

# LINEAR SYSTEM OF HYPERSURFACES PASSING THROUGH A GALOIS ORBIT

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ABSTRACT. Let  $d$  and  $n$  be positive integers, and  $E/F$  be a separable field extension of degree  $m = \binom{n+d}{n}$ . We show that if  $|F| > 2$ , then there exists a point  $P \in \mathbb{P}^n(E)$  which does not lie on any degree  $d$  hypersurface defined over  $F$ . In other words, the  $m$  Galois conjugates of  $P$  impose independent conditions on the  $m$ -dimensional  $F$ -vector space of degree  $d$  forms in  $x_0, x_1, \dots, x_n$ . As an application, we determine the maximal dimensions of linear systems  $\mathcal{L}_1$  and  $\mathcal{L}_2$  of hypersurfaces in  $\mathbb{P}^n$  over a finite field  $F$ , where every  $F$ -member of  $\mathcal{L}_1$  is reducible and every  $F$ -member of  $\mathcal{L}_2$  is irreducible.

## 1. INTRODUCTION

Consider the vector space  $V$  of all degree  $d$  homogeneous forms in  $n+1$  variables with coefficients in a field  $F$ . An elementary counting argument shows that

$$\dim(V) = \binom{n+d}{n}.$$

Let us denote this number by  $m$ . An  $F$ -point of  $\mathbb{P}(V)$  can be identified with a projective hypersurface in  $\mathbb{P}^n$  defined over  $F$ . It is well known that if  $F$  is an infinite field,  $l$  points of  $\mathbb{P}^n(F)$  in general position impose linearly independent conditions on hypersurfaces of degree  $d$ , provided that  $l \leq m$ ; cf. Lemma 2.1. In particular, for points  $P_1, \dots, P_m$  of  $\mathbb{P}^n$  in general position, no hypersurface of degree  $d$  passes through all of them.

Suppose  $F$  is an arbitrary field (possibly finite) and  $E/F$  is a separable field extension of degree  $m$ . Can we choose  $P \in \mathbb{P}^n(E)$  so that the  $m$  Galois conjugates of  $P$  impose independent conditions on degree  $d$  hypersurfaces in  $\mathbb{P}^n$ ? In other words, is there always a  $P \in \mathbb{P}^n(E)$  which does not lie on any degree  $d$  hypersurface defined over  $F$ ? Our main result gives an affirmative answer to this question under a mild restriction on  $F$ .

**Theorem 1.1.** *Let  $d$  and  $n$  be positive integers, and  $E/F$  be a separable field extension of degree  $m := \binom{n+d}{n}$ . Assume that  $|F| > 2$ . Then there exists a point  $P \in \mathbb{P}^n(E)$  such that  $P$  does not lie on any hypersurface of degree  $d$  defined over  $F$ .*

Theorem 1.1 can be restated as follows: there exist  $a_0, a_1, \dots, a_n \in E$  such that the  $m$  elements  $a_0^{i_0} a_1^{i_1} \cdots a_n^{i_n}$  of  $E$  are linearly independent over  $F$ . Here  $i_0, i_1, \dots, i_n$  range over non-negative integers such that  $i_0 + i_1 + \dots + i_n = d$ . Note that in the case, where  $n = 1$ , this assertion specializes to the Primitive Element Theorem for the separable field extension  $E/F$ .

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As an application of Theorem 1.1, we determine the maximal dimensions of linear systems  $\mathcal{L}_1$  and  $\mathcal{L}_2$  of hypersurfaces in  $\mathbb{P}^n$  over a finite field  $F$ , where every  $F$ -member of  $\mathcal{L}_1$  is reducible and every  $F$ -member of  $\mathcal{L}_2$  is irreducible. Our main result in this direction is Theorem 1.3 below. Before stating it, we recall some terminology.

Let  $F$  be a field. An  $F$ -linear system  $\mathcal{L}$  of degree  $d$  hypersurfaces in  $\mathbb{P}^n$  is a linear subspace of such hypersurfaces defined over  $F$ . By the no-name lemma [Sha94, Appendix 3],  $\mathcal{L}$  has a basis  $f_0, f_1, \dots, f_r$  such that each  $f_i$  is defined over  $F$ . Members of  $\mathcal{L}$  are then hypersurfaces in  $\mathbb{P}^n$  of the form  $c_0 f_0 + \dots + c_r f_r = 0$  where  $c_0, \dots, c_r$  are scalars. Members of  $\mathcal{L}$  corresponding to  $c_0, \dots, c_r \in F$  are called  $F$ -members. The *dimension* of  $\mathcal{L}$  is  $r$  (the projective dimension).

Given a property  $\mathcal{P}$  of algebraic hypersurfaces defined over a finite field  $\mathbb{F}_q$ , it is natural to ask the following.

**Question 1.2.** What is the largest dimension of a linear system  $\mathcal{L}$  of degree  $d$  hypersurfaces in  $\mathbb{P}^n$  such that every  $\mathbb{F}_q$ -member of  $\mathcal{L}$  satisfies  $\mathcal{P}$ ?

In our previous paper [AGR23], we addressed Question 1.2 when  $\mathcal{P}$  is the property of being smooth. In the paper [AGY23], the first two authors and Chi Hoi Yip addressed Question 1.2 when  $\mathcal{P}$  is the property of being non-blocking<sup>1</sup>. Parts (a) and (b) of Theorem 1.3 below answer Question 1.2 when  $\mathcal{P}$  is the property of being reducible, and parts (c) and (d) when  $\mathcal{P}$  is the property of being irreducible.

**Theorem 1.3.** *Let  $d \geq 2$  and  $n \geq 1$  be integers,  $m := \binom{n+d}{n}$ ,  $r := \binom{n+d-1}{n}$ , and  $\mathbb{F}_q$  be a finite field of order  $q > 2$ . Then*

(a) *there exists an  $(r - 1)$ -dimensional  $\mathbb{F}_q$ -linear system  $\mathcal{L}_{\text{red}}$  of degree  $d$  hypersurfaces in  $\mathbb{P}^n$  such that every  $\mathbb{F}_q$ -member of  $\mathcal{L}_{\text{red}}$  is reducible over  $\mathbb{F}_q$ .*

(b) *Every  $\mathbb{F}_q$ -linear system  $\mathcal{L}$  of dimension  $\geq r$  has an  $\mathbb{F}_q$ -member which is irreducible over  $\mathbb{F}_q$ .*

(c) *There exists an  $(m - 1 - r)$ -dimensional  $\mathbb{F}_q$ -linear system  $\mathcal{L}_{\text{irr}}$  of degree  $d$  hypersurfaces in  $\mathbb{P}^n$  such that every  $\mathbb{F}_q$ -member of  $\mathcal{L}_{\text{irr}}$  is irreducible over  $\mathbb{F}_q$ .*

(d) *Every  $\mathbb{F}_q$ -linear system  $\mathcal{L}$  of dimension  $\geq m - r$  has an  $\mathbb{F}_q$ -member which is reducible over  $\mathbb{F}_q$ .*

When the finite field  $\mathbb{F}_q$  is replaced by its algebraic closure  $\overline{\mathbb{F}_q}$  or any other algebraically closed field, parts (a) and (b) of Theorem 1.3 remain valid, whereas the dimensions in parts (c) and (d) get reduced by  $n$ ; see Proposition 8.1. In particular, part (c) fails when  $\mathbb{F}_q$  is replaced by an algebraically closed field.

Computer experiments with specific values of  $n$  and  $d$  suggest that the assertion of Theorem 1.1 may be true when  $|F| = 2$ , even though our proof does not go through in this case. If the assumption that  $|F| > 2$  can be dropped in Theorem 1.1, then the assumption that  $q > 2$  can be dropped in Theorem 1.3.

