

# Function field and number field analogues of prime number races

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Analytic Number Theory II  
Guest lecture

March, 27, 2023

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$$\begin{aligned}\pi(N; m, a) &= \#\{P : P \equiv a \pmod{m}, \deg P = N\} \\ \pi(N) &= \#\{P : \deg P = N\}\end{aligned}$$

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If  $(a, m) = 1$ , then  $\pi(N; m, a) = (\varphi(m))^{-1} \cdot \frac{q^N}{N} + O\left(\frac{q^{N/2}}{N}\right)$ . Here  $\varphi(m) = \#(\mathbb{F}_q[T]/(m))^\times$ .

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Idea : factorize  $T^{q^N} - T$  over  $\mathbb{F}_q[T]$  and use Möbius inversion.

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Remarkable feature : let  $u := q^{-s}$ ; one has

- if  $\chi \neq \chi_0$ , then  $L(s, \chi) = \prod_{j=1}^{d(\chi)} (1 - \gamma_j(\chi)u)$ ,  $|\gamma_j(\chi)| \in \{1, \sqrt{q}\}$ ,
- $L(s, \chi_0) = \frac{\prod_{P|m} 1 - u^{\deg P}}{1 - qu}$ .

# Dirichlet $L$ -fcts in function fields

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$$c_N(\chi) = - \sum_{j=1}^{d(\chi)} \gamma_j(\chi)^N, \quad c_N(\chi_0) = q^N + O(1).$$

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Indeed :

$$\frac{1}{N} \sum_{\chi} \overline{\chi(a)} c_N(\chi) - \varphi(m) \pi(N; m, a) = \begin{cases} \sigma(m, a) \frac{q^{N/2}}{N} + O\left(\frac{q^{N/3}}{N}\right) & (N \text{ even}), \\ O\left(\frac{q^{N/3}}{N}\right) & (N \text{ odd}). \end{cases}$$

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Here, reminiscent of Rubinstein–Sarnak’s analysis, we define :

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$$\sigma(m, a) = \sum_{\substack{b \in (\mathbb{F}_q[T]/(m))^{\times} \\ b^2 \equiv a \pmod{m}}} 1.$$

However,  $\mathcal{L}(u, \chi)$  being algebraic,

$$\sum_{\chi} \overline{\chi(a)} c_N(\chi) = q^N + \sum_{\chi \neq \chi_0} \overline{\chi(a)} \sum_{j=1}^{d(\chi)} \gamma_j(\chi)^N + O(1).$$

# Function fields : explicit formula

## Proposition (Cha, '08)

Let  $\mathcal{B}(m, a, N) = \begin{cases} \sigma(m, a) - 1 & (N \text{ even}) \\ 0 & (N \text{ odd}) \end{cases}$ , then :

$$\varphi(m)\pi(N; m, a) - \pi(N) = -\mathcal{B}(m, a, N) \frac{q^{N/2}}{N} - \frac{1}{N} \sum_{\chi \neq \chi_0} \overline{\chi(a)} \sum_{j=1}^{d(\chi)} \alpha_j(\chi)^N + O\left(\frac{q^{N/3}}{N}\right).$$

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## Theorem (Cha, '08)

Let  $\mathcal{B}_q(X) = \begin{cases} \sqrt{q}/(q-1) & (X \text{ odd}) \\ q/(q-1) & (X \text{ even}) \end{cases}$ , then :

$$E_{m,a}(X) = (1 - \sigma(m, a))\mathcal{B}_q(X) - \sum_{\chi \neq \chi_0} \overline{\chi(a)} e^{i\theta(\gamma_\chi)} \frac{\gamma_\chi}{\gamma_\chi - 1} + o(1).$$

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$$E_{m, a_i}^{\text{main}}(X) = (1 - \sigma(m, a))\mathcal{B}_q(X) - \sum_{\chi \neq \chi_0} \overline{\chi(a)} e^{i\theta(\gamma_\chi)} \frac{\gamma_\chi}{\gamma_\chi - 1}.$$

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**Proposition (Cha, '08)**

For all continuous bounded  $f$  on  $\mathbb{R}^r$ , the following limit exists

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{X=1}^n f(E_{(a_i)}^{\text{main}}(X)).$$

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Theorem (Cha, '08)

There exists a Borel prob. measure  $\mu = \mu_{m,(a_i)}$  on  $\mathbb{R}^r$  s.t., denoting  $E_{m,(a_i)}(X) = (E_{m,a_1}(X), \dots, E_{m,a_r}(X))$ , one has for any bounded continuous  $f$  on  $\mathbb{R}^r$  :

$$\int_{\mathbb{R}^r} f d\mu = \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{X=1}^n f(E_{m,(a_i)}(X)).$$

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As in the classical case, one gets precise information about  $\mu$  assuming the **Linear Independence** hypothesis.

## Linear Independence (LI) hypothesis

As  $\chi$  runs over non principal char.'s mod.  $m$ , the multiset

$\{\theta \in [0, \pi] : \gamma = \sqrt{q}e^{i\theta} \text{ inverse zero of } \mathcal{L}(u, \chi)\} \cup \{2\pi\}$   
is  $\mathbb{Q}$ -linearly independent.

