Tiling and spectral properties of near-cubic domains

Mihail N. Kolountzakis and Izabella Łaba

May 29, 2003

Abstract

We prove that if a measurable domain tiles \mathbb{R} or \mathbb{R}^2 by translations, and if it is "close enough" to a line segment or a square respectively, then it admits a lattice tiling. We also prove a similar result for spectral sets in dimension 1, and give an example showing that there is no analogue of the tiling result in dimensions 3 and higher.

Mathematics Subject Classification: 52C20, 42A99.

1 Introduction

Let E be a measurable set in \mathbb{R}^n such that $0 < |E| < \infty$. We will say that E tiles \mathbb{R}^n by translations if there is a set $T \subset \mathbb{R}^n$ such that, up to sets of measure 0, the sets E+t: $t \in T$ are mutually disjoint and $\bigcup_{t \in T} (E+t) = \mathbb{R}^n$. We call any such T a translation set for E, and write $E + T = \mathbb{R}^n$. A tiling $E + T = \mathbb{R}^n$ is called *periodic* if it admits a period lattice of rank n; it is a *lattice tiling* if T itself is a lattice. Here and below, a *lattice* in \mathbb{R}^n will always be a set of the form $T\mathbb{Z}^n$, where T is a linear transformation of rank n.

It is known [19], [18] that if a convex set E tiles \mathbb{R}^n by translations, it also admits a lattice tiling. A natural question is whether a similar result holds if E is "sufficiently close" to being convex, e.g. if it is close enough (in an appropriate sense) to a *n*-dimensional cube. In this paper we prove that this is indeed so in dimensions 1 and 2; we also construct a counterexample in dimensions $n \geq 3$. A major unresolved problem in the mathematical theory of tilings is the *periodic tiling conjecture*, which asserts that any E which tiles \mathbb{R}^n by translations must also admit a periodic tiling. (See [3] for an overview of this and other related questions.) The conjecture has been proved for all bounded measurable subsets of \mathbb{R} [16], [12] and for topological discs in \mathbb{R}^2 [2], [8]. Our Theorem 2 and Corollary 1 prove the conjecture for near-square domains in \mathbb{R}^2 . We emphasize that no assumptions on the topology of E are needed; in particular, E is not required to be connected and may have infinitely many connected components.

Our work was also motivated in part by a conjecture of Fuglede [1]. We call a set E spectral if there is a discrete set $\Lambda \subset \mathbb{R}^n$, which we call a spectrum for E, such that $\{e^{2\pi i\lambda \cdot x} : \lambda \in \Lambda\}$ is an orthogonal basis for $L^2(E)$. Fuglede conjectured that E is spectral if and only if it tiles \mathbb{R}^n by translations, and proved it under the assumption that either the translation set T or the spectrum Λ is a lattice. This problem was addressed in many recent papers (see e.g. [4], [7], [10], [13], [14], [15], [16], [17]), and in particular the conjecture has been proved for convex regions in \mathbb{R}^2 [9], [5], [6].

It follows from our Theorem 1 and from Fuglede's theorem that the conjecture is true for $E \subset \mathbb{R}$ such that E is contained in an interval of length strictly less than 3|E|/2. (This was proved in [15] in the special case when E is a union of finitely many intervals of equal length.) In dimension 2, we obtain the "tiling \Rightarrow spectrum" part of the conjecture for near-square domains. Namely, if $E \subset \mathbb{R}^2$ tiles \mathbb{R}^2 and satisfies the assumptions of Theorem 2 or Corollary 1, it also admits a lattice tiling, hence it is a spectral set by Fuglede's theorem on the lattice case of his conjecture. We do not know how to prove the converse implication.

Our main results are the following.

Theorem 1 Suppose $E \subseteq [0, L]$ is measurable with measure 1 and $L = 3/2 - \epsilon$ for some $\epsilon > 0$. Let $\Lambda \subset \mathbb{R}$ be a discrete set containing 0. Then (a) if $E + \Lambda = \mathbb{R}$ is a tiling, it follows that $\Lambda = \mathbb{Z}$. (b) if Λ is a spectrum of E, it follows that $\Lambda = \mathbb{Z}$.

