

AFTERNOON SEMINAR

Orbital integrals of spherical functions

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Let  $E/F$  be an unramified quadratic extension of  $p$ -adic fields. Let  $G$  be the special unitary group in 3 variables with respect to  $E/F$  defined by the form  $\Phi = \begin{pmatrix} * & -1 & 1 \end{pmatrix}$ . Let  $K$  be the maximal compact subgroup of integer matrices in  $G$ . Let

$$H = \left\{ \begin{pmatrix} * & 0 & * \\ 0 & * & 0 \\ * & 0 & * \end{pmatrix} \in G \right\}$$

and set  $K_H = H \cap K$ . The map

$$\varphi : L_H \hookrightarrow L_G$$

gives a homomorphism  $\varphi^* : \mathcal{H}_G \rightarrow \mathcal{H}_H$  where  $\mathcal{H}_G$  and  $\mathcal{H}_H$  are the Hecke algebras of  $G$  and  $H$  with respect to  $K$  and  $K_H$  respectively.

There is a unique stable class  $\{T\}_{st}$  of CSG's of  $G$  which consists of elliptic tori that split over  $E$ . In these notes, we show that the transfer factors  $\Delta_G(\gamma)$ ,  $\Delta_H(\gamma)$ ,  $\tau(\gamma)$  defined in previous notes do in fact give a transfer of orbital integrals for spherical functions and the class  $\{T\}_{st}$ . The remaining classes of elliptic CSG's will be dealt with in a lecture of Kottwitz.

The conjugacy classes within  $\{T\}_{st}$  are parametrized by  $H^1(\sigma, T)$ , where  $\sigma = \{1, \sigma\}$  is the Galois group of  $E/F$ . Let  $A$  be the diagonal subgroup of  $G$  and let  $\pi$  be a fixed prime element in  $F$ . We have  $H^1(\sigma, T) = \mathbb{Z}/2 \oplus \mathbb{Z}/2$  and the four conjugacy classes within  $\{T\}_{st}$  are defined by the

four cocycles with values in the normalizer  $N(A)$  of  $A$  in  $G(\overline{F})$ :

$$a_{\sigma,0} = w \quad a_{\sigma,1} = w \begin{pmatrix} \pi^{-1} & & \\ & \pi & \\ & & 1 \end{pmatrix} \quad a_{\sigma,2} = w \begin{pmatrix} 1 & & \\ & \pi^{-1} & \\ & & \pi \end{pmatrix} \quad a_{\sigma,3} = w \begin{pmatrix} \pi^{-1} & & \\ & 1 & \\ & & \pi \end{pmatrix}.$$

To obtain a representative for the conjugacy class defined by a cocycle  $a_{\sigma}$ , we write  $a_{\sigma} = g^{-1}\sigma(g)$  for some  $g \in G(\overline{F})$  and take  $T = gAg^{-1}$  ( $T$  is defined over  $F$ , although  $\gamma \mapsto g\gamma g^{-1}$ ,  $\gamma \in A$ , is not defined over  $F$ ).

Let  $T_i$  be a representative for the class defined by the cocycle  $a_{\sigma,j}$ . We choose  $T_0$  and  $T_3$  inside  $H$  (this is possible since  $a_{\sigma,0}$  and  $a_{\sigma,3}$  lie in  $H$ ). Let  $\delta_i \in G(\overline{F})$  be such that  $\delta_i T_0 \delta_i^{-1} = T_i$ . We define the unstable orbital integral for  $\gamma \in T_0'$ :

$$\Phi^{T/K}(\gamma, f) = \Phi(\gamma, f) - \Phi(\gamma^{\delta_1}, f) - \Phi(\gamma^{\delta_2}, f) + \Phi(\gamma^{\delta_3}, f)$$

where  $\Phi(x, f) = \int_G f(g^{-1}xg)dg$  for  $x$  elliptic regular and  $dg$  is chosen so that  $\text{meas}(K) = 1$ . For functions on  $H$ , we define

$$\Phi_H^{T/1}(\gamma, f) = \Phi_H(\gamma, f) + \Phi_H(\gamma^{\delta_3}, f)$$

where the measure on  $H$  is normalized so that  $\text{meas}(K_H) = 1$ . We want to prove the following.

Theorem: For all  $\gamma \in T_0'$  and  $f \in \mathcal{X}_G$ ,

$$\tau(\gamma)\Delta_G(\gamma)\Phi^{T/K}(\gamma, f) = \Delta_H(\gamma)\Phi_H^{T/1}(\gamma, \varphi^*(f)).$$

The map  $\varphi^*$  is defined in terms of the Satake transform. For  $\lambda \in \mathbb{Z}$ , set

$$a(\lambda) = \begin{pmatrix} \pi^\lambda & & \\ & 1 & \\ & & \pi^{-\lambda} \end{pmatrix}$$

$$F_f(\lambda) = \Delta(a(\lambda)) \int_{A \backslash G} f(g^{-1}a(\lambda)g) dg.$$