The remainder of this paper is structured as follows. In Section 2, we use a general position argument to prove Theorem 1.1 under the assumption that  $F$  is infinite. In the case where  $F$  is finite, the concept of general position no longer applies. Here we employ

<sup>1</sup>Here a hypersurface  $X$  in  $\mathbb{P}^n$  defined over  $\mathbb{F}_q$  is called *blocking* if  $X \cap L$  has an  $\mathbb{F}_q$ -point for every line  $L \subset \mathbb{P}^n$  defined over  $\mathbb{F}_q$  and *non-blocking* otherwise.

a point-counting argument. The strategy behind this counting argument is outlined in Section 3, and is carried out in Sections 4, 5 and 6. In Section 7 we deduce Theorem 1.3 from Theorem 1.1. In Section 8 we prove a variant of Theorem 1.3 with  $\mathbb{F}_q$  replaced by an algebraically closed field.

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## 2. PROOF OF THEOREM 1.1 IN THE CASE OF INFINITE FIELDS

The following lemma is well known; we include a short proof for the sake of completeness.

**Lemma 2.1.** *Let  $F$  be an infinite field,  $d$  and  $n$  be positive integers, and  $m = \binom{n+d}{n}$ . Then there exist  $P_1, \dots, P_m \in \mathbb{P}^n(F)$  such that no degree  $d$  hypersurface in  $\mathbb{P}^n$  passes through  $P_1, \dots, P_m$ .*

*Proof.* Let  $V_0 = H^0(\mathbb{P}^n, \mathcal{O}(d))$  be the  $m$ -dimensional vector space space of all degree  $d$  forms in  $x_0, \dots, x_n$  and  $V_i \subset V$  be the subspace of forms vanishing at  $P_1, \dots, P_i$ . Clearly  $V_i \subseteq V_{i-1}$  for any choice of  $P_1, \dots, P_i$ . Requiring forms to vanish on each  $P_i$  imposes one linear condition; hence,  $\dim(V_i) \geq m - i$ , again for any choice of  $P_1, \dots, P_i$ . We claim that for a suitable choice of  $P_1, \dots, P_m$ , we have

$$(2.1) \quad V_i \subsetneq V_{i-1}$$

for every  $i = 1, 2, \dots, m$  or equivalently,  $\dim(V_i) = m - i$ . In particular, for this choice of  $P_1, \dots, P_m$ , we will have  $\dim(V_m) = 0$ , and the lemma will follow.

We will choose  $P_1, \dots, P_i$  so that (2.1) holds, by induction on  $i \in \{1, \dots, m\}$ . Indeed, assume  $P_1, \dots, P_{i-1}$  have been chosen. Since  $\dim(V_{i-1}) \geq m - i + 1 > 0$ , there exists a non-zero element  $f_i \in V_{i-1}$ . We will now choose  $P_i \in \mathbb{P}^n(F)$  so that  $f_i(P_i) \neq 0$ . A point  $P_i$  with this property exists since  $F$  is an infinite field. For this choice of  $P_i$ ,  $f_i \in V_{i-1} \setminus V_i$ , and (2.1) follows. This completes the proof of the claim and thus of Lemma 2.1.  $\square$

**Proposition 2.2.** *Let  $d$  and  $n$  be positive integers and  $E/F$  be a commutative algebra of degree  $m = \binom{n+d}{n}$  over  $F$ . View  $E$  as an  $m$ -dimensional vector space over  $F$ . Then there is a homogeneous polynomial function  $H$  on the affine space  $\mathbb{A}_F^{n+1}(E) \simeq \mathbb{A}_F^{(n+1)m}$  defined over  $F$  with the following property: For any field extension  $F'/F$ ,  $E' = E \otimes_F F'$ , a point  $a = (a_0 : \dots : a_n) \in \mathbb{P}^n(E')$  lies on a hypersurface of degree  $d$  defined over  $F'$  if and only if  $H(a_0, a_1, \dots, a_n) = 0$ .*

*Proof.* Let  $M_1, \dots, M_m$  be distinct monomials of degree  $d$  in  $x_0, \dots, x_n$ . Clearly  $a = (a_0 : a_1 : \dots : a_n) \in \mathbb{P}^n(E)$  lies on a hypersurface of degree  $d$  in  $\mathbb{P}^n$  defined over  $F$  if and only if  $M_1(a), \dots, M_m(a)$  are linearly dependent over  $F$ .

Suppose  $\{b_1, \dots, b_n\}$  is an  $F$ -basis of  $E$ . Write

$$(2.2) \quad b_i b_j = \sum_{h=1}^n c_{ij}^h b_h,$$

where the structure constants  $c_{ij}^h$  lie in  $F$ . Using the basis  $b_1, \dots, b_m$  we can identify  $E$  with  $F^m$  as an  $F$ -vector space (not necessarily as an algebra). Set

$$(2.3) \quad a_i = y_{i,1} b_1 + \dots + y_{i,m} b_m,$$

where each  $y_{i,j} \in F$ . Using formulas (2.2), for every  $s = 1, \dots, m$ , we can express  $M_s(a)$  in the form  $M_s(a) = p_{s,1} b_1 + \dots + p_{s,m} b_m$ , where each  $p_{s,t}$  is a homogeneous polynomial of degree  $d$  in  $y_{i,j}$  with coefficients in  $F$ . By abuse of notation, we will denote these polynomials by  $p_{s,t}(y_{i,j})$ .

Now, view  $y_{i,j}$  as independent  $(n+1)m$  variables, as  $i$  ranges from 0 to  $n$  and  $j$  ranges from 1 to  $m$ . Set

$$H(y_{i,j}) = \det \begin{pmatrix} p_{1,1}(y_{i,j}) & p_{1,2}(y_{i,j}) & \cdots & p_{1,m}(y_{i,j}) \\ p_{2,1}(y_{i,j}) & p_{2,2}(y_{i,j}) & \cdots & p_{2,m}(y_{i,j}) \\ \vdots & \vdots & \ddots & \vdots \\ p_{m,1}(y_{i,j}) & p_{m,2}(y_{i,j}) & \cdots & p_{m,m}(y_{i,j}) \end{pmatrix}.$$

For any field extension  $F'/F$ , an  $F'$ -point  $(\alpha'_{i,j}) \in \mathbb{A}_F^{(n+1)m}$  represents a point  $a' = (a'_0 : \dots : a'_m) \in \mathbb{P}^n(E')$ , where  $a'_i = \alpha_{i,1} b_1 + \dots + \alpha_{i,m} b_m \in E'$  for each  $i = 0, 1, \dots, n$ . By our construction,  $H(\alpha_{i,j}) = 0$  if and only if  $M_1(a'), \dots, M_m(a')$  are linearly dependent over  $F'$ , and the proposition follows.  $\square$

*Remark 2.3.* In the case, where  $E/F$  is a separable field extension of degree  $m$ , we can give an alternative description of  $H$  as follows. Denote the normal closure of  $E$  over  $F$  by  $E^{\text{norm}}$ , the Galois group  $\text{Gal}(E^{\text{norm}}/F)$  by  $G$ , and the Galois group  $\text{Gal}(E^{\text{norm}}/E)$  by  $G_0$ . Note that  $[G : G_0] = [E : F] = m$ .