The upper bound L < 3/2 in Theorem 1 is optimal: the set $[0, 1/2] \cup [1, 3/2]$ is contained in an interval of length 3/2, tiles \mathbb{Z} with the translation set $\{0, 1/2\} + 2\mathbb{Z}$, and has the spectrum $\{0, 1/2\} + 2\mathbb{Z}$, but does not have

either a lattice translation set or a lattice spectrum. This example has been known to many authors; an explicit calculation of the spectrum is given e.g. in [14].

Theorem 2 Let $E \subset \mathbb{R}^2$ be a measurable set such that $[0,1]^2 \subset E \subset [-\epsilon, 1+\epsilon]^2$ for $\epsilon > 0$ small enough. Assume that E tiles \mathbb{R}^2 by translations. Then E also admits a tiling with a lattice $\Lambda \subset \mathbb{R}^2$ as the translation set.

Our proof works for $\epsilon < \epsilon_0 \approx 0.05496$; we do not know what is the optimal upper bound for ϵ .



Figure 1: Examples of near-square regions which tile \mathbb{R}^2 . Note that the second region also admits aperiodic (hence non-lattice) tilings.

Corollary 1 Let $E \subset \mathbb{R}^2$ be a measurable set such that |E| = 1 and E is contained in a square of sidelength $1 + \epsilon$ for $\epsilon > 0$ small enough. If E tiles \mathbb{R}^2 by translations, then it also admits a lattice tiling.

Theorem 3 Let $n \geq 3$. Then for any $\epsilon > 0$ there is a set $E \subset \mathbb{R}^n$ with $[0,1]^n \subset E \subset [-\epsilon, 1+\epsilon]^n$ such that E tiles \mathbb{R}^n by translations, but does not admit a lattice tiling.

2 The one-dimensional case

In this section we prove Theorem 1. We shall need the following crucial lemma.

Lemma 1 Suppose that $E \subseteq [0, L]$ is measurable with measure 1 and that $L = 3/2 - \epsilon$ for some $\epsilon > 0$. Then

$$|E \cap (E+x)| > 0 \quad whenever \ 0 \le x < 1. \tag{1}$$

Proof of Lemma 1. We distinguish the cases (i) $0 \le x \le 1/2$, (ii) $1/2 < x \le 3/4$ and (iii) 3/4 < x < 1.

(i) $0 \le x \le 1/2$

This is the easy case as $E \cup (E + x) \subseteq [0, L + 1/2] = [0, 2 - \epsilon]$. Since this interval has length less than 2, the sets E and E + x must intersect in positive measure.

(ii)
$$1/2 < x \le 3/4$$

Let $x = 1/2 + \alpha$, $0 < \alpha \le 1/4$. Suppose that $|E \cap (E + x)| = 0$. Then $1 + 2\alpha \le 3/2$ and

$$|(E \cap [0, x]) \cup (E \cap [x, 2x])| \le x,$$

as the second set does not intersect the first when shifted back by x. This implies that

$$|E| \le x + (3/2 - \epsilon - 2x) = 3/2 - \epsilon - x = 1 - \epsilon - \alpha < 1,$$

a contradiction as |E| = 1.

 $\frac{\text{(iii) } 3/4 < x < 1}{1 \text{ Lot } x = 2/4}$

Let
$$x = 3/4 + \alpha$$
, $0 < \alpha < 1/4$. Suppose that $|E \cap (E + x)| = 0$. Then

$$|(E \cap [0, 3/4 - \alpha - \epsilon]) \cup (E \cap [3/4 + \alpha, 3/2 - \epsilon])| \le 3/4 - \alpha - \epsilon,$$

for the second set translated to the left by x does not intersect the first. This implies that

$$|E| \le (3/4 - \alpha - \epsilon) + 2\alpha + \epsilon = 3/4 + \alpha < 1,$$

a contradiction.

