

Friday, February 10

$$\text{Recall: } \psi(x; q, \alpha) = \sum_{\substack{n \leq x \\ n \equiv \alpha \pmod{q}}} \Delta(n)$$

- Throughout today,  $(\alpha, q) = 1$ .
- We may assume  $q \leq x$ .

Truncated explicit formula

$$\begin{aligned} \psi(x; q, \alpha) &= \frac{x}{\phi(q)} - \frac{1}{\phi(q)} \sum_{\substack{\chi \pmod{q} \\ |\gamma| \leq T}} \overline{\chi(\alpha)} \sum_p \frac{x^p}{p} \\ &\quad + \frac{1}{\phi(q)} \sum_{\substack{\chi \pmod{q}}} C(\chi) + O\left(\log x + \frac{x \log^2 x}{T}\right), \end{aligned}$$

where  $\sum_p$  is over nontrivial zeros of  $L(s, \chi)$ .

Zero-free region: In these sums,

we have  $\beta \leq 1 - \frac{c}{\log qT}$  for some absolute constant  $c$ .  $\rightarrow$

$$|x^\beta| \leq x^\beta x^{-c/\log qT}. \quad \dots$$

... except maybe an exceptional zero,  $\beta_1$ , to some quadratic character  $\chi_1$ .

- If  $\beta_1$  exists, then two "error" terms could be large:

-  $L(1 - \beta_1, \chi_1)$   $\ll \epsilon$  by functional eq. and  $1 - \beta_1$  close to 0. So  $\frac{x^{1-\beta_1}}{1-\beta_1}$  is large. Also,  $C(\chi_1) = \frac{L'(1, \chi_1)}{L(1, \chi_1)} + \text{stuff}$  and  $\frac{L'(1, \chi_1)}{L(1, \chi_1)} = \frac{1}{1-\beta_1} + O(\log q)$ .

Luckily, these two terms basically cancel each other out.

Another estimate:  $\sum_p \frac{1}{|p|} \ll \log^2 T$

$$W/T \ll \log^2 x$$

Since we will choose  $T \leq x$ .

Inserting these estimates gives:

$$\psi(x; q, \alpha) = \frac{x}{\phi(q)} \left( -\frac{x^{\beta_1}}{1 - \beta_1} \text{ if } \beta_1 \text{ exists} \right)$$

$$+ O\left(x \log^2 x \left(\frac{1}{T} + x^{-\frac{c}{\log q T}}\right)\right).$$

Choose  $T$  so that  $\frac{1}{T} = x^{-\frac{c}{\log q T}}$

$$\Rightarrow T \asymp \exp(c\sqrt{\log x}).$$

We conclude:

Corollary 11.17 (MV) - Page

If there is no exceptional zero then

$$\psi(x; q, \alpha) = \frac{x}{\phi(q)} + O\left(x \exp(-c\sqrt{\log x})\right).$$

If  $\beta_1$  is an exceptional zero of  $L(s, \chi_1)$

then

$$\psi(x; q, \alpha) = \frac{x}{\phi(q)} - \frac{\chi_1(\alpha)}{\phi(q)} \frac{x^{\beta_1}}{\beta_1}$$

$$+ O\left(x \exp(-c\sqrt{\log x})\right).$$

Explorations of the consequences of  $\beta_1$ :

[Recall:  $1 - \beta_1 \gg \left(q^{\frac{1}{2}} \log^2 q\right)^{-1}$  effectively  
 $1 - \beta_1 \gg_{\varepsilon} q^{-\varepsilon}$  (Siegel) ineffectively]

Thought experiment: imagine  $\alpha$  is prime and  $\chi_1(\alpha) = \left(\frac{\alpha}{q}\right)$ .

Suppose  $\beta_1 = 1 - \frac{1}{\sqrt{q}}$  is exceptional.