It is easy to see that there exists a homogeneous polynomial

$$P_{d,n} \in \mathbb{Z}[x_{ij} \mid i = 1, \dots, m \text{ and } j = 0, 1, \dots, n]$$

such that  $m$  points  $(x_{i0} : \dots : x_{in})$  of  $\mathbb{P}^n$ , where  $i = 1, \dots, m$ , all lie on the same hypersurface of degree  $d$  if and only if  $P_{d,n}(x_{ij}) = 0$ . Then given a point  $A = (a_0, \dots, a_n)$  in  $\mathbb{A}_E^{n+1}$ , we set  $H(A) = P_{d,n}(\sigma_1(A), \dots, \sigma_m(A))$ , where  $\sigma_1, \dots, \sigma_m$  are representatives of the  $m$  left cosets of  $G_0$  in  $G$ .

*Conclusion of the proof of Theorem 1.1, assuming  $F$  is an infinite field.* Let  $H(y_{i,j})$  be the homogeneous polynomial function on  $\mathbb{A}_F(E^n) \simeq \mathbb{A}_F^{(n+1)m}$  defined over  $F$  whose existence is asserted by Proposition 2.2. We claim that  $H$  is not identically 0.

Once this claim is established, Theorem 1.1 readily follows from Proposition 2.2; since  $F$  is an infinite field, we can specialize each  $x_{ij}$  to some  $c_{ij} \in F$  so that  $H(c_{ij}) \neq 0$ .

To prove the claim, it suffices to show that  $H(c_{ij}) \neq 0$ , for some choice of  $c_{ij}$  in a larger field  $F'$  containing  $F$ . Let us choose  $F'$  so that  $F'$  splits  $E/F$ , i.e.,  $E \otimes_F F'$  isomorphic to  $E' := F' \times \dots \times F'$  ( $m$  times). In particular, we can take  $F'$  to be an algebraic closure of  $F$ .

Using Proposition 2.2, we can rephrase the above observation as follows: to prove the existence of a point  $a = (a_0 : a_1 : \dots : a_n) \in \mathbb{P}^n(E)$  with the property that it does not lie on any hypersurface of degree  $d$  defined over  $F$ , it suffices to prove the existence of a point  $a' = (a'_0 : \dots : a_n) \in \mathbb{P}^n(E')$  which does not lie on any hypersurface of degree  $d$  defined over  $F'$ . To finish the proof, observe that the existence of  $a'$  with this property is equivalent to Lemma 2.1 with  $F = F'$ .  $\square$

### 3. PROOF STRATEGY FOR THEOREM 1.1 IN THE FINITE FIELD CASE

From now on, we will assume that  $F = \mathbb{F}_q$  and  $E = \mathbb{F}_{q^m}$  are finite fields. This section outlines a strategy for a proof of Theorem 1.1 in this case. We begin by proving Theorem 1.1 under the assumption  $q > d$ , which greatly simplifies our counting argument.

**Proposition 3.1.** *Let  $q$  be a prime power,  $d, n \in \mathbb{N}$  and  $m := \binom{n+d}{n}$ . Assume  $q > d$ . Then there exists a point  $P \in \mathbb{P}^n(\mathbb{F}_{q^m})$  such that  $P$  does not lie on any hypersurface of degree  $d$  defined over  $\mathbb{F}_q$ .*

Note that here  $q = 2$  is allowed, unlike in Theorem 1.1, but only in the (trivial) case, where  $d = 1$ . For the remainder of the paper,

$\mathcal{H} \subset \mathbb{P}^n$  will denote the union of all hypersurfaces of degree  $d$  defined over  $\mathbb{F}_q$ .

*Proof of Proposition 3.1.* Observe that  $\deg(\mathcal{H}) = d(q^{m-1} + \dots + q + 1)$ . Since  $q > d$ , we have

$$\deg(\mathcal{H}) \leq (q - 1)(q^{m-1} + \dots + q + 1) = q^m - 1$$

On the other hand, the degree of a space-filling hypersurface in  $\mathbb{P}^n(\mathbb{F}_{q^m})$  defined over  $\mathbb{F}_q$  is at least  $q^m + 1$ ; see, e.g., [MR98, Théorème 2.1]. We conclude that  $\mathcal{H}$  is not space-filling in  $\mathbb{P}^n(\mathbb{F}_{q^m})$ , and the proposition follows.  $\square$

When  $d \geq q$ , we will need a more delicate argument to show that  $\mathcal{H}$  does not contain every  $\mathbb{F}_{q^m}$ -point of  $\mathbb{P}^n$ . We will estimate the number of  $\mathbb{F}_{q^m}$ -points on  $\mathcal{H}$ , with the goal of showing that this number is strictly smaller than the number of  $\mathbb{F}_{q^m}$ -points in  $\mathbb{P}^n$ . To estimate the number of  $\mathbb{F}_{q^m}$ -points on  $\mathcal{H}$ , we will subdivide the hypersurfaces  $X \subset \mathbb{P}^n$  of degree  $d$  defined over  $\mathbb{F}_q$  into two classes:

- a)  $X$  is geometrically irreducible (that is, irreducible over  $\overline{\mathbb{F}_q}$ ), or
- b)  $X$  is geometrically reducible.

When  $X \subset \mathbb{P}^n$  is geometrically irreducible, we will use the inequality

$$(3.1) \quad |X(\mathbb{F}_{q^m})| \leq (q^{m(n-1)} + \dots + q^m + 1) + (d - 1)(d - 2)q^{m(n-3/2)} + 5d^{13/3}q^{m(n-2)},$$

due to Cafure and Matera [CM06, Theorem 5.2]. When  $X$  is geometrically reducible, we will use Serre's estimate [Ser91, Théorème],

$$(3.2) \quad |X(\mathbb{F}_q)| \leq dq^{m(n-1)} + q^{m(n-2)} + \dots + q^m + 1.$$

Note that both of these are polynomial bounds in  $q$  of degree  $m(n - 1)$ . However, the one in Case b) is asymptotically weaker, because the leading term  $q^{m(n-1)}$  comes with coefficient 1 in (3.1) and with coefficient  $d$  in (3.2). To get a strong upper bound on the number of  $\mathbb{F}_{q^m}$ -points on  $\mathcal{H}$ , we need to make sure that Case b) does not occur too often. In other words, if we let  $t$  denote the fraction of hypersurfaces in  $\mathbb{P}^n$  over  $\mathbb{F}_q$  of fixed degree

$d$  which are *not* geometrically irreducible, then our first task is to bound  $t$  from above. Note that  $t$  depends on  $q$ ,  $d$  and  $n$ .

Poonen showed that  $t \rightarrow 0$ , as  $d \rightarrow \infty$  and  $q$  and  $n$  remain fixed; see [Poo04, Proposition 2.7]. This is not enough for our purposes. We will refine the inequalities from the proof of [Poo04, Proposition 2.7] to establish the following upper bound on  $t$ .

**Proposition 3.2.** *Let  $t$  denote the fraction of hypersurfaces in  $\mathbb{P}^n$  of degree  $d$  over  $\mathbb{F}_q$  that are geometrically reducible. Assume that one of the following conditions holds:*

- $n = 2$ ,  $d \geq 6$  and  $q \geq 3$ ; or
- $n \geq 3$ ,  $d \geq 3$  and  $q \geq 3$ .

Then  $(d - 1)tq \leq 2$ .

We will prove Proposition 3.2 in Section 5, then use it to complete the proof of Theorem 1.1 in Section 6. In Section 4 we gather several elementary inequalities involving binomial coefficients, which will be used in our proofs.

#### 4. COMBINATORIAL BOUNDS

Throughout this section, we let  $q, d \geq 3$  and  $n \geq 2$  be integers. For each  $i$  between 0 and  $d$ , set

$$(4.1) \quad N_i = \binom{n+d}{d} - \binom{n+i}{n} - \binom{n+d-i}{n}.$$

**Lemma 4.1.** *Assume  $2(i+1) \leq d$ . Then*

- (a)  $N_{i+1} - N_i \geq d - 2i - 1$ ; and
- (b)  $N_{i+1} - N_1 \geq d - 3$ .