We need to introduce some terminology. If f is a nonnegative integrable function on \mathbb{R}^d and Λ is a subset of \mathbb{R}^d , we say that $f + \Lambda$ is a packing if, almost everywhere,

$$\sum_{\lambda \in \Lambda} f(x - \lambda) \le 1.$$
(2)

We say that $f + \Lambda$ is a tiling if equality holds almost everywhere. When $f = \chi_E$ is the indicator function of a measurable set, this definition coincides with the classical geometric notions of packing and tiling.

We shall need the following theorem from [10].

Theorem 4 If $f, g \ge 0$, $\int f(x)dx = \int g(x)dx = 1$ and both $f + \Lambda$ and $g + \Lambda$ are packings of \mathbb{R}^d , then $f + \Lambda$ is a tiling if and only if $g + \Lambda$ is a tiling.

Proof of Theorem 1. (a) Suppose $E + \Lambda$ is a tiling. From Lemma 1 it follows that any two elements of Λ differ by at least 1. This implies that $\chi_{[0,1]} + \Lambda$ is a packing, hence it is also a tiling by Theorem 4. Since $0 \in \Lambda$, we have $\Lambda = \mathbb{Z}$.

(b) Suppose that Λ is a spectrum of E. Write

$$\delta_{\Lambda} = \sum_{\lambda \in \Lambda} \delta_{\lambda}$$

for the measure of one unit mass at each point of Λ . Our assumption that Λ is a spectrum for E implies that

$$\left|\widehat{\chi_E}\right|^2 + \Lambda = \mathbb{R}$$

is a tiling (see, for example, [10]). This, in turn, implies that Λ had density 1. Here and below, we say that a set $A \subset \mathbb{R}$ has density ρ if

$$\lim_{N \to \infty} \frac{\#(A \cap [-N, N])}{2N} = \rho.$$

Notation. The definition of the Fourier Transform we use is

$$\widehat{f}(\xi) = \int_{\mathbb{R}} e^{-2\pi i \xi \cdot x} f(x) \ dx,$$

for an L^1 function f. If T is a tempered distribution (a bounded linear functional on the Schwarz space \mathcal{S}) then its Fourier Transform is defined by duality as the tempered distribution \hat{T} given by

$$\widehat{T}(\phi) = T(\widehat{\phi}), \quad \phi \in \mathcal{S}.$$

We now use the following result from [10]:

Theorem 5 Suppose that $f \ge 0$ is not identically 0, that $f \in L^1(\mathbb{R}^d)$, $\hat{f} \ge 0$ has compact support and $\Lambda \subset \mathbb{R}^d$. If $f + \Lambda$ is a tiling then

$$\operatorname{supp}\widehat{\delta_{\Lambda}} \subseteq \left\{\widehat{f} = 0\right\} \cup \{0\}.$$
(3)

Let us emphasize here that the object $\widehat{\delta_{\Lambda}}$, the Fourier Transform of the tempered measure δ_{Λ} , is in general a tempered distribution and need not be a measure.

For $f = |\widehat{\chi_E}|^2$ Theorem 5 implies

$$\operatorname{supp}\widehat{\delta_{\Lambda}} \subseteq \{0\} \cup \{\chi_E * \widetilde{\chi_E} = 0\}, \tag{4}$$

since $\chi_E * \widetilde{\chi_E}$ is the Fourier transform of $|\widehat{\chi_E}|^2$ (where $\widetilde{g}(x) = \overline{g(-x)}$). But

