

- Today :
- Basis extension Theorem
  - Basis exchange lemma.
  - The notion of dimension

Basis exchange theorem :

Theorem: Suppose  $\{v_1, \dots, v_r\}$  is a lin. indep. set in  $V$   
and  $\{w_1, w_2, \dots, w_s\} \subseteq V$  s.t.

$$L(v_1, \dots, v_r, w_1, \dots, w_s) = V.$$

Then there exists a subset of  $\{w_1, \dots, w_s\}$  s.t.  
together with  $v_1, \dots, v_r$  it forms a basis.

Proof: intuition:  $\{v_1, \dots, v_r\}$  - lin. indep.  
either it is spanning, then we are done:  
take  $\emptyset \subseteq \{w_1, \dots, w_s\}$

if not spanning, we know that once all the  $w$ 's  
are thrown in, it becomes a spanning set.

but maybe it has become lin. dependent.

So maybe we should not have put in all  $w$ 's.

Then we try to put the  $w$ 's in one-by-one:

find the  $w_i$  s.t.  $w_i \notin L(v_1, \dots, v_r)$  (b/c all  $v$ 's  
do not span?  
but with the  $w$ 's,  
they do.)

Put it in.

Because  $w_i \notin L(v_1, \dots, v_r)$ , the set  $\{v_1, \dots, v_r, w_i\}$  is  
linearly independent.

Either  $\{v_1, \dots, v_r, w_i\}$  spans  $V$ , we are done  
or it doesn't.

Then repeat the same argument.

In the book, this proof is done by induction on  $s$ :  
the number of  $w$ 's.

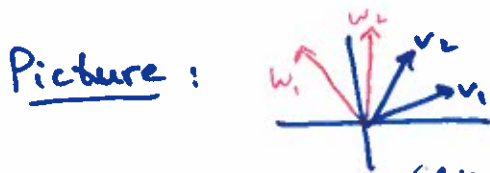
(please read 3.4 - induction optional).

## Basis exchange lemma

Suppose  $\{v_1, \dots, v_n\}$  and  $\{w_1, \dots, w_m\}$  are both bases of a vector space  $V$ .

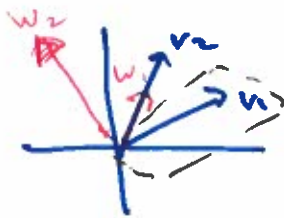
(should be the same as  $n$  but we have not proved it yet)

Then  $v_1$  can be replaced with one of the  $w$ 's, ~~and~~ and  $\{w_i, v_2, \dots, v_n\}$  will still be a basis.



can make a basis out of one blue vector and one red vector.

less obvious case:



Proof: We are trying to exchange  $v_1$  for one of the  $w$ 's

Consider  $L(v_2, \dots, v_n) \subset V$

Claim: there exists  $i$ , s.t.  $w_i \notin L(v_2, \dots, v_n)$

at least one of the  $w$ 's is not in this subspace.

Pf of the claim: If all  $w_i$  lie in  $L(v_2, \dots, v_n)$

then  $L(w_1, \dots, w_m) \subseteq L(v_2, \dots, v_n)$

But  $\{w_1, \dots, w_m\}$  form a basis of the whole space  $V$ ,  
so this is impossible (unless  $L(v_2, \dots, v_n) = V$ ,  
but this cannot happen because  
 $\{v_1, \dots, v_n\}$  was a basis, so  
linearly indep.; and if  
 $L(v_2, \dots, v_n) = V$ , then  
 $\{v_1, v_2, \dots, v_n\}$  would have been  
linearly dependent).

So let  $i$  be such an index that  $w_i \notin L(v_2, \dots, v_n)$ .  
Then claim:  $\{w_i, v_2, \dots, v_n\}$  is a basis of  $V$ .

We know:  $\exists \lambda_1, \dots, \lambda_n \in \mathbb{F}$  s.t.

$$w_i = \lambda_1 v_1 + \dots + \lambda_n v_n$$

and  $\lambda_1 \neq 0$  because otherwise  $w_i$  would be  
in  $L(v_2, \dots, v_n)$

$$\text{Then } v_1 = \frac{1}{\lambda_1} (w_i - \lambda_2 v_2 - \dots - \lambda_n v_n)$$

$$\text{Then } \mathcal{V} = L(v_1, \dots, v_n) \subseteq L(w_i, v_2, v_3, \dots, v_n)$$

Then  $\{w_i, v_2, \dots, v_n\}$  is a spanning set for  $V$ ,  
and it is lin. indep. b/c  $w_i \notin L(v_2, \dots, v_n)$   
and  $v_2, \dots, v_n$  are  
independent.

So it is a new basis.

Proposition: 1) If  $V$  has a basis of  $n$  elements,  
 $\dim(V) = n$  then every basis of  $V$  has  $n$  elements.

2) Any collection of vectors of  $V$  that has more than  $n$  elements is linearly dependent.

Pf: 2) Suppose  $\{v_1, \dots, v_n\}$  is a basis  
Suppose ~~that~~  $\{w_1, \dots, w_{n+1}\}$  is a lin. ~~indep.~~ indep. collection of vectors

Then  $\{w_1, \dots, w_{n+1}, v_{s_1}, v_{s_2}, \dots, v_{s_k}\}$  is a basis  
for some  $s_1, \dots, s_k$   
by the basis extension Thm  
put some of the  $v$ 's together with  $w$ 's, if needed.

Now I can exchange  $w_1$  for one of the  $v$ 's by the exchange lemma. Will get a new basis. Keep exchanging until all the  $w$ 's are gone. Will get ~~an~~ a ~~set~~ list of vectors where some of the  $v$ 's are listed more than once!

This cannot be a linearly independent collection!  
We got a contradiction - there could not have been  $n+1$  lin. indep.  $w$ 's.

(2)  $\Rightarrow$  (1). Suppose we have two bases with different numbers of elements - say, one with  $n$  elements and one with  $m$  elements. Without loss of generality, we can assume that

$m < n$ .

Then by (2), the collection of vectors with  $m$  elements would have to be linearly dependent, contradicting that it was a basis.