

Thursday, October 31

Recall: $f: \mathbb{N} \rightarrow \mathbb{R}$ is multiplicative if

$f(mn) = f(m)f(n)$ whenever $(m, n) = 1$.

f is totally multiplicative if $f(mn) = f(m)f(n)$

for all $m, n \in \mathbb{N}$.

Notation: let $\omega(n)$ denote the number of distinct prime factors of n , and $\Omega(n)$ the number of prime factors of n counted with multiplicity.

Example- with $n = 720 = 2^4 3^2 5$,
 $\omega(720) = 3$ while $\Omega(720) = 4 + 2 + 1 = 7$.

Exercise: For any $C \subseteq \mathbb{R}$, show that $C^{\omega(n)}$ is multiplicative, and $C^{\Omega(n)}$ is totally multiplicative.

Theorem: Let f be multiplicative, and define $F(n) = \sum_{d|n} f(d)$
(the sum is over all divisors of n).

Then F is also multiplicative.

Example: take $f(n) = 1(n)$. Then

$$F(n) = \sum_{d|n} 1(d) = \sum_{d|n} 1 = \tau(n);$$

so this is a third proof that the number-of-divisors function is multiplicative.

Proof: Let $(m, n) = 1$; we need to prove that $F(mn) = F(m)F(n)$. By HW#1 problem 2(b),^{*} the divisors d of mn are in 1-to-1 correspondence with pairs (a, b) where $a|m$ and $b|n$ (and $ab = d$).

^{*} since $(m, n) = 1$. So

$$F(mn) = \sum_{d|mn} f(d) = \sum_{\substack{d|m \\ b|n}} f(d \cdot b).$$

Since $d|m$ and $b|n$, we have $(d, b) | (m, n) = 1$.
Hence since f is multiplicative,

$$\begin{aligned} F(mn) &= \sum_{\substack{d|m \\ b|n}} f(d) f(b) = \left(\sum_{d|m} f(d) \right) \left(\sum_{b|n} f(b) \right) \\ &= F(m) F(n). \end{aligned}$$

We might wonder whether the converse is true. More generally, how can we deduce information about f from info about F ? We observe that given F , there's exactly one f such that $F(n) = \sum_{d|n} f(d)$:
 $f(1) = F(1)$, while for $n \geq 2$, f is defined recursively by

$$f(n) = F(n) - \sum_{\substack{d|n \\ d < n}} f(d). \quad (*)$$

Explanation: Let start with $F(n) = c(n)$,
so that $\sum_{d|n} f(d) = c(n) = \begin{cases} 1, & \text{if } n=1, \\ 0, & \text{if } n \geq 2. \end{cases}$

Some values:

- $n=1$: $f(1) = c(1) = 1$.

- $n=2$: $(*) \Rightarrow f(2) = c(2) - \sum_{\substack{d|2 \\ d < 2}} f(d) = 0 - f(1) = -1$.

More generally, $f(p) = c(p) - f(1) = -1$.

- $n=p^2$: $(*) \Rightarrow f(p^2) = c(p^2) - \sum_{\substack{d|p^2 \\ d < p^2}} f(d) = c(p^2) - (f(1) + f(p))$

$$= 0 - (1 - 1) = 0.$$

One can prove by induction that $f(p^k) = 0$ for all $k \geq 2$.

- $n=pq$: $(*) \Rightarrow f(pq) = c(pq) - (f(1) + f(p) + f(q)) = 0 - (1 - 1 - 1) = 1$.
- $n=p^2q$: $(*) \Rightarrow f(p^2q) = c(p^2q) - (f(1) + f(p) + f(p^2) + f(q) + f(pq)) = 0 - (1 - 1 + 0 - 1 + 1) = 0$.

Definition: The Möbius function $\mu(n)$ is the multiplicative function characterized by:

$$\mu(p^r) = \begin{cases} -1, & \text{if } r=1, \\ 0, & \text{if } r \geq 2 \end{cases}$$

In other words,

$$\mu(n) = \begin{cases} (-1)^{\omega(n)}, & \text{if } n \text{ is squarefree,} \\ 0, & \text{if } n \text{ is not squarefree.} \end{cases}$$

Theorem: $\sum_{d|n} \mu(d) = \mu(n) = \begin{cases} 1, & \text{if } n=1, \\ 0, & \text{if } n \geq 2. \end{cases}$

Note: this property of μ is used way more often than the actual definition.

Proof #1: ($n=1$ ✓) For $n \geq 2$:

$$\sum_{d|n} \mu(d) = \sum_{\substack{d|n \\ d \text{ squarefree}}} (-1)^{\omega(d)}.$$

If n has k distinct prime factors, then there are $\binom{k}{j}$ squarefree divisors d of n

with $\omega(d) = j$. Therefore

$$\sum_{d|n} \mu(d) = \sum_{j=0}^k \binom{k}{j} (-1)^j = (1 + (-1))^k = 0 = \mu(n).$$

Proof #2: Both $\mu(n)$ and $\sum_{d|n} \mu(d)$ are multiplicative (by the theorem earlier), so it suffices to show that they're equal on prime powers — but we've already done that in the explanation.

Exhortation: Whenever we see we're about to do a "Proof 1" type proof — stop and look for a "Proof 2" type proof.

Theorem: (Möbius inversion formula)

Given $f: \mathbb{N} \rightarrow \mathbb{R}$, define $F(n) = \sum_{d|n} f(d)$.

Then $f(n) = \sum_{d|n} F(d) \mu(n/d)$.