*Proof.* (a) Using Pascal's identity recursively, we rewrite  $N_{i+1} - N_i$  as

$$\begin{aligned} N_{i+1} - N_i &= \binom{n+d-i-1}{n-1} - \binom{n+i}{n-1} \\ &= \sum_{j=0}^{d-i} \binom{n-2+j}{n-2} - \sum_{j=0}^{i+1} \binom{n-2+j}{n-2} \\ &= \sum_{j=i+2}^{d-i} \binom{n-2+j}{n-2} \end{aligned}$$

The above sum has  $(d-i) - (i-1) = d - 2i + 1 \geq 1$  terms by our assumption on  $i$ . Moreover, each term  $\geq 1$ , so the sum is  $\geq d - 2i + 1$ , as desired.

(b) Write  $N_{i+1} - N_1 = (N_{i+1} - N_i) + (N_i - N_{i-1}) + \dots + (N_2 - N_1)$ . Part (a) tells us that each term in this sum is non-negative, and the last term,  $N_2 - N_1$ , is  $\geq d - 3$ . Thus

$$(4.2) \quad N_{i+1} - N_1 = (N_{i+1} - N_i) + (N_i - N_{i-1}) + \dots + (N_2 - N_1) \geq N_2 - N_1 \geq d - 3,$$

as desired. □

**Lemma 4.2.** Let  $u_1 := \sum_{i=1}^{\lfloor d/2 \rfloor} q^{-N_i}$ , where  $N_i$  is as in (4.1). Then

$$(a) \ u_1 \leq \frac{29}{27} q^{2-d} \text{ if } n = 2, q \geq 3 \text{ and } d \geq 6.$$

$$(b) \ u_1 \leq \frac{3}{2} q^{-\frac{n(n+d-1)}{2} + n+1} \text{ for all } n \geq 3, q \geq 3, \text{ and } d \geq 3.$$

*Proof.* We first estimate  $N_1$  from below. Note that we assume  $n \geq 2$  throughout.

$$\begin{aligned} N_1 &= \binom{n+d-1}{n-1} - \binom{n+1}{n} = \binom{n+d-1}{d} - \binom{n+1}{1} \\ &= \frac{(n+d-1)(n+d-2) \cdots (n+1)n}{d!} - (n+1) \\ (4.3) \quad &= (n+d-1) \cdot \left( \frac{n+d-2}{d} \right) \cdots \left( \frac{n+1}{3} \right) \cdot \frac{n}{2} - (n+1) \\ &\geq \frac{(n+d-1)n}{2} - (n+1). \end{aligned}$$

Using this estimate in combination with Lemma 4.1(b), we obtain:

$$\begin{aligned} u_1 &\leq q^{-N_1} \cdot \sum_{i=1}^{\lfloor d/2 \rfloor} q^{-(N_i - N_1)} \leq q^{-N_1} \left( 1 + \sum_{i=2}^{\lfloor d/2 \rfloor} q^{-(d-3)} \right) \\ &\leq q^{-N_1} \left( 1 + \left( \frac{d}{2} - 1 \right) q^{3-d} \right) \leq q^{-\frac{(n+d-1)n}{2} + (n+1)} \left( 1 + \left( \frac{d}{2} - 1 \right) q^{3-d} \right). \end{aligned}$$

An elementary computation shows that for integers  $d \geq 6$  and  $q \geq 3$ , the expression  $\left( 1 + \left( \frac{d}{2} - 1 \right) q^{3-d} \right)$  is at most  $\frac{29}{27}$ . (This maximal value is attained when  $q = 3$  and  $d = 6$ .) This completes the proof of part (a).

Similarly, when  $q \geq 3$  and  $d \geq 3$ , the maximal value of the expression  $\left( 1 + \left( \frac{d}{2} - 1 \right) q^{3-d} \right)$  is  $\frac{3}{2}$ . (This maximal value is attained when  $q = 3$  and  $d = 3$ .) This completes the proof of part (b).  $\square$

**Lemma 4.3.** For each divisor  $e > 1$  of  $d$ , set  $M_e := \binom{d+n}{n} - e \cdot \binom{d/e+n}{n}$ . Then

$$M_e \geq \binom{e}{2} \binom{n}{2} \left( \frac{d}{e} \right)^2 - e + 1.$$

for any  $n \geq 2, q \geq 3, d \geq 3$ . Here  $e \mid d$ , where  $e > 1$ .

*Proof.* Let  $S = T \cup F$ , where  $T$  and  $F$  are disjoint sets of cardinality  $d$  and  $n$ , respectively. The binomial coefficient  $\binom{d+n}{n}$  counts the number of  $n$ -subsets of  $S$ .

Partition  $T$  as  $T = T_1 \cup T_2 \cup \cdots \cup T_e$ , where  $|T_i| = d/e$  for each  $i$ , and set  $S_i = T_i \cup F$ . Note that  $|S_i| = (d/e) + n$ ; hence, the binomial coefficient  $\binom{d/e + n}{n}$  counts the number of  $n$ -subsets of  $S_i$ . It is also clear that the number of *common*  $n$ -subsets of  $S_i$  and  $S_j$  for  $i \neq j$  is exactly 1, namely the  $n$ -set  $F$ . Thus, the total number of  $n$ -subsets arising from  $S_1, S_2, \dots, S_e$  is exactly:

$$e \cdot \left( \binom{d/e + n}{n} - 1 \right) + 1 = e \cdot \binom{d/e + n}{n} - e + 1.$$

Next, we construct additional  $n$ -subsets of  $S$  that are not contained in any  $S_k$ . Fix integers  $1 \leq i < j \leq e$ . Choose elements  $a \in T_i$  and  $b \in T_j$  and consider  $n$ -subsets of  $S$  of the form

$$\{a, b\} \cup E$$

for some  $(n-2)$ -subset  $E$  of  $F$ . By our construction,  $\{a, b\} \cup E$  is not contained in  $S_k$  for any  $1 \leq k \leq e$ . The number of subsets of the form  $\{a, b\} \cup E$  is equal to  $(d/e) \cdot (d/e) \cdot \binom{n}{n-2}$  once  $i$  and  $j$  are fixed, because there are  $d/e$  ways to choose  $a$  in  $T_i$ ,  $d/e$  ways to choose  $b$  in  $T_j$ , and  $\binom{n}{n-2} = \binom{n}{2}$  ways to choose an  $(n-2)$ -subset  $E$  of  $F$ . Varying  $(i, j)$  among the  $\binom{e}{2}$  choices, we get a total contribution of

$$\binom{e}{2} \binom{n}{2} \left( \frac{d}{e} \right)^2$$

many distinct  $n$ -subsets of  $S$  that do not arise as  $n$ -subsets of  $S_k$  for any  $1 \leq k \leq e$ . Consequently,

$$\binom{d+n}{n} - \left( e \cdot \binom{d/e + n}{n} - e + 1 \right) \geq \binom{e}{2} \binom{n}{2} \left( \frac{d}{e} \right)^2,$$

leading to the lower bound

$$M_e = \binom{d+n}{n} - e \cdot \binom{d/e + n}{n} \geq \binom{e}{2} \binom{n}{2} \left( \frac{d}{e} \right)^2 - e + 1,$$

as claimed in the conclusion of Lemma 4.3.  $\square$

We will also need the following lower bound for the integers  $M_e$  defined in Lemma 4.3.