The Satake transform  $f^V$  of  $f \in \mathcal{H}_G$  may be viewed as a function on elements  $\gamma \times \text{Fr} \in L_A$  where  $\gamma \in L_A^0$  and  $\text{Fr}$  is a Frobenius element. As such, it can be written in the form

$$f^V(\gamma \times \text{Fr}) = \sum_{\lambda \in \mathbf{Z}} F_f(\lambda) \lambda(\gamma)$$

where  $\lambda(\gamma)$  means  $\lambda \alpha_3^V(\gamma)$  and  $\alpha_3^V$  is the co-root corresponding to the root  $\alpha_3$ . Let  $\eta_j = m \alpha_3^V + m(\alpha_3^V)^{-1}$ . Since  $F_f$  is invariant under the Weyl group,  $f^V$  is a finite linear combination of the  $\eta_j$  ( $j=0,1,\dots$ ) and  $\mathcal{H}_G$  is isomorphic to the algebra spanned by the  $\eta_j$ . Let  ${}^H \eta_j$  denote  $\eta_j$  regarded as an element in the range of the Satake transform of  $\mathcal{H}_H$ . By definition of  $\varphi$ , we have:

$$\varphi \left( \begin{pmatrix} a & & \\ & 1 & \\ & & a^{-1} \end{pmatrix} \times \text{Fr} \right) = \begin{pmatrix} a & & \\ & 1 & \\ & & -a^{-1} \end{pmatrix} \times \text{Fr}$$

from which it follows that  $\varphi^*(\eta_j) = (-1)^j {}^H \eta_j$ . Set

$$f_\lambda = \text{char. fn. of } Ka(\lambda)K$$

$${}^H f_\lambda = \text{char. fn. of } K_H a(\lambda) K_H.$$

The next lemma follows easily, either by direct calculation or by MacDonal'd's formula.

Lemma 1:

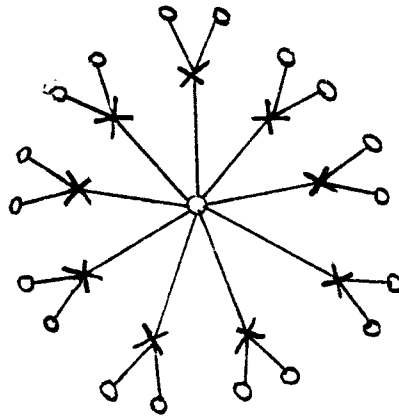
$$1) \quad f_{\lambda}^{\mathbf{v}} = q^{2\lambda} \eta_{\lambda} + q^{2\lambda} \left(1 - \frac{1}{q}\right) \sum_{\substack{j \equiv \lambda(2) \\ 0 \leq j < \lambda}} \eta_j + q^{2\lambda-1} \left(1 - \frac{1}{q}\right) \sum_{\substack{j \not\equiv \lambda(2) \\ 0 \leq j < \lambda}} \eta_j \quad (\lambda > 0)$$

$$2) \quad H_{f_{\lambda}}^{\mathbf{v}} = q^{\lambda} \eta_{\lambda} + q^{\lambda} \left(1 - \frac{1}{q}\right) \sum_{j=0}^{\lambda-1} \eta_j \quad (\lambda > 0)$$

$$3) \quad \Phi^*(f_{\lambda}) = (-1)^{\lambda} q^{\lambda} H_{f_{\lambda}} + \left(1 - \frac{1}{q}\right) \sum_{j=0}^{\lambda-1} (-1)^j q^{2\lambda-j} H_{f_j}. \quad (\lambda > 0).$$

To prove the theorem, we shall calculate  $\Phi(\gamma, f_{\lambda})$ ,  $\Phi(\gamma^{\delta_j}, f_{\lambda})$  for all  $\lambda$  and check that the values we obtain compare favorably with the known values of  $\Phi_H^{T/1}(\gamma, H_{f_{\lambda}})$  through the formula 3) above.

As explained in Kottwitz's lecture,  $\Phi(\gamma, f_{\lambda})$  is given combinatorially in terms of the Bruhat-Tits building associating to  $G$ . The building  $X$  is a tree with two  $G$ -conjugacy classes of vertices. We call the vertices conjugate to the vertex defined by  $K$   $h$ -vertices and call the remaining vertices  $s$ -vertices. Each  $h$ -vertex has  $q^3+1$   $s$ -neighbors and each  $s$ -vertex has  $q+1$   $h$ -neighbors. Thus, for  $q=2$ , the tree is as follows:



where the  $\circ$  are hs-vertices and the  $x$  are s-vertices. For vertices  $p, q \in X$ , let  $d(p, q)$  denote one-half the number of chambers that lie on a geodesic joining  $p$  and  $q$ ; a chamber is an edge  $\circ - x$ . The next lemma is a trivial calculation.

Lemma: For  $\gamma$  elliptic regular,

$$\Phi(\gamma, f_\lambda) = F_\lambda(\gamma)$$

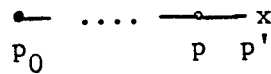
where  $F_\lambda(\gamma)$  is the number of hs-vertices  $p \in X$  such that  $d(\gamma p, p) = \lambda$ .

Let  $T$  be an elliptic Cartan subgroup. Then  $T$  is contained in some maximal compact subgroup of  $G$  and thus  $T$  fixes some vertex  $p_0 \in X$ .