 $\{\chi_E * \widetilde{\chi_E} = 0\} = \{x : |E \cap (E+x)| = 0\}.$

This and Lemma 1 imply that

$$\operatorname{supp}\widehat{\delta_{\Lambda}}\cap(-1,1)=\{0\}.$$

Let

$$K_{\delta}(x) = \max\left\{0, 1 - (1+\delta)|x|\right\} = (1+\delta)\chi_{I_{\delta}} * \widetilde{\chi_{I_{\delta}}}(x),$$

where $I_{\delta} = [0, \frac{1}{1+\delta}]$, be a Fejér kernel (we will later take $\delta \to 0$). Then

$$\widehat{K_{\delta}} = (1+\delta)|\widehat{\chi_{I_{\delta}}}|^2 = \frac{1+\delta}{\pi^2 x^2} \sin^2 \frac{\pi x}{1+\delta}$$

is a non-negative continuous function and it follows that

$$\widehat{K_{\delta}}(0) = \frac{1}{1+\delta}$$

and

$$\left\{x:\widehat{K_{\delta}}(x)=0\right\}=(1+\delta)(\mathbb{Z}\setminus\{0\}).$$
(5)

Next, we use the following result from [11] (proved there in a more general setting):

Theorem 6 Suppose that $\Lambda \in \mathbb{R}$ is a set with density ρ , $\delta_{\Lambda} = \sum_{\lambda \in \Lambda} \delta_{\lambda}$, and that $\widehat{\delta_{\Lambda}}$ is a measure in a neighborhood of 0. Then $\widehat{\delta_{\Lambda}}(\{0\}) = \rho$.

Remark. The proof of Theorem 6 shows that the assumption of $\hat{\delta}_{\Lambda}$ being a measure in a neighborhood of zero is superfluous, if one knows a priori that $\hat{\delta}_{\Lambda}$ is supported only at zero, in a neighborhood of zero. Indeed, what is shown in that proof is that, as $t \to \infty$, the quantity $\hat{\delta}_{\Lambda}(\phi(tx))$ remains bounded, for any C_c^{∞} test function ϕ . If $\hat{\delta}_{\Lambda}$ were not a measure near 0 but had support only at 0, locally, this quantity would grow like a polynomial in t of degree equal to the degree of the distribution at 0.

Applying Theorem 6 and the remark following it we obtain that $\hat{\delta}_{\Lambda}$ is equal to δ_0 in a neighborhood of 0, since Λ has density 1.

Next, we claim that

$$\sum_{\lambda \in \Lambda} \widehat{K_{\delta}}(x - \lambda) = 1, \text{ for all } x \in \mathbb{R}.$$

Indeed, take ψ_{ϵ} to be an even, smooth, positive-definite approximate identity, supported in $(-\epsilon, \epsilon)$, and take $\epsilon = \epsilon(\delta)$ to be small enough so that $\operatorname{supp} \psi_{\epsilon} * K_{\delta} \subset (-1, 1)$. We have then, for fixed x,

$$\begin{split} \sum_{\lambda \in \Lambda} \widehat{K_{\delta}}(x-\lambda) &= \lim_{\epsilon \to 0} \sum_{\lambda \in \Lambda} \widehat{\psi_{\epsilon}}(x-\lambda) \widehat{K_{\delta}}(x-\lambda) \\ &= \lim_{\epsilon \to 0} \delta_{\Lambda} \left((\widehat{\psi_{\epsilon}} \widehat{K_{\delta}})(x-\cdot) \right) \quad \text{(by definition of } \delta_{\Lambda}) \\ &= \lim_{\epsilon \to 0} \widehat{\delta_{\Lambda}} \left(e^{2\pi i x t} (\psi_{\epsilon} * K_{\delta})(t) \right) \quad \text{(by the definition of the FT of } \delta_{\Lambda}) \\ &= \lim_{\epsilon \to 0} \delta_{0} \left(e^{2\pi i x t} (\psi_{\epsilon} * K_{\delta})(t) \right) \quad \text{(for } \epsilon \text{ small enough)} \\ &= \lim_{\epsilon \to 0} (\psi_{\epsilon} * K_{\delta})(0) \\ &= K_{\delta}(0) \\ &= 1, \end{split}$$

which establishes the claim. Applying this for x = 0 and isolating the term $\lambda = 0$ we get

$$1 = \frac{1}{1+\delta} + \sum_{0 \neq \lambda \in \Lambda} \widehat{K_{\delta}}(-\lambda).$$

Letting $\delta \to 0$ we obtain that $\widehat{K_{\delta}}(-\lambda) \to 0$ for each $\lambda \in \Lambda \setminus \{0\}$, which implies that each such λ is an integer, as $\mathbb{Z} \setminus \{0\}$ is the limiting set of the zeros of $\widehat{K_{\delta}}$.