**Lemma 4.4.** *If  $n \geq 2$  and  $d, q \geq 3$ , then for each divisor  $e > 1$  of  $d$ , we have:*

$$(4.4) \quad M_e \geq \frac{1}{4} \binom{n}{2} d^2 - d + 1.$$

*Proof.* The bound from (4.4) follows from Lemma 4.3 using that

$$\binom{e}{2} \binom{n}{2} \left( \frac{d}{e} \right)^2 - e + 1 \geq \left( \frac{1}{2} - \frac{1}{2e} \right) \binom{n}{2} d^2 - d + 1 \geq \frac{1}{4} \binom{n}{2} d^2 - d + 1$$

since  $d \geq e \geq 2$ .  $\square$

**Lemma 4.5.** *Set  $u_2 := \sum_{e|d, e>1} q^{-M_e}$ . If  $n \geq 2$ ,  $q \geq 3$ ,  $d \geq 3$ , then*

$$u_2 \leq (d-1)q^{-\frac{1}{4}\binom{n}{2}d^2+d-1}.$$



*Proof.* First, we note that the number of divisors  $e$  of  $d$  with  $e > 1$  is at most  $d - 1$ . Thus the sum the right hand side of  $u_2 := \sum_{e|d, e>1} q^{-Me}$  has at most  $d - 1$  terms. By Lemma 4.4, each term  $q^{-Me}$  is at most  $q^{-\frac{1}{4}\binom{n}{2}d^2+d-1}$ . Lemma 4.5 now tells us that

$$u_2 \leq (d - 1)q^{-\frac{1}{4}\binom{n}{2}d^2+d-1},$$

as desired.  $\square$

Finally, we set

$$(4.5) \quad v_1 := \frac{3}{2}q^{-\frac{(n+d-1)n}{2}+(n+1)} + (d - 1)q^{-\frac{1}{4}\binom{n}{2}d^2+d-1},$$

when  $n \geq 3$ ,  $q \geq 3$  and  $d \geq 3$ , and

$$(4.6) \quad v_2 := \frac{29}{27}q^{2-d} + (d - 1)q^{-\frac{1}{4}d^2+d-1},$$

when  $n = 2$ ,  $q \geq 3$  and  $d \geq 6$ . We will establish next upper bounds for  $v_2$  and  $v_1$  (in this order).

**Lemma 4.6.** *For  $n = 2$ ,  $q \geq 3$  and  $d \geq 6$ , we have  $(d - 1)qv_2 \leq 2$ .*

*Proof.* Using (4.6), we write

$$(d - 1)v_2q = \Theta(q, d) := (d - 1) \left( \frac{29}{27}q^{3-d} + (d - 1)q^{-\frac{1}{4}d^2+d} \right).$$

For  $d \geq 6$ , both exponents in  $q^{3-d}$  and  $q^{-\frac{1}{4}d^2+d}$  are negative. This yields  $\Theta(q, d) \leq \Theta(3, d)$  for  $q \geq 3$ . We now view  $\Theta(3, d)$  as a function of  $d$ , as  $d$  ranges over the interval  $[6, \infty)$ . On this interval  $\Theta(3, d)$  achieves its maximum at  $d = 6$ . Thus,  $(d - 1)q \leq \Theta(3, 6) \approx 1.125$ . In particular,  $(d - 1)q \leq 2$ .  $\square$

**Lemma 4.7.** *Assume that  $n \geq 3$ ,  $q \geq 3$  and  $d \geq 3$ . Then  $(d - 1)v_1q \leq 2$ .*

*Proof.* We argue as in the proof of Lemma 4.6. For  $n \geq 3$ , the definition of  $v_1$  from (4.5) implies

$$v_1 \leq 1.5q^{4-\frac{3}{2}(d+2)} + (d - 1)q^{-\frac{3}{4}d^2+d-1}.$$

where we have substituted  $n = 3$  in (4.5). Consequently,

$$(d - 1)v_1q \leq \Psi(q, d) := (d - 1) \left( 1.5q^{5-\frac{3}{2}(d+2)} + (d - 1)q^{-\frac{3}{4}d^2+d} \right)$$

We have  $\Psi(q, d) \leq \Psi(3, d)$  for  $q \geq 3$ . Viewing  $\Psi(3, d)$  as a function of  $d$  and letting  $d$  range over the interval  $[3, \infty)$ , we see that  $\Psi(3, d)$  achieves its maximum on this interval when  $d = 3$ . Thus,  $(d - 1)q \leq \Psi(3, 3) \approx 0.257$ . In particular,  $(d - 1)v_1q \leq 2$ , as desired.  $\square$

## 5. PROOF OF PROPOSITION 3.2

Following Poonen [Poo04, Proof of Proposition 2.7], we will write

$$(5.1) \quad t = t_1 + t_2$$

and estimate  $t_1$  and  $t_2$  separately. Here

- $t_1$  is the proportion of hypersurfaces of degree  $d$  in  $\mathbb{P}^n$  defined over  $\mathbb{F}_q$ , which are reducible over  $\mathbb{F}_q$ , and
- $t_2$  is the proportion of hypersurfaces of degree  $d$  in  $\mathbb{P}^n$  defined over  $\mathbb{F}_q$ , which are irreducible over  $\mathbb{F}_q$  but reducible over  $\mathbb{F}_{q^e}$  for some integer  $e > 1$ , dividing  $d$ .

**Lemma 5.1.** (a) Assume  $n = 2$ ,  $q \geq 3$  and  $d \geq 6$ . Then  $t_1 \leq \frac{29}{27}q^{2-d}$ .

(b) Assume  $n \geq 3$ ,  $q \geq 3$ , and  $d \geq 3$ . Then  $t_1 \leq \frac{3}{2}q^{-\frac{n(n+d-1)}{2}+n+1}$ .

*Proof.* It is shown in the proof of [Poo04, Proposition 2.7] that

$$(5.2) \quad t_1 \leq \sum_{i=1}^{\lfloor d/2 \rfloor} q^{-N_i},$$

where  $N_i = \binom{n+d}{d} - \binom{n+i}{n} - \binom{n+d-i}{n}$ , as in (4.1). Parts (a) and (b) now follow from Lemma 4.2(a) and (b), respectively. (Note that the right hand side of the inequality (5.2) is denoted by  $u_1$  in the statement of Lemma 4.2.)  $\square$

Next, we prove a lower bound on the proportion  $t_2$  of hypersurfaces which are irreducible but not geometrically irreducible.

**Lemma 5.2.** Let  $n \geq 2$ ,  $q \geq 3$ ,  $d \geq 3$ , we have  $t_2 \leq (d-1)q^{-\frac{1}{4}\binom{n}{2}d^2+d-1}$ .

*Proof.* It is shown in the proof of [Poo04, Proposition 2.7] that

$$(5.3) \quad t_2 \leq \sum_{e|d, e>1} q^{-M_e}$$

where  $M_e = \binom{d+n}{n} - e \binom{d/e+n}{n}$ . The desired conclusion now follows from Lemma 4.5. (Note that the right hand side of the inequality (5.3) is denoted by  $u_2$  in the statement of Lemma 4.5.)  $\square$

We are finally ready to finish the proof of Proposition 3.2.