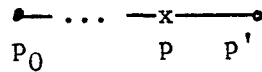
The set  $\text{Fix}(\gamma)$  of fixed points of an element  $\gamma \in T$  is a connected neighborhood of  $p_0$ . If  $\gamma$  is regular,  $\text{Fix}(\gamma)$  is finite and

$$F_0(\gamma) = \Phi(\gamma, f_0).$$

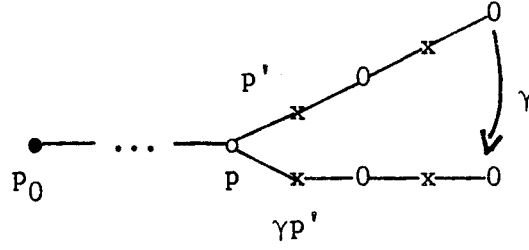
Let  $N_{hs}(\gamma)$  be the number of paths in  $X$  of the following type:



The path begins at  $p_0$ , ends at an s-vertex  $p'$  which is not fixed by  $\gamma$ , but  $p \in \text{Fix}(\gamma)$ . Similarly, let  $N_s(\gamma)$  be the number of paths



with  $p \in \text{Fix}(\gamma)$  and  $p' \notin \text{Fix}(\gamma)$ . As the following diagram indicates:



$F_\lambda(\gamma)$  is determined by  $N_{hs}(\gamma)$  for  $\lambda$  even,  $\lambda \neq 0$  and by  $N_s(\gamma)$  for  $\lambda$  odd. The next lemma follows easily from the structure of the tree.

Lemma 2:

$$F_\lambda(\gamma) = \begin{cases} q^{2\lambda-3} N_{hs}(\gamma) & \lambda \text{ even} \\ q^{2\lambda-2} N_s(\gamma) & \lambda \text{ odd.} \end{cases}$$

We first calculate  $F_0(\gamma)$ . Let  $\gamma_1, \gamma_2, \gamma_3$  be the eigenvalues of  $\gamma \in T_0$ . Since  $T_0 \subset H$ , we may choose  $\gamma_2$  so that

$$\gamma = \begin{pmatrix} * & 0 & * \\ 0 & \gamma_2 & 0 \\ * & 0 & * \end{pmatrix}.$$

Let  $\text{val} : E^* \rightarrow \mathbb{Z}$  be the valuation map and set  $m_j = \text{val}(1 - \alpha_j^{-1}(\gamma))$  where  $\alpha_1(\gamma) = \gamma_1/\gamma_2$ ,  $\alpha_2(\gamma) = \gamma_2/\gamma_3$ , and  $\alpha_3(\gamma) = \gamma_1/\gamma_3$  (the ambiguity in the labelling of  $\gamma_1$  and  $\gamma_3$  will not matter).

Proposition 1: Let  $\gamma \in T_0$  be regular. Set  $m = \min(m_1, m_2, m_3)$ ,  $n = \max(m_1, m_2, m_3)$ , and let

$$\delta(\gamma) = \begin{cases} 1 & \text{if } m \equiv n & (2) \\ 0 & \text{if } m \not\equiv n & (2). \end{cases}$$

a)  $F_0(\gamma)$  is equal to

$$\frac{-(q+1)}{q^4-1} - q^{4\left[\frac{m}{2}\right]} \frac{q^2(q+1)}{q^4-1} - (-1)^{m+n} q^{m+n} \frac{1}{q-1} + \delta(\gamma) \frac{q^{m_1+m_2+m_3}(q+1)}{q-1}.$$

b)  $F_0(\gamma^{\delta_3})$  is given by the following formulas

(i) If  $m_1 \neq m_2$ , then  $F_0(\gamma^{\delta_3}) = (q^{4\left[\frac{m-1}{2}\right]+4} - 1) \frac{q+1}{q^4-1}$

(ii) If  $m_1 = m_2$ , then  $F_0(\gamma^{\delta_3})$  is equal to

$$\frac{-(q+1)}{q^4-1} - q^{4\left[\frac{m}{2}\right]} \frac{q^2(q+1)}{q^4-1} + (-1)^{m+n} q^{m+n} \frac{1}{q-1} + (1-\delta(\gamma)) \frac{q^{m_1+m_2+m_3}(q+1)}{q-1}.$$

With this proposition, we can compute  $\phi^{T/\kappa}(\gamma, f_0)$ . If  $m_1, m_2, m_3$  are the integers attached to  $\gamma$ , set  $F_j(m_1, m_2, m_3) = F_0(\gamma^{\delta_j})$ , where we let  $\delta_0 = 1$ . The proof of the proposition shows that  $F_2(m_1, m_2, m_3) = F_3(m_3, m_2, m_1)$  and  $F_4(m_1, m_2, m_3) = F_3(m_1, m_3, m_2)$ . We must calculate

$$F_0(m_1, m_2, m_3) - F_3(m_3, m_2, m_1) - F_3(m_1, m_3, m_2) + F_3(m_1, m_2, m_3).$$

The three possibilities are

(a)  $m_1 > m_2 = m_3$       (b)  $m_3 > m_1 = m_2$       (c)  $m_2 \geq m_1 = m_3$ .