## Theorem (Cha, '08)

Assume LI; then one computes the Fourier transform of  $\mu$  :

$$\hat{\mu}(\xi) = \mathcal{B}_{m,(a_i)}(\xi) \prod_{\chi \neq \chi_0} \prod_{\text{Im}(\gamma_\chi) > 0} J_0\left(\frac{2|\gamma_\chi|}{|\gamma_\chi - 1|} \left| \sum_{i=1}^r \chi(a_i) \xi_i \right| \right),$$

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where  $J_0$  is the Bessel function and

$$\begin{aligned} \mathcal{B}_{m,(a_i)}(\xi) = & \frac{1}{2} \exp\left(i \frac{\sqrt{q}}{q-1} \sum_{i=1}^r (\sigma(m, a_i) - 1) \xi_i\right) + \\ & \frac{1}{2} \exp\left(i \frac{q}{q-1} \sum_{i=1}^r (\sigma(m, a_i) - 1) \xi_i\right). \end{aligned}$$



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The factor  $\mathcal{B}_{m,(a_i)}(\xi)$  is “responsible for the bias”.

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However, if one considers the “non-residues” vs “residues” race, where only  $\chi = \chi_{\text{quad}}$  comes into play (besides  $\chi_0$ ), one can prove “LI on average restricted to  $\{\chi_{\text{quad}}\}$ ”.

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The “prime comparison” function here is

$$E_{m;\text{quad}}(X) = \frac{X}{q^{X/2}} \sum_{N=1}^X (\text{res}^+(N) - \text{res}^-(N))$$

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No... sometimes LI fails in the context of function fields.

Ex. (Cha, '08) :  $q = p = 3$ ,  $m = T^3 + 2T + 1$ ,  $\chi = \chi_{\text{quad}}$  the quad. char. mod.  $m$  :  $\mathcal{L}(u, \chi) = (1 - u\gamma_1)(1 - u\bar{\gamma}_1)$  where  $\gamma_1 = \sqrt{3}e^{i\pi/6}$ .

However, if one considers the “non-residues” vs “residues” race, where only  $\chi = \chi_{\text{quad}}$  comes into play (besides  $\chi_0$ ), one can prove “LI on average restricted to  $\{\chi_{\text{quad}}\}$ ”.

The “prime comparison” function here is

$$E_{m;\text{quad}}(X) = \frac{X}{q^{X/2}} \sum_{N=1}^X (\text{res}^+(N) - \text{res}^-(N))$$

where  $\text{res}^{\pm}(N) = \#\{P \in \mathbb{F}_q[T] : \chi_{\text{quad}}(P) = \pm 1, \deg P = N\}$ .



# Function fields : “residues vs non-residues”

Theorem (Cha '08)

$$E_{m;\text{quad}}(X) = -\mathcal{B}_q(X) - 2 \sum_{j=1}^k \operatorname{Re} \left( e^{i\theta_j X} \frac{\gamma_j}{\gamma_j - 1} \right) + o(1),$$

where the  $\gamma_j$ 's are the inverse zeros of  $\mathcal{L}(u, \chi_{\text{quad}})$  and we recall

$$\mathcal{B}_q(X) = \begin{cases} \sqrt{q}/(q-1) & (X \text{ odd}) \\ q/(q-1) & (X \text{ even}) \end{cases}$$

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**Family considered** : Fix  $f(T) \in \mathbb{F}_q[T]$  monic sqf of degree  $2g$ .  
For each  $a \in U(\mathbb{F}_q) = \mathbb{F}_q \setminus \{\text{zeros of } f\}$ , one sets

$$m_a(T) = (T - a)f(T), \quad C_a: Y^2 = m_a(X).$$

## “Sieve for Frobenius” : generic LI for $\chi_{\text{quad}}$

Let  $\mathcal{L}_a(u)$  be the numerator of the zeta function of  $C_a/\mathbb{F}_q$  i.e.

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Theorem (Kowalski, '08)

$$\frac{1}{|U(\mathbb{F}_q)|} \#\{a \in U(\mathbb{F}_q) : \text{LI does not hold for } \mathcal{L}_a(u)\} \\ \ll_g q^{-1/(2A)} (\log q)^{1-\delta}$$

where  $A = 2g^2 + g + 2$  and  $1 \geq \delta \sim (8g)^{-1}$  ( $g \rightarrow \infty$ ).

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Consequence (Cha, '08)

The limiting distribution  $\mu_{m_a, \text{quad}}$  associated with  $E_{m_a, \text{quad}}$  exists, and except for a proportion  $\ll_g q^{-1/(2A)} (\log q)^{1-\delta}$  of  $a \in U(\mathbb{F}_q)$ , one has  $\mu_{m_a, \text{quad}}((-\infty, 0]) > \frac{1}{2}$ .



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(Chebotarev for the normal closure of  $\mathbb{Q}(2^{\frac{1}{3}})/\mathbb{Q}$  (group  $S_3$ ).)

