

# MATH 223 — Practice Midterm 1 — 45 minutes

4th October 2024

- The test consists of 8 pages and 5 questions worth a total of 0 marks.
- This is a closed-book examination. **None of the following are allowed:** documents, cheat sheets or electronic devices of any kind (including calculators, phones, smart watches, etc.)
- No work on this page will be marked.
- Fill in the information below before turning to the questions.

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*Please do not write on this page — it will not be marked.*

## **Additional instructions**

- Please use the spaces indicated.
- If you require extra paper then put up your hand and ask your instructor.
  - You must put your name and student number on any extra pages.
  - You must indicate the test-number and question-number.
  - Please do this **on both sides** of any extra pages.
- Please do not dismember your test. You must submit all pages.

## **Cheat sheet**

(you will have the same cheat sheet on the midterm) These are the most important definitions that we encountered: ( $V$  is a vector space over a field  $\mathbb{F}$ ).

- A list  $\vec{v}_1, \dots, v_m$  is **linearly independent** if

$$a_1\vec{v}_1 + \dots + a_m\vec{v}_m = \vec{0}$$

has only the trivial solution  $a_1 = \dots = a_m = 0$ .

- The **span** of a list  $\vec{v}_1, \dots, v_m$  is the set

$$\{a_1\vec{v}_1 + \dots + a_m\vec{v}_m \in V \mid a_i \in \mathbb{F}\}$$

- A **basis** of a vector space is a linearly independent spanning list.
- The **dimension** of a vector space is the number of elements in a basis.

1. Find all values of  $a, x \in \mathbb{R}$  such that

$$\begin{pmatrix} a \\ 2 \\ 1 \end{pmatrix} \in \text{Span} \left( \begin{pmatrix} 1 \\ -1 \\ 3 \end{pmatrix}, \begin{pmatrix} 1 \\ x \\ 3 \end{pmatrix} \right)$$

Note that the statement is equivalent to the statement that  $\begin{pmatrix} a \\ 2 \\ 1 \end{pmatrix}$  is a LC of  $\begin{pmatrix} 1 \\ -1 \\ 3 \end{pmatrix}, \begin{pmatrix} 1 \\ x \\ 3 \end{pmatrix}$ . This is in turn equivalent to the system

$$\left( \begin{array}{cc|c} 1 & 1 & a \\ -1 & x & 2 \\ 3 & 3 & 1 \end{array} \right)$$

being consistent. We row reduce to obtain

$$\left( \begin{array}{cc|c} 1 & 1 & a \\ 0 & x+1 & a+2 \\ 0 & 0 & 1-3a \end{array} \right)$$

From here we see that the system is inconsistent unless  $1-3a=0$ , or,  $a=\frac{1}{3}$ . Then our matrix becomes

$$\left( \begin{array}{cc|c} 1 & 1 & 1/3 \\ 0 & x+1 & 7/3 \\ 0 & 0 & 0 \end{array} \right)$$

For this system to be consistent, the second row should have a pivot, which is equivalent to  $x \neq -1$ . So

$$\begin{pmatrix} a \\ 2 \\ 1 \end{pmatrix} \in \text{Span} \left( \begin{pmatrix} 1 \\ -1 \\ 3 \end{pmatrix}, \begin{pmatrix} 1 \\ x \\ 3 \end{pmatrix} \right)$$

if and only if  $a = \frac{1}{3}$  and  $x \neq -1$ .

2. Let  $V$  be a vector space over a field  $\mathbb{F}$ . Prove that  $U \subseteq V$  is a subspace if and only if the following two conditions hold:

- $\vec{0} \in U$ ,
- For  $\lambda \in \mathbb{F}, \vec{u}, \vec{v} \in U$ , we have  $\lambda\vec{u} + \vec{v} \in U$ .

Recall that  $U$  is a subspace if and only if

1.  $\vec{0} \in U$ ,
2. For  $\vec{u}, \vec{v} \in U$ , we have  $\vec{u} + \vec{v} \in U$ ,
3. For  $\vec{u} \in U, \lambda \in \mathbb{F}$ , we have  $\lambda\vec{u} \in U$ .

Note that  $\vec{0} \in U$  is in both sets of conditions. Assume that for  $\lambda \in \mathbb{F}, \vec{u}, \vec{v} \in U$ , we have  $\lambda\vec{u} + \vec{v} \in U$ . Then choosing  $\lambda = 1$ , we get that  $\vec{u} + \vec{v} \in U$ , and choosing  $\vec{v} = \vec{0}$  we get  $\lambda\vec{u} \in U$ . So  $U$  is a subspace.

For the converse, assume that  $U$  is a subspace. Let  $\vec{u}, \vec{v} \in U, \lambda \in \mathbb{F}$ . Since  $U$  is closed under scalar multiplication, we have  $\lambda\vec{u} \in U$ . Since  $U$  is closed under addition, we have  $(\lambda\vec{u}) + (\vec{v}) = \lambda\vec{u} + \vec{v} \in U$ , and we are done.

3. Let  $V$  be a finite-dimensional vector space. Let  $U \subseteq V$  be a subspace. Prove that if

$$\dim U = \dim V$$

then  $U = V$ .

Let  $n = \dim U$ . Pick a basis for  $U$ , say  $\vec{u}_1, \dots, \vec{u}_n$ . Then  $\vec{u}_1, \dots, \vec{u}_n$  is a linearly independent list of  $n$  vectors in  $V$ . We know that any list of  $n = \dim V$  linearly independent vectors in  $V$  is a basis for  $V$ . So  $\vec{u}_1, \dots, \vec{u}_n$  is a basis of  $V$ . Then  $V = \text{Span}(\vec{u}_1, \dots, \vec{u}_n)$ . But  $\vec{u}_1, \dots, \vec{u}_n$  is also a basis for  $U$ , so  $U = \text{Span}(\vec{u}_1, \dots, \vec{u}_n) = V$ .