Note:
$$\sum_{d|n} F(d) \mu(n/d) = \sum_{\substack{c,d \in \mathbb{N} \\ cd=n}} F(d) \mu(c)$$
$$= \sum_{c|n} \mu(c) F(n/c) = \sum_{d|n} \mu(d) F\left(\frac{n}{d}\right).$$

Proof: By the definition of F ,

$$\begin{aligned} \sum_{d|n} \mu(d) F\left(\frac{n}{d}\right) &= \sum_{d|n} \mu(d) \sum_{b|n/d} f(b) \\ &= \sum_{\substack{b,d \in \mathbb{N} \\ bd=n}} \mu(d) f(b) = \sum_{b|n} f(b) \sum_{d|n/b} \mu(d) \\ &= \sum_{b|n} f(b) \iota\left(\frac{n}{b}\right) \\ &= f(n) \cdot 1 + \sum_{\substack{b|n \\ b \neq n}} f(b) \cdot 0 = f(n). \end{aligned}$$

Exercise: The converse is also true.

Remark: This formula holds regardless of whether f, F are multiplicative.

Example: We've seen that $\sum_{d|n} \phi(d) = n = id(n)$.

Then Möbius inversion tells us

$$\phi(n) = \sum_{d|n} \mu(d) id\left(\frac{n}{d}\right)$$

$$= \sum_{d|n} \mu(d) \frac{n}{d} \Rightarrow \frac{\phi(n)}{n} = \sum_{d|n} \frac{\mu(d)}{d}.$$

(We can reconfirm this by checking on prime powers, since both sides are multiplicative)

when $n = p^r$, $\frac{\phi(p^r)}{p^r} = 1 - \frac{1}{p}$, while

$$\begin{aligned} \sum_{d|p^r} \frac{\mu(d)}{d} &= \frac{\mu(1)}{1} + \frac{\mu(p)}{p} + \frac{\mu(p^2)}{p^2} + \dots + \frac{\mu(p^r)}{p^r} \\ &= \frac{1}{1} + \frac{-1}{p} + \frac{0}{p^2} + \dots + \frac{0}{p^r} = 1 - \frac{1}{p}. \end{aligned}$$

Definition: The Dirichlet convolution of two arithmetic functions f and g , written $f * g$, is the arithmetic function defined by

$$\begin{aligned} (f * g)(n) &= \sum_{d|n} f(d)g(n/d) \\ &= \sum_{\substack{cd=n \\ c|n}} f(c)g(d) = \sum_{c|n} f(n/c)g(c) \\ &= \sum_{d|n} g(d)f(n/d). \end{aligned}$$

Notation practice:

• If $g=1$, then $(f * 1)(n) = \sum_{d|n} f(d)1(n/d) = \sum_{d|n} f(d)$.

• We've seen that $\phi * 1 = \text{id}$ and $1 * 1 = \tau$.

• Möbius inversion formula:

~~if $F = f * 1$, then $f = F * \mu$~~
if and only if

Theorem: If f and g are both multiplicative, then so is $f * g$.

Proof: Let $(m, n) = 1$; we need to show that $(f * g)(mn) = (f * g)(m) (f * g)(n)$:

$$\begin{aligned} (f * g)(mn) &= \sum_{d|mn} f(d)g(mn/d) \\ &= \sum_{\substack{a|m \\ b|n}} f(ab)g(mn/ab) \quad [(m, n) = 1] \\ &\quad \Rightarrow (a, b) = 1, \\ &\quad \left(\frac{m}{a}, \frac{n}{b}\right) = 1 \end{aligned}$$

$$\begin{aligned} &= \sum_{\substack{a|m \\ b|n}} f(a)f(b)g\left(\frac{m}{a}\right)g\left(\frac{n}{b}\right) \\ &= \left(\sum_{a|m} f(a)g\left(\frac{m}{a}\right)\right) \left(\sum_{b|n} f(b)g\left(\frac{n}{b}\right)\right) \\ &= (f * g)(m) \cdot (f * g)(n). \end{aligned}$$

Remark: We saw earlier that if f is multiplicative, then so is $F = f * 1 = \sum_{d|n} f(d)$.

Now we can prove the converse:

if $F = f * 1$ is multiplicative,

then by Möbius inversion $f = F * \mu$ is the Dirichlet convolution of two multiplicative functions, which we've just proved is itself multiplicative.

Example: Let $s(n)$ be the indicator function of squares: $s(n) = \begin{cases} 1, & \text{if } n \text{ is a square} \\ 0, & \text{otherwise} \end{cases}$.

Let's identify $s * \mu^2$. (In fact, $\mu^2 = | \mu |$)

Note that s is multiplicative:

in fact, $s(p^r) = \begin{cases} 1, & \text{if } r \text{ is even} \\ 0, & \text{if } r \text{ is odd} \end{cases}$

μ^2 is also multiplicative. Hence $s * (\mu^2)$ is also multiplicative.

On prime powers,

$$\begin{aligned} (s * \mu^2)(p^r) &= \sum_{d|p^r} s\left(\frac{p^r}{d}\right) \mu^2(d) \\ &= s(p^r) \mu^2(1) + s(p^{r-1}) \mu^2(p) + s(p^{r-2}) \mu^2(p^2) + \dots \\ &= s(p^r) \cdot 1 + s(p^{r-1}) \cdot 1 + 0 + \dots + 0 \\ &= s(p^r) + s(p^{r-1}) = 1. \end{aligned}$$

We conclude that $s * \mu^2 = 1$ (constant).

Interpretation: μ^2 is the indicator function of squarefree numbers; hence

$$(s * \mu^2)(n) = \sum_{cd=n} s(c) \mu^2(d)$$

= # of $cd=n$: c is a square, d is squarefree.

= 1. Example: if $n = 2^1 3^2 5^3 7^4$, then $n=cd$ when $c = (3 \cdot 5 \cdot 7^2)^2$, $d = 2 \cdot 5$.