Case (a): We are reduced to  $F_0(m_1, m_2, m_3) - F_3(m_3, m_2, m_1)$ . After cancelling off equal terms, we get

$$(-1)^{m_1+m_2} q^{m_1+m_2+m_3} \left( \frac{q+1}{q-1} \right) - 2(-1)^{m_1+m_2} q^{m_1+m_2} \left( \frac{1}{q-1} \right).$$

Case (b): The constant terms cancel and the highest order term is  $q^{m_1+m_2+m_3} \left( \frac{q+1}{q-1} \right)$ . The intermediate terms are as follows:

$$\begin{aligned} F_0(m_1, m_2, m_3) &: - q^{4 \left[ \frac{m}{2} \right]} \left( \frac{q^2(q+1)}{q^4-1} \right) - (-1)^{m+n} q^{m+n} \left( \frac{1}{q-1} \right) \\ - F_3(m_3, m_2, m_1) &: - q^{4 \left[ \frac{m-1}{2} \right] + 4} \left( \frac{q+1}{q^4-1} \right) \\ - F_3(m_1, m_3, m_2) &: - q^{4 \left[ \frac{m-1}{2} \right] + 4} \left( \frac{q+1}{q^4-1} \right) \\ F_3(m_1, m_2, m_3) &: - q^{4 \left[ \frac{m}{2} \right]} \left( \frac{q^2(q+1)}{q^4-1} \right) + (-1)^{m+n} q^{m+n} \left( \frac{1}{q-1} \right). \end{aligned}$$

The sum of these intermediate terms is

$$\frac{-2(q+1)}{q^4-1} \left( q^{4 \left[ \frac{m}{2} \right] + 2} + q^{4 \left[ \frac{m-1}{2} \right] + 4} \right) = \frac{-2q^{2m}(q+1)}{q-1} = \frac{-2q^{m_1+m_2}(q+1)}{q-1}.$$

Case (c): We are reduced to  $F_0(m_1, m_2, m_3) - F_3(m_1, m_3, m_2)$  and the answer is the same as case (a). To summarize, we have the next proposition.

Proposition 2: For  $\gamma \in T_0$  regular,

$$\Phi^{T/K}(\gamma, f_0) = (-1)^{m_1+m_2} \left( \frac{1}{q-1} \right) \left( q^{m_1+m_2+m_3} (q+1) - 2q^{m_1+m_2} \right).$$



This Proposition gives the theorem for  $f_0 = f$ ; it is clear that  $\tau(\gamma) = (-1)^{m_1+m_2}$  and it is known that  $\phi_H^{T/1}(\gamma, {}^H f_0)$  is equal to  $(q-1)^{-1}(q^{m_3}(q+1)-2)$ .

Proof of Proposition 1: Since  $T_0$  splits over  $E$ , we may choose  $g \in SL_3(\mathcal{O}_E)$  so that  $gA(E)g^{-1} = T_0(E)$ , where  $\mathcal{O}_E$  is the ring of integers in  $E$ . Suppose that  $\gamma \in A(E)$  and  $g\gamma g^{-1} \in T_0$ . Then a vertex  $p$  is fixed by  $\gamma$  if and only if  $g\gamma g^{-1}$  fixes  $gp$ . Let  $p_0$  be the vertex associated to  $K$  and assume that  $T_0 \subset K$ , which we may up to conjugacy. An hs-vertex of  $X$  is of the form  $gxp_0$  for some  $x \in SL_3(E)$ ;  $gxp_0$  lies in  $X$  if and only if  $\sigma(gxp_0) = gxp_0$ . Now,  $\sigma(gxp_0) = gxp_0$  if and only if  $x^{-1}(g^{-1}\sigma(g))\sigma(x) \in SL_3(\mathcal{O}_E)$  and since  $g^{-1}\sigma(g) = w$ , this is the condition

$$(*) \quad {}^t_{xx} \in SL_3(\mathcal{O}_E).$$

By the Iwasawa decomposition, we may choose  $x$  upper-triangular. Let

$$x = \begin{pmatrix} a & & \\ & b & \\ & & c \end{pmatrix} \begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix} \quad \begin{array}{l} a, b, c \in E^* \\ x, y, z \in E. \end{array}$$

A calculation shows that this  $x$  satisfies  $(*)$  if the following six quantities lie in  $\mathcal{O}_E$ :

- |                |   |
|----------------|---|
| 1) $a\bar{a}$  | 4) $b\bar{b} + a\bar{a}x\bar{x}$                      |
| 2) $a\bar{a}x$ | 5) $b\bar{b}z + a\bar{a}y\bar{x}$                     |
| 3) $a\bar{a}y$ | 6) $c\bar{c} + b\bar{b}z\bar{z} + a\bar{a}y\bar{y}$ . |

Now let

$$x = \begin{pmatrix} \pi^d & & \\ & 1 & \\ & & \pi^{-d} \end{pmatrix} \begin{pmatrix} 1 & \pi^{-j}\epsilon_1 & \pi^{-2d}\epsilon_2 \\ 0 & 1 & \pi^{-j}\epsilon_3 \\ 0 & 0 & 1 \end{pmatrix}$$

where  $d =$  a non-negative integer,  $0 \leq j \leq d$ , and the  $\epsilon_j$  are zero or lie in  $\mathcal{O}_E^*$ . Then  $x$  satisfies (\*) if and only if the two conditions

