + \binom{m}{2} + \dots + \binom{m}{k-1} + \lambda\binom{m}{k} / \binom{k}{t}$$

向下 イヨト イヨト

Theorem (Keevash 14) Let $1/m \ll \theta \ll 1/k \le 1/(t+1)$ and $\theta \ll 1$. Suppose that $\binom{k-i}{t-i}$ divides $\binom{m-i}{t-i}$ for $0 \le i \le r-1$. Then there exists a $t-(m,k,\lambda)$ simple design for $\lambda \le \theta m^{k-t}$.

Corollary (Weak Packing Idea) forb $(m, m^{\alpha} \cdot \mathbf{1}_k)$ is $\Theta(m^{k+\alpha})$.

For our purposes we don't care about equality but merely asymptotics. We use the Keevash result to establish lower bounds. His result is the first to establish this (of course his results do much more!).

・ 同 ト ・ ヨ ト ・ ヨ ト …

Using the shifting idea, we have

$$forb(m, s \cdot K_k) = forb(m, s \cdot \mathbf{1}_k)$$

And this establishes the result:

Theorem (A., Sali 14) Let α be given. forb $(m, m^{\alpha} \cdot K_k)$ is $\Theta(m^{k+\alpha})$

向下 イヨト イヨト

æ
Lemma Let *F* be a simple matrix and let s > 1 be given. forb $(m, s \cdot F) \leq \sum_{i=1}^{m-1} (s-1) \cdot \text{forb}(m-i, F)$

Proof: We use the induction idea of A. and Lu 13. The idea is to temporarily allow the matrices to be non-simple in a restricted way

・ 「 ト ・ ヨ ト ・ ヨ ト ・

Let
$$F = \begin{bmatrix} 1 & 1 \\ 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{bmatrix}$$

We have forb(m, F) = 4m, i.e. forb(m, F) is O(m).

Theorem Let $\alpha > 0$ be given. Using the Weak Packing idea, forb $(m, m^{\alpha} \cdot F)$ is $\Theta(m^{2+\alpha})$.

Proof:

forb $(m, m^{\alpha} \cdot F) \leq \sum_{i=1}^{m-1} m^{\alpha} \cdot \text{forb}(m-i, F) = m^{\alpha} \sum_{i=1}^{m-1} 4(m-i).$ Now $\begin{bmatrix} 1 \\ 1 \end{bmatrix} \prec F$ and so $m^{\alpha} \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix} \prec m^{\alpha} \cdot F$ from which we have forb $(m, m^{\alpha} \cdot F) \geq \text{forb}(m, m^{\alpha} \cdot \begin{bmatrix} 1 \\ 1 \end{bmatrix}).$

高 とう モン・ く ヨ と

Let
$$F = \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{bmatrix}$$

Theorem (Frankl, Füredi, Pach 87) forb $(m, F) = \binom{m}{2} + 2m - 1$ i.e. forb(m, F) is $O(m^2)$.

Theorem (A. and Lu 13) Let s be given. Then $forb(m, s \cdot F)$ is $\Theta(m^2)$.

Conjecture forb $(m, m^{\alpha} \cdot F)$ is $\Theta(m^{2+\alpha})$.

We can only prove that forb $(m, m^{\alpha} \cdot F)$ is $O(m^{3+\alpha})$.

3

Thanks to Rob Beezer, Amites Sarkar and Nancy Neudauer for the invite to the 2014 Potlatch.

・ロト ・回ト ・ヨト ・ヨト

3