- Case 1:  $\chi_1(\alpha) = -1$  ( $\alpha$  is a quadratic nonresidue  $(\bmod q)$ ).

$$\text{Then } \frac{x}{\phi(q)} - \frac{\chi_1(\alpha)}{\phi(q)} \frac{x^{\beta_1}}{\beta_1} = \frac{x}{\phi(q)} + \frac{x^{\beta_1}}{\beta_1 \phi(q)}$$

$$\ll \frac{2x}{\phi(q)}.$$

- Case 2:  $\chi_1(\alpha) = 1$

( $\alpha$  is a quadratic residue  $(\bmod q)$ )

Then we have  $\frac{1}{\phi(q)} \left( x - \frac{x^{\beta_1}}{\beta_1} \right)$ . How big is this? Let  $f(t) = \frac{x^t}{t}$ , then

the Mean Value Theorem says

$$x - \frac{x^{\beta_1}}{\beta_1} = f(1) - f(\beta_1) = f'(\xi)(1 - \beta_1)$$

for some  $\xi \in (\beta_1, 1)$ . And

$$f'(t) = \frac{x^t}{t^2} (t \log x - 1) < \frac{x^t \log x}{t} \ll x \log x.$$

$$\text{So } x - \frac{x^{\beta_1}}{\beta_1} \ll x \log x (1 - \beta_1) = \frac{x \log x}{\sqrt{q}}.$$

$$\text{Hence } \psi(x; q, \chi) \ll \frac{x}{\phi(q)} \frac{\log x}{\sqrt{q}},$$

which is o(what we expect) when

$$\log x = o(\sqrt{q}). \text{ So if } q > (\log x)^{2+\varepsilon}$$

$\Leftrightarrow x < e^{q^{\frac{1}{2}-\varepsilon}}$ : very few quadratic-residue primes.

Corollary 11.19 (Siegel-Walfisz Theorem)

For any  $A > 0$ , if  $\log q = 1$  and  $q \leq (\log x)^A$ , then

$$\psi(x; q, \chi) = \frac{x}{\phi(q)} + O_A \left( x \exp(-c\sqrt{\log x}) \right),$$

where the  $O_A$  constant is ineffective  
(if  $A \geq 2$ ).

Note: if we assume no exception

to zeros, then we can improve to

$$q \ll \exp(c\sqrt{\log x}).$$

If we assume GRH (generalized Riemann hypothesis,  $L(s, \chi) = 0 \Rightarrow \sigma \geq \frac{1}{2}$ )

we get

$$\psi(x; q, \chi) = \frac{x}{\phi(q)} + O(\sqrt{x} \log^2 x) \quad \left| \begin{array}{l} \psi(x; q, \chi) = \frac{L(1, \chi)}{\phi(q)} \\ \end{array} \right.$$

$$\theta(x; q, \chi) = \frac{x}{\phi(q)} + O(\sqrt{x} \log^2 x) \quad \left| \begin{array}{l} \theta(x; q, \chi) = \frac{L(0, \chi)}{\phi(q)} \\ + O(\sqrt{x} \log x). \end{array} \right.$$

Consider

$$\sum_{q \leq Q} \max_{y \leq x} \max_{(a, q) = 1} \left| \psi(y; q, a) - \frac{y}{\phi(q)} \right|. \quad (*)$$

If GRH is true then this is

$$\ll \sum_{q \leq Q} \max_{y \leq x} \left( y^{\frac{1}{2}} \log^2 y \right) = \sum_{q \leq Q} x^{\frac{1}{2}} \log^2 x \\ \ll x^{\frac{1}{2}} Q \log^2 x.$$

We do know — Bombieri-Vinogradov

Theorem that  $(*) \ll_A x^{\frac{1}{2}} Q (\log x)^2$

uniformly for  $x^{\frac{1}{2}} (\log x)^{-A} \leq Q \leq x^{\frac{1}{2}}$

"GRH or worse for  $q$  up to  $x^{\frac{1}{2}} (\log x)^{-A}$ ".

GRH  $\Rightarrow L = 2 + \varepsilon$

Conjecture:  $L = 1 + \varepsilon$ .

Elliott-Halberstam conjecture:

$$(*) \ll_{A, \varepsilon} x (\log x)^{-A} \text{ uniformly} \\ \text{for } Q \leq x^{1-\varepsilon},$$

Use implication\*: there are infinity primes  $p$  such that one of  $p+2, p+4, p+6$  is also prime.

(Zhang; Maynard-Tao; Polymath)

Linnik's theorem: There is an absolute constant  $L$  such that for all  $(a, q) = 1$ , there exists a prime  $p \equiv a \pmod{q}$  such that  $p < q^L$ .

Pan (1958):  $L = 5,448$

Heath-Brown (1992):  $L = 55$  (efactor)

Xiaoyi's (2011):  $L = 5$