4. Let  $f, g$  be functions in the vector space  $\mathbb{R}^{[0,1]}$ . Assume that  $f(0) = 1 = g(1)$  and  $f(1) = 0 = g(0)$ . Show that

$$(f, g)$$

is a linearly independent list in  $\mathbb{R}^{[0,1]}$ .

Suppose that  $c_1f + c_2g = \vec{0}$  (as elements of  $\mathbb{R}^{[0,1]}$ ). We need to show that  $c_1 = c_2 = 0$ . Since  $c_1f + c_2g = \vec{0}$  holds as an equality of functions, we have

$$c_1f(0) + c_2g(0) = \vec{0}(0)$$

$$c_1(1) + c_2(0) = 0$$

$$c_1 = 0$$

and

$$c_1f(1) + c_2g(1) = \vec{0}(1)$$

$$c_1(0) + c_2(1) = 0$$

$$c_2 = 0$$

so  $c_1 = c_2 = 0$  so  $f, g$  is LI.

5. A polynomial  $p(x) \in \mathcal{P}(\mathbb{R})$  is called **even** if it is an even function, i.e.  $p(-x) = p(x)$  for all  $x$  and **odd** if  $p(-x) = -p(x)$ . Let  $E_m$  and  $O_m$  denote the sets of even and odd polynomials in  $\mathcal{P}_m(\mathbb{R})$ .

(a) Show that  $E_m$  is a subspace of  $\mathcal{P}_m(\mathbb{R})$  and find  $\dim E_m$ .

We check the conditions for a subspace:

- Since  $\vec{0}(x) = 0 = \vec{0}(-x)$ , we have  $\vec{0} \in E_m$ .
- Let  $p, q \in E_m$ , then

$$(p + q)(-x) = p(-x) + q(-x) = p(x) + q(x) = (p + q)(x),$$

so  $p + q \in E_m$ .

- Let  $p \in E_m, \lambda \in \mathbb{R}$ , then

$$(\lambda p)(-x) = \lambda p(-x) = \lambda p(x) = (\lambda p)(x),$$

so  $\lambda p \in E_m$ .

So  $E_m$  is a subspace. We claim that if  $m$  is even, then  $(1, x^2, \dots, x^m)$  is a basis of  $E_m$ , and if  $m$  is odd, then  $(1, x^2, \dots, x^{m-1})$  is a basis of  $E_m$ . To see that it spans, let  $p(x) = a_0 + a_1x + \dots + a_mx^m \in \mathcal{P}_m(\mathbb{R})$ . Then  $p \in E_m \Leftrightarrow p(-x) = p(x)$ , i.e. if

$$\begin{aligned} a_0 + a_1x + a_2x^2 + \dots + a_mx^m &= a_0 + a_1(-x) + a_2(-x)^2 + \dots + a_m(-x)^m \\ &= a_0 + (-a_1)x + a_2x^2 + \dots + ((-1)^m a_m)x^m \end{aligned}$$

which happens if and only if  $a_i = 0$  for  $i$  even. So  $p \in E_m$  must be of the form

$$a_0 + a_2x^2 + a_4x^4 + \dots$$

which is a linear combination of the elements in our basis. To see that the list is linearly independent, note that it consists of monomials of different degrees. So indeed our list is a basis, in particular,

$$\dim E_m = \begin{cases} m/2 + 1 & \text{if } m \text{ is even} \\ (m-1)/2 + 1 & \text{if } m \text{ is odd} \end{cases}$$

(b) Show that  $O_m$  is a subspace of  $\mathcal{P}_m(\mathbb{R})$  and find  $\dim O_m$ . We check the conditions for a subspace:

- Since  $\vec{0}(x) = 0 = -\vec{0}(-x)$ , we have  $\vec{0} \in O_m$ .
- Let  $p, q \in O_m$ , then

$$(p + q)(-x) = p(-x) + q(-x) = -p(x) - q(x) = -(p + q)(x),$$

so  $p + q \in O_m$ .

- Let  $p \in O_m, \lambda \in \mathbb{R}$ , then

$$(\lambda p)(-x) = \lambda p(-x) = -\lambda p(x) = -(\lambda p)(x),$$

so  $\lambda p \in O_m$ .

So  $O_m$  is a subspace. We claim that if  $m$  is odd, then  $(x, x^3, \dots, x^m)$  is a basis of  $O_m$ , and if  $m$  is even, then  $(x, x^3, \dots, x^{m-1})$  is a basis of  $O_m$ . To see that it spans, let  $p(x) = a_0 + a_1x + \dots + a_mx^m \in \mathcal{P}_m(\mathbb{R})$ . Then  $p \in O_m \Leftrightarrow p(-x) = -p(x)$ , i.e. if

$$\begin{aligned} a_0 + a_1x + a_2x^2 + \dots + a_mx^m &= a_0 + a_1(-x) + a_2(-x)^2 + \dots + a_m(-x)^m \\ &= -a_0 - (-a_1)x - a_2x^2 - \dots - ((-1)^m a_m)x^m \end{aligned}$$

which happens if and only if  $a_i = 0$  for  $i$  even. So  $p \in O_m$  must be of the form

$$a_1x + a_3x^3 + a_5x^5 + \dots$$

which is a linear combination of the elements in our basis. To see that the list is linearly independent, note that it consists of monomials of different degrees. So indeed our list is a basis, in particular,

$$\dim O_m = \begin{cases} (m-1)/2 + 1 & \text{if } m \text{ is odd} \\ m/2 & \text{if } m \text{ is even} \end{cases}$$