To get that $\Lambda = \mathbb{Z}$ notice that $\chi_{[0,1]} + \Lambda$ is a packing. By Theorem 4 again we get that $\chi_{[0,1]} + \Lambda$ is in fact a tiling, hence $\Lambda = \mathbb{Z}$.

3 Planar regions

Proof of Theorem 2. We denote the coordinates in \mathbb{R}^2 by (x_1, x_2) . For $0 \le a \le b \le 1$ we will denote

$$E_1(a,b) = (E \cap \{a \le x_1 \le b, x_2 \le 0\}) \cup \{a \le x_1 \le b, x_2 \ge 0\},$$

$$E_2(a,b) = (E \cap \{a \le x_1 \le b, x_2 \ge 0\}) \cup \{a \le x_1 \le b, x_2 \le 0\},$$

$$F_1(a,b) = (E \cap \{a \le x_2 \le b, x_1 \le 0\}) \cup \{a \le x_2 \le b, x_1 \ge 0\},$$

$$F_2(a,b) = (E \cap \{a \le x_2 \le b, x_1 \ge 0\}) \cup \{a \le x_2 \le b, x_1 \le 0\}.$$

We will also use $S_{a,b}$ to denote the vertical strip $[a, b] \times \mathbb{R}$. Let $v = (v_1, v_2) \in \mathbb{R}^2$. We will say that $E_2(a, b)$ complements $E_1(a', b') + v$ if $E_1(a', b') + v$ is positioned above $E_2(a, b)$ so that (up to sets of measure 0) the two sets are disjoint and their union is $S_{a,b}$. In particular, we must have $a' + v_1 = a$ and $b' + v_1 = b$. We will also say that $F_2(a, b)$ complements $F_1(a', b') + v$ if the obvious analogue of the above statement holds. We will write $\tilde{E}_1(a, b) = S_{a,b} \setminus E_1(a, b)$, and similarly for E_2 . Finally, we write $A \sim B$ if the sets A and B are equal up to sets of measure 0.

Lemma 2 Let 0 < s'' < s' < s < 2s''. Suppose that $E_1(a, a + s) + v$, $E_1(a, a + s') + v'$, $E_1(a, a + s'') + v''$ complement $E_2(b - s, b)$, $E_2(b - s', b)$, $E_2(b - s'', b)$ respectively. Then the points v, v', v'' are collinear. Moreover, the absolute value of the slope of the line through v, v'' is bounded by $\epsilon(2s'' - s)^{-1}$.

Applying the lemma to the symmetric reflection of E about the line $x_2 = 1/2$, we find that the conclusions of the lemma also hold if we assume that

 $E_2(a, a+s) + v$, $E_2(a, a+s') + v'$, $E_2(a, a+s'') + v''$ complement $E_1(b-s, b)$, $E_1(b-s', b)$, $E_1(b-s'', b)$ respectively. Furthermore, we may interchange the x_1 and x_2 coordinates and obtain the analogue of the lemma with E_1, E_2 replaced by F_1, F_2 .

Proof of Lemma 2. Let $v = (v_1, v_2)$, $v' = (v'_1, v'_2)$, $v'' = (v''_1, v''_2)$. We first observe that if $v_1 = v''_1$, it follows from the assumptions that v = v'' and there is nothing to prove. We may therefore assume that $v_1 \neq v''_1$. We do, however, allow v' = v or v' = v''.