*Proof of Proposition 3.2.* Writing  $t = t_1 + t_2$ , as in (5.1) and using Lemma 5.1 and Lemma 5.2, we obtain

$$t \leq \frac{3}{2}q^{-\frac{(n+d-1)n}{2}+(n+1)} + (d-1)q^{-\frac{1}{4}\binom{n}{2}d^2+d-1}$$

when  $n \geq 3$ ,  $q \geq 3$  and  $d \geq 3$ , while

$$t \leq \frac{29}{27}q^{2-d} + (d-1)q^{-\frac{1}{4}d^2+d-1},$$

when  $n = 2$ ,  $q \geq 3$  and  $d \geq 6$ . Note that the right hand sides of these inequalities are precisely the quantities  $v_1$  and  $v_2$  from (4.5) and (4.6). The desired conclusion,

$$(d-1)rq \leq 2,$$

now follows from Lemmas 4.7 and 4.6, respectively.  $\square$

## 6. CONCLUSION OF THE PROOF OF THEOREM 1.1

The case when  $F$  is infinite is examined in Section 2. Thus we will assume that  $F = \mathbb{F}_q$  and  $E = \mathbb{F}_{q^m}$  are finite fields. The case where  $q > d$  is handled in Proposition 3.1. Hence, from now on, we assume that  $q \leq d$ .

We follow the strategy outlined in Section 3. Recall the notation we used there:

- $\mathcal{H}$  denotes the union of all degree  $d$  hypersurfaces in  $\mathbb{P}^n$  defined over  $\mathbb{F}_q$ , and
- $t$  denotes the fraction of these hypersurfaces which are *not* geometrically irreducible.

Our goal is to show that there exists an  $\mathbb{F}_{q^m}$ -point in  $\mathbb{P}^n$  which does not lie on  $\mathcal{H}$ . As the total number of hypersurfaces of degree  $d$  defined over  $\mathbb{F}_q$  is  $q^{m-1} + \dots + q + 1 = \frac{q^m - 1}{q - 1}$ ,

there are exactly  $t \left( \frac{q^m - 1}{q - 1} \right)$  hypersurfaces of degree  $d$  which are geometrically reducible.

Using the upper bounds (3.1) and (3.2) on the number of points of a hypersurface of degree  $d$ , we obtain the following inequality:

$$\begin{aligned} \#\mathcal{H}(\mathbb{F}_{q^m}) &\leq \left( \frac{q^m - 1}{q - 1} \right) \cdot ((1-t)((q^{m(n-1)} + \dots + q^m + 1) + (d-1)(d-2)q^{m(n-3/2)} \\ &\quad + 5d^{13/3}q^{m(n-2)}) + t(dq^{m(n-1)} + q^{m(n-2)} + \dots + q^m + 1)), \end{aligned}$$

where  $m := \binom{n+d}{n}$ . After some cancellations, we can bound the term in the parenthesis after  $\frac{q^m - 1}{q - 1}$  from above by

$$(6.1) \quad \begin{aligned} &(1 + (d-1)t)q^{m(n-1)} + q^{m(n-2)} + \dots + q^m + 1 \\ &+ (d-1)(d-2)q^{m(n-3/2)} + 5d^{13/3}q^{m(n-2)}. \end{aligned}$$

By Proposition 3.2, we have

$$(6.2) \quad (d-1)t \leq \frac{2}{q},$$

for all  $n \geq 3$ ,  $d \geq 3$  and  $q \geq 3$ , or  $n = 2$ ,  $q \geq 3$  and  $d \geq 6$ . Since we already know that Theorem 1.1 holds when  $q > d$  (see Proposition 3.1), we may assume that the inequality (6.2) holds unless  $(n, q, d)$  equals  $(2, 3, 3)$ ,  $(2, 3, 4)$ ,  $(2, 3, 5)$ ,  $(2, 4, 4)$ ,  $(2, 4, 5)$  and  $(2, 5, 5)$ . These exceptional cases will be handled using a computer at the end of the proof; we ignore them for now. Next, we bound the lower-order terms in the expression (6.1).

**Claim.** If  $n \geq 2$ ,  $q \geq 3$  and  $d \geq 3$ , then we have

$$(d-1)(d-2)q^{m(n-3/2)} + (q^{m(n-2)} + \dots + q^m + 1) + 5d^{13/3}q^{m(n-2)} < q^{m(n-1)-1}$$

In order to verify this inequality, we first note that

$$(6.3) \quad q^{m(n-2)} + \cdots + q^m + 1 = \frac{q^{m(n-1)} - 1}{q^m - 1} < \frac{q^{m(n-1)}}{q^m - 1} < \frac{q^{m(n-1)}}{1000q},$$

since  $q \geq 3$  and  $m \geq (d+2)(d+1)/2 \geq 10$  because  $d \geq 3$ . Employing (6.3), we see that the left-hand side of the inequality in the Claim is less than

$$(6.4) \quad (d-1)(d-2)q^{m(n-3/2)} + \frac{q^{m(n-1)-1}}{1000} + 5d^{13/3}q^{m(n-2)}.$$

Dividing the expression from (6.4) by  $q^{m(n-1)-1}$ , we can easily check

$$(d-1)(d-2)q^{1-m/2} + \frac{1}{1000} + 5d^{13/3}q^{1-m} < 1,$$

keeping in mind that  $q \geq 3$  and  $m \geq (d+2)(d+1)/2$ , while  $d \geq 3$ . This completes the proof of the Claim.

Combining the Claim with the inequality (6.2), the quantity in (6.1) is less than

$$\left(1 + \frac{2}{q}\right) q^{m(n-1)} + q^{m(n-1)-1} < q^{m(n-1)} + 3q^{m(n-1)-1}.$$

Thus, we obtain the following upper bound on  $\#\mathcal{H}(\mathbb{F}_{q^m})$ .

$$\#\mathcal{H}(\mathbb{F}_{q^m}) < \left(\frac{q^m - 1}{q - 1}\right) (q^{m(n-1)} + 3q^{m(n-1)-1})$$

To show that  $\mathcal{H}$  does not pass through every  $\mathbb{F}_{q^m}$ -point in  $\mathbb{P}^n$ , it is enough to show that

$$\left(\frac{q^m - 1}{q - 1}\right) (q^{m(n-1)} + 3q^{m(n-1)-1}) \leq q^{mn},$$

because  $\#\mathbb{P}^n(\mathbb{F}_{q^m}) = q^{mn} + \cdots + q^m + 1$ . By replacing  $q^m - 1$  with  $q^m$  on the left-hand-side, we claim that the stronger inequality holds:

$$q^m(q^{m(n-1)} + 3q^{m(n-1)-1}) \leq q^{mn+1} - q^{mn}.$$

After cancelling out  $q^{mn-1}$  from both sides, it remains to show,

$$q + 3 \leq q^2 - q.$$

This last inequality  $q^2 - 2q - 3 \geq 0$  is valid for all  $q \geq 3$ . Therefore, we have established Theorem 1.1 with  $F = \mathbb{F}_q$  and  $E = \mathbb{F}_{q^m}$ , for all triples  $(n, q, d)$  with  $n \geq 2$ ,  $q \geq 3$ ,  $d \geq 1$ , and  $(n, q, d) \neq (2, 3, 3), (2, 3, 4), (2, 3, 5), (2, 4, 4), (2, 4, 5), (2, 5, 5)$ .

We now complete the proof of Theorem 1.1 by a computer-assisted computation in these six exceptional cases. For each of the exceptional triples  $(n, q, d)$ , it suffices to find a single point  $P \in \mathbb{P}^2(\mathbb{F}_{q^m})$  such that  $P$  does not lie on any degree  $d$  hypersurface defined over  $\mathbb{F}_q$ . Here  $m = \binom{n+d}{n}$ .

When  $(n, q, d) = (2, 3, 3)$  we write  $\mathbb{F}_{3^{10}}$  as  $\mathbb{F}_3[a]/(a^{10} + a^4 + a + 1)$ , and check that  $P = (a : a^8 : 1)$  does not lie on any cubic plane curve defined over  $\mathbb{F}_3$ .

When  $(n, q, d) = (2, 3, 4)$ , we write  $\mathbb{F}_{3^{15}}$  as  $\mathbb{F}_3[a]/(a^{15} + a^2 - 1)$  and check that  $P = (a : a^9 : 1)$  does not lie on any quartic plane curve defined over  $\mathbb{F}_3$ .