- 1)  $\pi^{-j}(\epsilon_3 + \bar{\epsilon}_1 \epsilon_2) \in \mathcal{O}_E$
- 2)  $\pi^{-2d}(1 + \pi^{2d-2j} N(\epsilon_3) + N(\epsilon_2)) \in \mathcal{O}_E$

are satisfied, and if  $x, x'$  are of this form,  $x p_0 = x' p_0$  only when

$$\epsilon_1 = \epsilon'_1 (\pi^j) \quad \epsilon_3 \equiv \epsilon'_3 (\pi^j) \quad \epsilon'_2 - \epsilon_2 \equiv \pi^{2(d-j)} \epsilon_1 (\epsilon'_3 - \epsilon_3) (\pi^{2d})$$

( $N(a)$  denotes the norm from  $E$  to  $F$ ). Furthermore, for  $x$  of this form,  $d(x p_0, p_0) = d$ .

We will now describe the  $q^{4d}(1 + \frac{1}{3})$  points at a distance  $d$  from  $p_0$  in  $X$  by writing them as  $g \times p_0$  with  $x$  in the above form.

a)  $j = 0$ ; take  $\epsilon_1 = \epsilon_3 = 0$  and  $N(\epsilon_2) \equiv -1 (\pi^{2d})$ . We obtain  $q^{2d}(1 + \frac{1}{q})$  points this way.

b)  $j = d$ ; take  $\epsilon_1 = (\overline{-\epsilon_3/\epsilon_2})$  so that 1) is satisfied and choose  $\epsilon_2$  so that  $N(\epsilon_2) \not\equiv -1 (\pi)$ ;

then  $\epsilon_3$  is taken to be a unit satisfying 2). There are  $q^{4d}(1 - \frac{1}{2}) - q^{4d-2}(1 + \frac{1}{q}) = q^{4d}(1 - \frac{1}{q} - \frac{2}{q^2})$  choices for  $\epsilon_2$  and  $q^{2d}(1 + \frac{1}{q})$  choices for  $\epsilon_3$ . Dividing by  $q^{2d}$  to account for redundancies, we obtained  $q^{4d}(1 - \frac{3}{2} - \frac{2}{3})$  points in this way.

c)  $j = 1, \dots, d-1$ ; take  $\varepsilon_1 = \overline{(-\varepsilon_3/\varepsilon_2)}$  so that 1) is satisfied and pick  $\varepsilon_2$  and  $\varepsilon_3$  so that  $1 + \pi^{2(d-j)}N(\varepsilon_3) + N(\varepsilon_2) \equiv 0 \pmod{\pi^{2d}}$ . We must require that  $N(\varepsilon_2) \equiv -1 \pmod{\pi^{2(d-j)}}$  and  $N(\varepsilon_2) \not\equiv -1 \pmod{\pi^{2(d-j)+1}}$ . Then 2) is satisfied by  $q^{2(d-j)}(1 + \frac{1}{q})(q^{4j} - q^{4j-1})$  choices of  $\varepsilon_2$  and  $q^{2j}(1 + \frac{1}{q})$  choices of  $\varepsilon_3$ . Dividing by  $q^{2j}$  to account for redundancies, we obtain  $q^{2d+2j}(1 + \frac{1}{q})^2(1 - \frac{1}{q})$   $q^{2d+2j}(1 - \frac{1}{2})(1 + \frac{1}{q})$  points. Summing over  $j$  gives  $(1 + \frac{1}{q})(q^{2d-2} - 1)q^{2d}$  points.

For each of the points of the form  $p = xp_0$  with  $x$  in the above form, we may consider the points  $w_i p$  where

$$w_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad w_2 = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}.$$

In cases a) and c) this leads to new points (by a lengthy but straightforward calculation) and  $gw_i xp_0$  is  $F$ -rational if  $gxp_0$  is because  ${}^t w_i w_i = 1$ . Hence we have described a total of

$$q^{4d}(1 - \frac{3}{2} - \frac{2}{3}) + 3q^{2d}(1 + \frac{1}{q}) + 3(1 + \frac{1}{q})(q^{2d-2} - 1)q^{2d} = q^{4d}(1 + \frac{1}{3})$$

points of the form  $p = xp_0$  or  $w_j xp_0$  such that  $gxp_0$  is an  $F$ -rational point of  $X$  and  $d(gxp_0, p_0) = d$ . This must therefore be all  $p \in X$  such that  $d(gxp_0, p_0) = d$ .

Let  $\gamma = \begin{pmatrix} \gamma_1 & & \\ & \gamma_2 & \\ & & \gamma_3 \end{pmatrix}$  and let  $\alpha_1, \alpha_2, \alpha_3$  be the standard roots. If

$$n = \begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix}, \quad \text{then}$$

$$\gamma^{-1}n^{-1}\gamma n = \begin{pmatrix} 1 & (1-\alpha_1^{-1}(\gamma))x & (1-\alpha_3^{-1}(\gamma))y + (\alpha_3^{-1}(\gamma) - \alpha_1^{-1}(\gamma))xz \\ 0 & 1 & (1-\alpha_2^{-1}(\gamma))z \\ 0 & 0 & 1 \end{pmatrix}$$

Assume that  $g\gamma g^{-1} \in T_0$  and let

$$(1-\alpha_j^{-1}(\gamma)) = \pi^{m_j} \eta_j \quad \eta_j \in \mathcal{O}_E^*.$$

If  $x = an$ ,  $a \in A$ ,  $n \in N$ , then  $\gamma$  fixes  $xp_0$  if and only if  $\gamma^{-1}n^{-1}\gamma n \in K \cap N$ .