It follows from the assumptions that $E_2(b - s'', b)$ complements each of $E_1(a, a + s'') + v''$, $E_1(a + s' - s'', a + s') + v'$, $E_1(a + s - s'', a + s) + v$. Hence

$$E_1(a + s' - s'', a + s') \sim E_1(a, a + s'') + (v'' - v')$$
$$E_1(a + s - s'', a + s) \sim E_1(a, a + s'') + (v'' - v).$$

Let n be the unit vector perpendicular to v - v'' and such that $n_2 > 0$. For $t \in \mathbb{R}$, let $P_t = \{x : x \cdot n \leq t\}$. We define for $0 \leq c \leq c' \leq 1$:

$$\begin{aligned} \alpha_{c,c'} &= \inf\{t \in \mathbb{R} : |E_1(c,c') \cap P_t| > 0\}, \\ \beta_{c,c'} &= \sup\{t \in \mathbb{R} : |\widetilde{E}_1(c,c') \setminus P_t| > 0\}. \end{aligned}$$

We will say that x is a *low point* of $E_1(c, c')$ if $x \in S_{c,c'}$, $x \cdot n = \alpha_{c,c'}$, and for any open disc D centered at x we have

$$|D \cap E_1(c,c')| > 0.$$
 (6)

Similarly, we call y a high point of $\widetilde{E}_1(c,c')$ if $y \in S_{c,c'}$, $y \cdot n = \beta_{c,c'}$, and for any open disc D centered at y we have

$$|D \cap \widetilde{E}_1(c,c')| > 0.$$
(7)

It is easy to see that such points x, y actually exist. Indeed, by the definition of $\alpha_{c,c'}$ and an obvious covering argument, for any $\alpha > \alpha_{c,c'}$ there are points x' such that $x' \cdot n \leq \alpha$ and that (6) holds for any disc D centered at x'. Thus the set of such points x' has at least one accumulation point x on the line $x \cdot n = \alpha_{c,c'}$. It follows that any such x is a low point of $E_1(c,c')$. The same argument works for y.

The low and high points need not be unique; however, all low points x of $E_1(c, c')$ lie on the same line $x \cdot n = \alpha_{c,c'}$ parallel to the vector v - v'', and similarly for high points. Furthermore, the low and high points of $E_1(c, c')$ do not change if $E_1(c, c')$ is modified by a set of measure 0.

Let now $A = E_1(a, a + s'')$, and let x be a low point of A. Since s < 2s'', we have

$$B := E_1(a, a+s) = E_1(a, a+s'') \cup E_1(a+s-s'', a+s) \sim A \cup (A+v''-v),$$

hence x is also a low point of B with respect to v - v''. Now note that

$$E_1(a + s' - s'', a + s') \sim A + (v'' - v')$$

intersects any open neighbourhood of x + (v'' - v') in positive measure. But on the other hand, $E_1(a + s' - s'', a + s') \subset B$. By the extremality of x in B, x + (v'' - v') lies on or above the line segment joining x and x + (v'' - v), hence v'' - v' lies on or above the line segment joining 0 and v'' - v.

Repeating the argument in the last paragraph with x replaced by a high point y of $\tilde{E}_1(a, a + s'')$, we obtain that v'' - v' lies on or below the line segment joining 0 and v'' - v. Hence v, v', v'' are collinear.

Finally, we estimate the slope of the line through v, v''. We have to prove that

$$\frac{2s'' - s}{s - s''} |v_2'' - v_2| \le \epsilon$$
(8)

(recall that $v_1'' - v_1 = s - s''$). Define x as above, and let $k \in \mathbb{Z}$. Iterating translations by v - v'' (in both directions), we find that x + k(v - v'') is a low point of B as long as it belongs to B, i.e. as long as

$$a \le x_1 + k(s - s'') \le a + s.$$

The number of such k's is at least $\frac{s}{s-s''}-1$. On the other hand, all low points of B lie in the rectangle $a \le x_1 \le a+s, -\epsilon \le x_2 \le 0$. Hence

$$\left(\frac{s}{s-s''}-2\right)|v_2''-v_2| \le \epsilon,$$

which is (8). \Box

We return to the proof of Theorem 2. Since E is almost a square, we know roughly how the translates of E can fit together. Locally, any tiling by E is essentially a tiling by a "solid" 1×1 square with "margins" of width between 0 and 2ϵ (see Fig. 2).