When  $(n, q, d) = (2, 3, 5)$ , we write  $\mathbb{F}_{3^{21}}$  as  $\mathbb{F}_3[a]/(a^{21} + a^{16} - 1)$  and check that  $P = (a : a^{18} : 1)$  does not lie on any quintic plane curve defined over  $\mathbb{F}_3$ .

When  $(n, q, d) = (2, 4, 4)$ , we write  $\mathbb{F}_{4^{15}}$  as  $\mathbb{F}_4[a]/(a^{15} + a + 1)$  and check that  $P = (a^3 : a^8 : 1)$  does not lie on any quartic plane curve defined over  $\mathbb{F}_4$ .

When  $(n, q, d) = (2, 4, 5)$ , we write  $\mathbb{F}_{4^{21}}$  as  $\mathbb{F}_4[a]/(a^{21} + a^2 + 1)$  and check that  $P = (a^6 : a^{11} : 1)$  does not lie on any quintic plane curve defined over  $\mathbb{F}_4$ .

When  $(n, q, d) = (2, 5, 5)$ , we write  $\mathbb{F}_{5^{21}}$  as  $\mathbb{F}_5[a]/(a^{21} + a^{18} + a^{14} + 1)$  and check that  $P = (a : a^9 : 1)$  does not lie on any quintic plane curve defined over  $\mathbb{F}_5$ .  $\square$

### 7. PROOF OF THEOREM 1.3

We will first construct the linear systems  $\mathcal{L}_{\text{red}}$  and  $\mathcal{L}_{\text{irr}}$  in parts (a) and (c), then use them to prove parts (b) and (d). We will use the notation from the statement of Theorem 1.3 throughout this section:  $d$  and  $n$  are positive integers,

$$m := \binom{n+d}{n} \quad \text{and} \quad r := \binom{n+d-1}{n}.$$

(a) We take  $\mathcal{L}_{\text{red}}$  to be the linear system of hypersurfaces of degree  $d$  in  $\mathbb{P}^n$  containing a fixed hyperplane  $H$ . Let us say,  $H$  is the hyperplane given by  $x_0 = 0$ . Then  $\mathcal{L}_{\text{red}}$  consists of polynomials of the form  $x_0 F(x_0, x_1, \dots, x_n)$ , where  $F(x_0, x_1, \dots, x_n)$  is a polynomial of degree  $d-1$  in  $x_0, x_1, \dots, x_n$ . (Note that we are using the assumption that  $d \geq 2$  to conclude that any polynomial of this form is reducible.) The dimension of  $\mathcal{L}_{\text{red}}$  is thus equal to the dimension of the linear system of homogeneous polynomials  $F(x_1, \dots, x_n)$  of degree  $d-1$  in  $x_1, \dots, x_n$ . In other words,  $\dim(\mathcal{L}_{\text{red}}) = r - 1$ .

(c) We apply Theorem 1.1 for degree  $d-1$  hypersurfaces in  $\mathbb{P}^n$ . Note that as we replace  $d$  by  $d-1$  in Theorem 1.1,  $m$  gets replaced by  $r$ . We obtain a point  $P \in \mathbb{P}^n(\mathbb{F}_{q^r})$  that is not contained in any hypersurface of degree  $d-1$  defined over  $\mathbb{F}_q$ . Clearly,  $P$  is also not contained in any hypersurface of degree at most  $d-1$ . Let  $S = \{P_1, \dots, P_r\}$  be the orbit of  $P$  under  $\text{Gal}(\mathbb{F}_{q^r}/\mathbb{F}_q)$ , where  $P_1 = P$ . Consider the vector space  $V_S$  of degree  $d$  forms defined over  $\mathbb{F}_q$ , which vanish at the point  $P$  (and therefore at each point of  $S$ ). Since vanishing at each additional point imposes at most one new linear condition, we obtain  $\dim V_S \geq m - r$ . Pick linearly independent forms  $f_0, f_1, \dots, f_{m-1-r} \in V_S$  and consider the  $(m-1-r)$ -dimensional linear system  $\mathcal{L}_{\text{irr}} = \langle f_0, f_1, \dots, f_{m-1-r} \rangle$  of degree  $d$  hypersurfaces.

It remains to show that each  $\mathbb{F}_q$ -member of  $\mathcal{L}_{\text{irr}}$  is irreducible over  $\mathbb{F}_q$ . Indeed, assume the contrary: we factor  $f$  as  $f = g \cdot h$ , where  $g, h \in \mathbb{F}_q[x_0, \dots, x_n]$  are homogeneous polynomials of degree at most  $d-1$ . Since  $f(P) = 0$ , we have  $g(P) = 0$  or  $h(P) = 0$ . This leads to a contradiction, because  $P$  does not lie on a hypersurface in  $\mathbb{P}^n$  of degree at most  $d-1$  defined over  $\mathbb{F}_q$ . Thus, every  $\mathbb{F}_q$ -member of  $\mathcal{L}_{\text{irr}}$  is irreducible over  $\mathbb{F}_q$ .

(b) Suppose  $\mathcal{L}$  is a linear system of hypersurfaces of degree  $d$  in  $\mathbb{P}^n$  of dimension  $r$ . Then  $\mathcal{L}$  and  $\mathcal{L}_{\text{irr}}$  intersect non-trivially in  $\mathbb{P}^{m-1}$ . An  $\mathbb{F}_q$ -member of  $\mathcal{L}$  corresponding to the  $\mathbb{F}_q$ -point of intersection is irreducible over  $\mathbb{F}_q$ .

(d) Similarly, if  $\mathcal{L}$  is a linear system of hypersurfaces of degree  $d$  in  $\mathbb{P}^n$  of dimension  $\geq m - r$ , then  $\mathcal{L}$  and  $\mathcal{L}_{\text{red}}$  intersect non-trivially in  $\mathbb{P}^{m-1}$ . An  $\mathbb{F}_q$ -member of  $\mathcal{L}$  corresponding to an  $\mathbb{F}_q$ -point of intersection is reducible over  $\mathbb{F}_q$ .  $\square$

## 8. A VARIANT OF THEOREM 1.3 OVER AN ALGEBRAICALLY CLOSED FIELD

In this section we prove a variant of Theorem 1.3, where the finite field  $\mathbb{F}_q$  is replaced by an algebraically closed field  $F$ . As we mentioned in the Introduction, parts (a) and (b) of Theorem 1.3 remain valid in this setting, whereas the dimensions in parts (c) and (d) get reduced by  $n$ .

**Proposition 8.1.** *Let  $n, d \geq 2$  be integers,  $m = \binom{n+d}{n}$ ,  $r = \binom{n+d-1}{n}$ , and  $F$  be an algebraically closed field.*

(a) *There exists an  $(r-1)$ -dimensional  $F$ -linear system  $\mathcal{M}_{\text{red}}$  of degree  $d$  hypersurfaces in  $\mathbb{P}^n$  such that every  $F$ -member of  $\mathcal{L}_{\text{red}}$  is reducible over  $F$ .*

(b) *Every  $F$ -linear system  $\mathcal{L}$  of dimension  $\geq r$  has an  $F$ -member which is irreducible over  $F$ .*

(c) *There exists an  $(m-r-n-1)$ -dimensional  $F$ -linear system  $\mathcal{L}_{\text{irr}}$  of degree  $d$  hypersurfaces in  $\mathbb{P}^n$  such that every  $F$ -member of  $\mathcal{L}_{\text{irr}}$  is irreducible.*

(d) *Let  $\mathcal{L}$  be an  $F$ -linear system of degree  $d$  hypersurfaces in  $\mathbb{P}^n$ . If  $\dim(\mathcal{L}) \geq m-r-n$ , then  $\mathcal{L}$  has a reducible  $F$ -member.*

*Proof.* (a) The construction of  $\mathcal{L}_{\text{red}}$  in the proof of Theorem 1.3(a) goes through over an arbitrary field.