Suppose that

$$a = \begin{pmatrix} \pi^d & & \\ & 1 & \\ & & \pi^{-d} \end{pmatrix} \quad n = \begin{pmatrix} 1 & \pi^{-j}\epsilon_1 & \pi^{-2d}\epsilon_2 \\ 0 & 1 & \pi^{-j}\epsilon_3 \\ 0 & 0 & 1 \end{pmatrix}$$

with  $n$  of the type described above. Then if  $\gamma$  fixes  $anp_0$ , the following inequality holds:

$$(**) \quad \text{val}(N(\epsilon_2) + \pi^{2(d-j)} N(\epsilon_3) - \pi^{2(d-j)+m_1-m_3} \eta_1 \eta_3^{-1} N(\epsilon_3)) \geq 2d - m_3.$$

Furthermore, it is easily checked that

- i)  $m_1 > m_3 \Rightarrow m_3 = m_2$
- ii)  $m_1 < m_3 \Rightarrow m_1 = m_2$
- iii)  $m_1 = m_3 \Rightarrow m_2 \geq m_1 = m_3$ .

We consider the cases separately.

1)  $m_1 > m_3$ : then  $\gamma$  fixes  $\text{anp}_0$  if and only if  $2d < m_3$  and  $0 \leq j \leq m_2$ .

2)  $m_1 < m_3$ : then  $\gamma$  fixes  $\text{anp}_0$  if and only if either

$$\text{i) } 2d - 2j + m_1 - m_3 \geq 2d - m_3, \quad j \leq \frac{m_1}{2}, \quad 2d \leq m_3, \quad \text{and} \\ 0 \leq j \leq m_2, \quad \text{or}$$

$$\text{ii) } 2d > m_3, \quad 2d - 2j + m_1 - m_3 = 0 \quad \text{and}$$

$$N(\epsilon_2) + \pi^{m_3 - m_1} N(\epsilon_3) - \eta_1 \eta_3^{-1} N(\epsilon_3) \equiv 0 \quad (\pi^{2d - m_3}).$$

This occurs only when  $m_1 \equiv m_3 \pmod{2}$  and

$$d = \left[ \frac{m_3}{2} \right] + 1, \dots, \frac{m_1 + m_3}{2}.$$

3)  $m_1 = m_3$ : then  $\gamma$  fixes  $\text{anp}_0$  if and only if either

$$\text{i) } 2d \leq m_3 \quad \text{and} \quad 0 \leq j \leq m_1 \quad \text{or}$$

$$\text{ii) } \quad \quad \quad 0 \leq d = j \leq m_1 \quad \text{and}$$

$$\text{val}(N(\epsilon_2) + (1 - \eta_1 \eta_3^{-1}) N(\epsilon_3)) \geq 2d - m_3.$$

When  $d \neq j$ , we have to consider the points  $w_j \cdot x p_0$  for  $j = 1, 2$  also; this amounts to replacing  $\gamma$  by  $w_j \gamma w_j^{-1}$  and using the above conditions.

Suppose first that  $m_1 \neq m_3$  and let  $m = \min(m_1, m_3)$ ,

$n = \max(m_1, m_3)$ . Then the number of fixed points is equal to

$$1 + \sum_{d=1}^{\left[ \frac{m}{2} \right]} q^{4d} \left( 1 + \frac{1}{q} \right) + \sum_{d=\left[ \frac{m}{2} \right] + 1}^{\left[ \frac{n}{2} \right]} q^{2d} \left( \sum_{j=1}^{\left[ \frac{m}{2} \right]} q^{2j} \left( 1 - \frac{1}{2} \right) \left( 1 + \frac{1}{q} \right) + \left( 1 + \frac{1}{q} \right) \right) \quad (\alpha)$$

We obtain:

$$F_0(\gamma) = \frac{-(q+1)-q^{4[\frac{m}{2}]+2}(q+1)}{q^4-1} + \frac{q^{m_1+m_3}}{q-1} \quad \text{if } m_2 \neq m_3 \quad (2).$$

If  $m_1 \equiv m_3$  (2) and  $m_2 = m$ , we obtain the number  $(\alpha)$  plus an additional

$$\left(1 + \frac{1}{q}\right)^2 q^m \sum_{d=[\frac{n}{2}]+1}^{\frac{m+n}{2}} q^{2d} = \left(\frac{q+1}{q-1}\right) (q^{n+2m} - q^{m+2[\frac{n}{2}]})$$

points. We obtain

$$F_0(\gamma) = \frac{-(q+1)-q^{4[\frac{m}{2}]+2}(q+1)}{q^4-1} - \frac{q^{m_1+m_3}}{q-1} + \frac{q^{m_1+m_2+m_3}(q+1)}{q-1} \quad \text{if } m_1 \equiv m_3, m_2 = m.$$

The only remaining case is  $m_2 > m_1 = m_3$ . We obtain

$$\frac{-(q+1)-q^{4[\frac{m}{2}]+2}(q+1)}{q^4-1} + \frac{q^{m_1+m_2}}{q-1} \quad \text{if } m_2 \neq m \quad (2)$$

$$\frac{-(q+1)-q^{4[\frac{m}{2}]+2}(q+1)}{q^4-1} - \frac{q^{m_1+m_2}}{q-1} + \frac{q^{m_1+m_2+m_3}(q+1)}{q-1} \quad \text{if } m_2 \equiv m \quad (2).$$

The result is as stated in part a) of Proposition 1.