- $L/K$  gal. ext. of number fields ;  $G := \text{Gal}(L/K)$ .
- $\mathfrak{p} \subset \mathcal{O}_K$  an unram. ideal in  $L/K$ .
- $\text{Frob}_{\mathfrak{p}} \in \text{Gal}(L/K)$  the Frobenius conj. class at  $\mathfrak{p}$  (lifts to  $G$  the Frobenius aut. on the level of residual fields  $x \mapsto x^{N_{\mathfrak{p}}}$ ).

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## Chebotarev Density Theorem

Let  $C \subset \text{Gal}(L/K)$  be a conj. class, then

$$\pi_{L/K}(x; C) = \#\{\mathfrak{p} \subset \mathcal{O}_K \text{ unram.} : \text{Frob}_{\mathfrak{p}} = C, \mathcal{N}_{\mathfrak{p}} \leq x\} \sim \frac{|C|}{|G|} \text{Li}(x),$$

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**“Chebotarev race”** : let  $C_1, C_2$  be conj. classes of  $G$ . Investigate the log-density of

$$\left\{ x \geq 2 : \frac{|G|}{|C_1|} \pi_{L/K}(x; C_1) > \frac{|G|}{|C_2|} \pi_{L/K}(x; C_2) \right\}$$

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Hypotheses : GRH can be stated for  $L(s, L/K, \chi)$ ; analytic continuation is conjectural as well.

# Number fields : generalization of LI ?

Under GRH and Artin's conjecture (*i.e* analytic continuation of  $L(s, L/K, \chi)$  to an entire function if  $\chi \in \text{Irr}(G) \setminus \{1\}$ ),

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- $L = \mathbb{Q}(\theta)$ ;  $\theta =$  complex root of  $x^8 - 205x^6 + 13940x^4 - 378225x^2 + 3404025$ .

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- We obtain  $L(s, L/L^{Z(\mathbb{H}_8)}, \varepsilon) = L(s, L/\mathbb{Q}, \chi_5)^2$ .

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For  $L/K/\mathbb{Q}$  with  $L/\mathbb{Q}$  Galois, fix  $t$ :  $G = \text{Gal}(L/K) \rightarrow \mathbb{C}$  constant on conj. classes (e.g.  $t = \frac{|G|}{|C_1|} \mathbf{1}_{C_1} - \frac{|G|}{|C_2|} \mathbf{1}_{C_2}$ ), define

# Chebyshev bias in number fields : setting

**Relative case** : Artin  $L$ -functions enjoy “induction properties”.

$L/F/K$  tower of nb fields with  $L/K$  Galois of group  $G^+$  ;

$G := \text{Gal}(L/F)$ . Then  $\chi^+$ , induced to  $G^+$  by  $\chi \in \text{Irr}(G)$

decomposes :

$\chi^+ = \sum_{\tau \in \text{Irr}(G^+)} n_\tau \cdot \tau$  and one has :

## Theorem

$$L(s, L/F, \chi) = \prod_{\tau \in \text{Irr}(G^+)} L(s, L/K, \tau)^{n_\tau} .$$

Can be applied to prime counting.

For  $L/K/\mathbb{Q}$  with  $L/\mathbb{Q}$  Galois, fix  $t$ :  $G = \text{Gal}(L/K) \rightarrow \mathbb{C}$  constant on conj. classes (e.g.  $t = \frac{|G|}{|C_1|} \mathbf{1}_{C_1} - \frac{|G|}{|C_2|} \mathbf{1}_{C_2}$ ), define

$$\psi(x; L/K, t) := \sum_{\substack{\mathfrak{p} \ll \mathcal{O}_K \\ k \geq 1}} t(\text{Frob}_{\mathfrak{p}}^k) \log(\mathcal{N}\mathfrak{p}) \mathbf{1}_{\mathcal{N}\mathfrak{p}^k \leq x}$$

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- $\text{Ind}_G^{G^+} \left( \frac{|G|}{|C|} \mathbf{1}_C \right) = \frac{|G^+|}{|C^+|} \mathbf{1}_{C^+}$ , where  $G \leq G^+$  and  $C^+$  the conj. class of  $G^+$  containing the  $G$ -conj. class  $C$ .

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Lemma (Fiorilli–J.)

Same notation ;  $r_G(g) := \#\{h \in G : h^2 = g\}$ . One has :

$$\pi_{L/K}(x; t) = \int_2^x \frac{d\psi(u; L/K, t)}{\log u} - \langle t, r_G \rangle \frac{\sqrt{x}}{\log x} + o\left(\frac{\sqrt{x}}{\log x}\right).$$

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- $r_G(g_1) = 0$  and  $r_G(g_2) = 4$ , thus  $\langle t, r_G \rangle \neq 0$ ,
- $g_1$  and  $g_2$  are conjugate in  $S_8$  and so  $t^+ = 0$ .

## Theorem (Fiorilli–J., '22)

Unconditionally, there exists infinitely many Galois ext. of nb fields  $L/K$  and conj. classes of same size  $C_1, C_2$  in  $\text{Gal}(L/K)$  giving rise to an *extreme bias*; precisely, for  $x$  big enough,  $\pi_{L/K}(x; C_1) > \pi_{L/K}(x; C_2)$ .

Thanks for your attention !