(b) Let  $\mathcal{L} = \langle f_0, \dots, f_t \rangle$  of degree  $d$  hypersurfaces in  $\mathbb{P}^n$  defined over  $F$ , Let

$$f_\lambda(x_0, \dots, x_n) = \lambda_0 f_0 + \dots + \lambda_t f_t$$

be the member of this system corresponding to  $\lambda = (\lambda_0 : \dots : \lambda_t) \in \mathbb{P}^t$ . Assume that every  $F$ -element of  $\mathcal{L}$  is reducible, that is,  $f_\lambda$  is a reducible polynomial in  $F[x_0, \dots, x_n]$  for every  $F$ -point  $\lambda = (\lambda_0 : \dots : \lambda_t) \in \mathbb{P}^t(F)$ . Our goal is to show that  $\dim(\mathcal{L}) \leq r-1$ . Let us consider two cases.

Case 1: The generic member of  $\mathcal{L}$  is irreducible. Here by the generic member we mean the member corresponding to the generic point of  $\mathbb{P}^t$ . Equivalently,  $f_\lambda$  is irreducible as a polynomial in  $x_0, \dots, x_n$  over the field  $F(\lambda_0, \dots, \lambda_t)$ .

A description of the polynomials  $f_\lambda$  that may occur in this case can be found in Schinzel's book [Sch00, Chapter 3, Theorem 37]. It follows from this description that if  $\text{char}(F)$  does not divide  $d$ , then the maximal dimension of  $\mathcal{L}$  is  $d$ , and is achieved by the linear system  $\langle x_1^d, x_1^{d-1}x_2, x_1^{d-2}x_2^2, \dots, x_2^d \rangle$ . On the other hand, if  $\text{char}(F)$  divides  $d$ , then the maximal dimension of  $\mathcal{L}$  is either  $d$ , attained in the same way as above) or  $\binom{n+d/p}{n} - 1$ . The latter is achieved by the linear system spanned by all monomials of the form  $x_0^{p i_0} x_1^{p i_1} \dots x_n^{p i_n}$  with  $i_0 + \dots + i_n = d/p$ .

It remains to show that (i)  $d \leq r-1$  and (ii) if  $p \geq 2$  divides  $d$ , then  $\binom{n+d/p}{n} \leq r$ . By Pascal's identity, for a fixed  $d$ ,  $\binom{n+d-1}{n}$  increases with  $n$ . In particular, since  $n \geq 2$ , we have

$$\frac{(d+1)d}{2} = \binom{2+d-1}{2} \leq \binom{n+d-1}{n} = r.$$

Since  $d \geq 2$ , this yields  $d = (d+1) - 1 \leq \frac{(d+1)d}{2} - 1 \leq r - 1$ , proving (i). To prove (ii), note that  $d/p \leq d - 1$ . Thus

$$\binom{n + d/p}{n} \leq \binom{n + d - 1}{n} = r,$$

as desired.

Case 2: The generic member of  $\mathcal{L}$  is reducible. Equivalently,  $f_\lambda$  is reducible as a polynomial in  $x_0, \dots, x_n$  over  $F(\lambda_0, \dots, \lambda_t)$ . Using Gauss' Lemma, and the fact that  $f_\lambda$  is homogeneous of degree 1 in  $\lambda_0, \dots, \lambda_t$ , we see that

$$f_\lambda(x_0, \dots, x_n) = g(x_0, \dots, x_n) \cdot h_\lambda(x_0, \dots, x_n),$$

where  $g \in F[x_0, \dots, x_n]$  is a homogeneous polynomial of degree  $d_1$ ,  $h_\lambda = \lambda_0 h_0 + \dots + \lambda_t h_t$  for some homogeneous polynomials  $h_0, \dots, h_t \in F[x_0, \dots, x_n]$  of degree  $d_2 \geq 1$  and  $d_1 + d_2 = d$ . Here  $h_0, \dots, h_t$  are linearly independent over  $F$ . Thus

$$\dim(\mathcal{L}) = t \leq \binom{n + d_2}{n} - 1 \leq \binom{n + d - 1}{n} - 1 = r - 1.$$

This completes the proof of part (b).

To prove (c) and (d), let  $\mathcal{R}$  be the locus of reducible hypersurfaces inside the parameter space  $\mathbb{P}^{m-1}$  of all degree  $d$  hypersurfaces in  $\mathbb{P}^n$ . Denote the dimension of  $\mathcal{R}$  by  $s$ . Then every linear subspace of (projective) dimension  $\geq m - 1 - s$  intersects  $\mathcal{R}$  in  $\mathbb{P}^{m-1}$ ; on the other hand, a linear subspace of (projective) dimension  $< m - 1 - s$  in general position will *not* meet  $\mathcal{R}$  in  $\mathbb{P}^{m-1}$ . Since  $F$  is algebraically closed, a nonempty intersection always has an  $F$ -point. In other words, the following are equivalent:

- every linear system of (projective) dimension  $t$  has a reducible  $F$ -member, and
- $t \geq m - 1 - s$ .

It remains to show that

$$(8.1) \quad s = r + n - 1;$$

this immediately implies both (c) and (d). To prove (8.1), note that  $\mathcal{R} = \bigcup_{i=1}^{\lfloor d/2 \rfloor} \mathcal{R}_i$ , where  $\mathcal{R}_i$  consists of reducible hypersurfaces  $F(x_0, \dots, x_n) = 0$ , where  $F = F_1 \cdot F_2 = 0$  and  $F_1, F_2$  are homogeneous polynomials in  $x_0, x_1, \dots, x_n$  of degree  $i$  and  $d - i$ , respectively. In other words,  $\mathcal{R}_i$  is the image of the map  $\mathbb{P}^{m_1-1} \times \mathbb{P}^{m_2-1} \rightarrow \mathbb{P}^{m-1}$  given by  $(F_1, F_2) \rightarrow F_1 \cdot F_2$  where  $m_1 = \binom{n+i}{n}$ ,  $m_2 = \binom{n+d-i}{n}$ . It is easy to see that

$$\dim(\mathcal{R}_i) = \binom{n+i}{n} + \binom{n+d-i}{n} - 2.$$

The difference  $\dim(\mathcal{R}_i) - \dim(\mathcal{R}_{i+1})$  is exactly the quantity  $N_{i+1} - N_i$  we considered at the beginning of Section 4; see (4.1). By Lemma 4.1(a),  $N_{i+1} - N_i \geq 0$  whenever  $2(i+1) \leq d$ . We conclude that  $\dim(\mathcal{R}_i)$  assumes its maximal value when  $i = 1$ . In other words,

$$s = \dim(\mathcal{R}) = \dim(\mathcal{R}_1) = \binom{n+1}{n} + \binom{n+d-1}{n} - 2 = \binom{n+d-1}{n} + n - 1 = r + n - 1,$$

as claimed. □

*Remark 8.2.* Note that the assumption that  $d \geq 2$  in Theorem 1.3 and Proposition 8.1 is harmless, since every hypersurface of degree 1 in  $\mathbb{P}^n$  is irreducible. Moreover, over an algebraically closed field, every hypersurface of degree  $d \geq 2$  in  $\mathbb{P}^1$  is reducible. Thus the assumption that  $n \geq 2$  in the statement of Proposition 8.1 is harmless as well.

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