Now we have to compute the fixed points for  $\gamma \in T_j^!$ ,  $j = 1, 2, 3$ . We will see that the cases  $T_1$  and  $T_2$  can be easily reduced to the case of  $T_3$ , so we deal now with this case where we may assume that  $T_3$  is associated to the cocycle

$a_\sigma = w \begin{pmatrix} \pi^{-1} & & \\ & 1 & \\ & & \pi \end{pmatrix}$  and that  $gAg^{-1} = T$ . Let  $\sigma_A$  be the apartment in  $X$  associated to  $A$ , and let  $p_{1/2}$  be the unique  $s$ -vertex fixed by  $a_\sigma$  (we may assume that  $T$  stabilizes  $p_{1/2}$ ). As in the previous case, we will describe the  $hs$ -vertices  $p$  such that  $gp$  is  $F$ -rational in the form  $xp_0$  and then compute the fixed points of  $g\gamma g^{-1}$  by determining whether or not  $\gamma$  fixes  $xp_0$ .

$$\text{Let } a = \begin{pmatrix} \pi^d & & \\ & 1 & \\ & & \pi^{-d} \end{pmatrix} \text{ and } n = \begin{pmatrix} 1 & \pi^{-j}\epsilon_1 & \pi^{-2d-1}\epsilon_2 \\ 0 & 1 & \pi^{-j}\epsilon_3 \\ 0 & 0 & 1 \end{pmatrix}, \quad j = 0, 1, \dots, d,$$

where  $\epsilon_j \in 0_E^\times$  or  $\epsilon_j = 0$ . The point  $ganp_0$  is  $F$ -rational if and only if

$t_{(\overline{an})} \begin{pmatrix} \pi & & \\ & 1 & \\ & & \pi^{-1} \end{pmatrix} (\overline{an}) \in \text{SL}_3(0_E)$  which is equivalent to the requirements

$$1) \quad \pi^{-j}(\epsilon_3 + \overline{\epsilon_1}\epsilon_2) \in 0_E.$$

$$2) \quad \pi^{-2d-1}(1 + \pi^{2d-2j+1}N(\epsilon_3) + N(\epsilon_2)) \in 0_E.$$

We always take  $\epsilon_1 = -(\overline{\epsilon_3/\epsilon_2})$ .

a)  $j = 0$ : take  $\epsilon_1 = \epsilon_3 = 0$  and  $N(\epsilon_2) \equiv -1(\pi^{2d+1})$ . We obtain  $q^{2d(q+1)}$  points in this way.

b)  $0 < j \leq d$ : choose  $\epsilon_2$  such that  $N(\epsilon_2) \equiv -1(\pi^{2d-2j+1})$  and  $N(\epsilon_2) \not\equiv -1(\pi^{2d-2j+2})$ , and  $\epsilon_3$  satisfying 2). We obtain a total of  $q^{2d+2j+1}(1 - \frac{1}{2})(1 + \frac{1}{q})$  points in this way.

Adding cases a) and b), we obtain a description for

$$q^{2d+1}(1 + \frac{1}{q}) + q^{2d+1}(1 + \frac{1}{q})(1 - \frac{1}{2}) \sum_{j=0}^d q^{2j} = q^{4d}(q+1) \text{ points. Furthermore, all}$$

points obtained in this way satisfy  $d(p, p_{1/2}) = d + \frac{1}{2}$  (where  $d(p, q) =$

half the number of chambers separating  $p$  and  $q$ ). Since exactly  $q^{4d}(q+1)$

points satisfy  $d(p, p_{1/2}) = d + \frac{1}{2}$ , this must be all of them.

To reduce the cases of  $T_1$  and  $T_2$  to  $T_3$ , we remark that  $\gamma$  fixes  $x_{p_0}$  if and only if  $w_j \gamma w_j^{-1}$  fixes  $w_j x_{p_0}$ . Choose  $g_j$  ( $j=1,2$ ) so that  $g_j A g_j^{-1} = T_j$ . Then the number of fixed points of  $g_1 \gamma g_1^{-1}$  equals the number for  $g w_1 \gamma w_1^{-1} g^{-1}$  and the number for  $g_2 \gamma g_2^{-1}$  equals the number for  $g w_2 \gamma w_2^{-1} g^{-1}$ .