We first locate a "corner". Namely, we may assume that the tiling contains E and its translates E + u, E + v, where

$$1 \le u_1 \le 1 + 2\epsilon, \ -2\epsilon \le u_2 \le 2\epsilon, \tag{9}$$

$$0 \le v_1 \le \frac{1}{2} + \epsilon, \ 1 \le v_2 \le 1 + 2\epsilon.$$
 (10)

This can always be achieved by translating the tiled plane and taking symmetric reflections of it if necessary.

Let E + w be the translate of E which fits into this corner:

$$v_1 + 1 \le w_1 \le v_1 + 1 + 2\epsilon, \ u_2 + 1 \le w_2 \le u_2 + 1 + 2\epsilon.$$
(11)

We will prove that w = u + v (without the ϵ -errors).



Figure 2: A "corner" and a fourth near-square.

From (11), (9), (10) we have

$$1 \le w_1 \le \frac{3}{2} + 3\epsilon, \ -4\epsilon \le w_2 - v_2 \le 4\epsilon.$$

Observe also that any points (x_1, x_2) between E + u and E + w that belong to tiles other than E + u or E + w must have $x_1 \leq w + \epsilon$ or $x_1 \geq u + 1 - \epsilon$,

since otherwise the solid square belonging to the same tile would overlap with at least one of the solid squares belonging to E + u or E + w. A similar statement holds for E + v and E + w. Hence w satisfies both of the following.

(A) $E_1(\epsilon, 1 - (w_1 - u_1) - \epsilon)$ complements $E_2(w_1 - u_1 + \epsilon, 1 - \epsilon) + (u - w)$, and

$$1 - (w_1 - u_1) - 2\epsilon \ge 1 + 1 - (\frac{3}{2} + 3\epsilon) - 2\epsilon = \frac{1}{2} - 5\epsilon,$$
$$|w_1 - v_1 - 1| \le 2\epsilon.$$

(B) $-4\epsilon \leq w_2 - v_2 \leq 4\epsilon$, $u_2 + 1 \leq w_2 \leq u_2 + 1 + 2\epsilon$, and $F_2(r, t)$ complements $F_1(\tilde{r}, \tilde{t}) + (w - v)$, where

$$r = \max(0, w_2 - v_2) + \epsilon, \ \tilde{r} = \max(0, v_2 - w_2) + \epsilon,$$
$$t = 1 - \max(0, v_2 - w_2) - \epsilon, \ \tilde{t} = 1 - \max(0, w_2 - v_2) - \epsilon.$$

If w = u + v, we have w - u = v, w - v = u, hence by considering the "corner" E, E + u, E + v we see that both (A) and (B) hold. Assuming that ϵ is small enough, we shall prove that:

1. All points w satisfying (A) lie on a fixed straight line l_1 with slope m_1 , where $|m_1| \le \epsilon (\frac{1}{2} - 9\epsilon)^{-1}$.

2. All points w satisfying (B) lie on a fixed straight line l_2 with slope m_2 , where $|m_2| \ge \epsilon^{-1}(1 - 8\epsilon)$.

If $\epsilon < (13 - 3\sqrt{3})/142 \approx 0.05496$ (the smaller root of the equation $71\epsilon^2 - 13\epsilon + \frac{1}{2} = 0$), the upper bound for $|m_1|$ is less than the lower bound for $|m_2|$. It follows that there can be at most one w which satisfies both (A) and (B), since l_1 and l_2 intersect only at one point. Consequently, if E + w is the translate of E chosen as above, we must have w = u + v. Now it is easy to see that $E + \Lambda$ is a tiling, where Λ is the lattice $\{ku + mv : k, m \in \mathbb{Z}\}$.