Suppose  $g \gamma g^{-1} \in T_3$ , and let  $m_1, m_2, m_3$  be as before. Then if  $g \gamma g^{-1}$  fixes  $a n_{p_0}$  with  $a n$  of the usual form so that  $g a n_{p_0}$  is  $F$ -rational, the following inequality holds:

$$(*) \quad \text{val}(N(\epsilon_2) + \pi^{2d-2j+1} N(\epsilon_3) - \eta_1 \eta_3^{-1} \pi^{2d-2j+1+m_1-m_3} N(\epsilon_3)) \geq 2d + 1 - m_3.$$

There are two cases to consider:

- a)  $m_1 \geq m_3$ : then  $2d \leq m_3 - 1$  and  $0 \leq j \leq \min(m_1, m_2)$ .
- b)  $m_1 < m_3$ : (i)  $0 \leq j \leq \min(m_1, m_2)$ ,  $d \leq \frac{m_3-1}{2}$  and  $j \leq \frac{m_1}{2}$  or  
(ii)  $2d + 1 > m_3$ ,  $0 \leq j \leq \min(m_1, m_2)$ ,  $2(d-j) + 1 = m_3 - m_1$   
and  $v(N\epsilon_2 + \pi^{2d-2k+1} N\epsilon_3 - \eta_1 \eta_3^{-1} N\epsilon_3) \geq 2d + 1 - m_3$   
((ii) occurs only when  $m_1 \neq m_3(2)$ .)

The number of fixed points in the two cases is

$$\begin{aligned} \text{a) } & \sum_{d=0}^{\lfloor \frac{m_3-1}{2} \rfloor} q^{4d} (q+1) = \frac{q+1}{q^4-1} (q^{4 \lfloor \frac{m_3-1}{2} \rfloor + 4} - 1). \\ \text{b) } & \sum_{d=0}^{\lfloor \frac{m_1}{2} \rfloor} q^{4d} (q+1) + \sum_{d=\lfloor \frac{m_1}{2} \rfloor + 1}^{\lfloor \frac{m_3-1}{2} \rfloor} q^{2d} ((q+1) + \sum_{j=0}^{\lfloor \frac{m_1}{2} \rfloor} q^{2j} (q+1) (1 - \frac{1}{q^2})) + \end{aligned}$$



$$+ \left(1 + \frac{1}{q}\right)^2 \frac{m_1+m_3-1}{\sum_{d=\lfloor \frac{m_3-1}{2} \rfloor + 1}^{\frac{m_1+m_3-1}{2}}} q^{m_1+1+2d} \quad (\text{if } m_1 \neq m_3(2))$$

$$= \begin{cases} \frac{-(q+1)-q^2(q+1)q^{4\lfloor \frac{m_1}{2} \rfloor}}{q^4-1} + \frac{q^{m_1+m_3}}{q-1} & \text{if } m_1 \equiv m_3(2) \\ \frac{-(q+1)-q^2(q+1)q^{4\lfloor \frac{m_1}{2} \rfloor}}{q^4-1} - \frac{q^{m_1+m_3}}{q-1} + \frac{q+1}{q-1} q^{2m_1+m_3} & \text{if } m_1 \not\equiv m_3(2). \end{cases}$$

To obtain the theorem for  $f_\lambda$ ,  $\lambda \neq 0$ , it is necessary to compute  $N_{hs}(\gamma)$  and  $N_s(\gamma)$ . It is known that

$$\phi_H^{T/1}(\gamma, f_\lambda) = q^{\lambda+m_3} \left(1 + \frac{1}{q}\right).$$

The next lemma follows from Lemma 1.

Lemma 3:

$$\phi_H^{T/1}(\gamma, \phi^*(f_\lambda)) = \begin{cases} q^{2\lambda+m_3} \left(1 + \frac{1}{q}\right) \left( \left(1 + \frac{1}{q} + \frac{1}{q^2}\right)^{-2q^{-(m_3+1)}} \right) & \lambda \text{ even} \\ q^{2\lambda+m_3-1} \left(1 + \frac{1}{q}\right) (1-2q^{-m_3}) & \lambda \text{ odd.} \end{cases}$$

For  $\gamma \in T_0$ , regular, let  $N_{hs}^K(\gamma) = N_{hs}(\gamma) - N_{hs}(\gamma^{\delta_1}) - N_{hs}(\gamma^{\delta_2}) + N_{hs}(\gamma^{\delta_3})$  and  $N_s^K(\gamma) = N_s(\gamma) - N_s(\gamma^{\delta_1}) - N_s(\gamma^{\delta_2}) + N_s(\gamma^{\delta_3})$ . The result is equivalent to the next proposition.

Proposition 3: Let  $\gamma \in T_0$  be regular.

$$a) N_{hs}^K(\gamma) = (-1)^{m_1+m_2} q^{m_1+m_2+m_3} (q^3+q^2) \left( \left(1 + \frac{1}{q} + \frac{1}{q^2}\right) - 2q^{-(m_3+1)} \right)$$

$$b) N_s^K(\gamma) = (-1)^{m_1+m_2} q^{m_1+m_2+m_3} (q+1) (1-2q^{-m_3}).$$

The computations required to verify this proposition can be carried out using the description of the fixed points of  $\gamma$  given in the proof of Proposition. According to Kottwitz's lecture, we can alternatively compute the number of  $s$ -vertices fixed by the stable conjugates of  $\gamma$ , for a simple argument shows that  $N_{hs}$  and  $N_s$  can be expressed in terms of the numbers of fixed  $s$  and  $hs$ -vertices. A computation of the number of fixed  $s$ -vertices will be given in subsequent notes.