We first prove 1. Suppose that w, w', w'', \ldots (not necessarily all distinct) satisfy (A). By the assumptions in (A), we may apply Lemma 2 with E_1 and E_2 interchanged and with $a = 0, b = 1, s = 1 - (w_1 - u_1), s' = 1 - (w'_1 - u_1), \ldots \geq \frac{1}{2} - 5\epsilon$. From the second inequality in (A) and the triangle inequality we also have $|s - s''| \leq 4\epsilon$. We find that all w satisfying (A) lie on a line l_1 with slope bounded by

$$\frac{\epsilon}{|2s''-s|} \le \frac{\epsilon}{s''-|s''-s|} \le \frac{\epsilon}{1/2-9\epsilon}$$

To prove 2., we let w, w', w'' be three (not necessarily distinct) points satisfying (B) and such that $w_2 \leq w'_2 \leq w''_2$. Observe that $r \leq r' \leq r''$ and $t \geq t' \geq t''$ (the notation is self-explanatory). We then apply the obvious analogue of Lemma 2 with E_1, E_2 replaced by F_1, F_2 and with a = r'', s = $t - r'', s' = t' - r'', s'' = t'' - r'', b = \tilde{t}''$. From the estimates in (B) we have

$$|s - s''| = |t - t''| \le |w_2 - w_2''| \le 2\epsilon,$$

$$s'' = t'' - r'' = 1 - \max(0, v_2 - w_2'') - \max(0, w_2'' - v_2) - 2\epsilon \ge 1 - 6\epsilon,$$

hence $|2s'' - s| \ge s'' - |s - s''| \ge 1 - 8\epsilon$. We conclude that all w satisfying (B) lie on a line l_2 such that the inverse of the absolute value of its slope is bounded by $\frac{\epsilon}{1-8\epsilon}$.

Proof of Corollary 1. Let $Q = [0,1] \times [0,1]$. By rescaling, it suffices to prove that for any $\epsilon > 0$ there is a $\delta > 0$ such that if $E \subset Q$, E tiles \mathbb{R}^2 by translations, and $|E| \ge 1 - \delta$, then E contains the square

$$Q_{\epsilon} = [\epsilon, 1 - \epsilon] \times [\epsilon, 1 - \epsilon]$$

(up to sets of measure 0). The result then follows from Theorem 2.

Let *E* be as above, and suppose that $Q_{\epsilon} \setminus E$ has positive measure. Since *E* tiles \mathbb{R}^2 , there is a $v \in \mathbb{R}^2$ such that $|E \cap (E+v)| = 0$ and $|Q_{\epsilon} \cap (E+v)| > 0$. We then have

$$|E \cup (E+v)| = |E| + |E+v| \ge 2 - 2\delta,$$

but also

$$|E \cup (E+v)| \le |Q \cup (Q+v)| \le 2 - \epsilon^2,$$

since $E \subset Q$, $E + v \subset Q + v$, and $Q_{\epsilon} \cap (Q + v) \neq \emptyset$ so that $|Q \cap (Q + v)| \ge \epsilon^2$. This is a contradiction if δ is small enough. \Box

4 A counterexample in higher dimensions

In this section we prove Theorem 3. It suffices to construct E for n = 3, since then $E \times [0, 1]^{n-3}$ is a subset of \mathbb{R}^n with the required properties.

Let (x_1, x_2, x_3) denote the Cartesian coordinates in \mathbb{R}^3 . It will be convenient to rescale E so that $[\epsilon, 1]^3 \subset E \subset [0, 1 + \epsilon]^3$.



Figure 3: The construction of E.

We construct E as follows. We let E be bounded from below and above by the planes $x_3 = 0$ and $x_3 = 1$ respectively. The planes $x_1 = \epsilon, x_1 = 1, x_2 = \epsilon, x_2 = 1$ divide the cube $[0, 1 + \epsilon]^3$ into 9 parts (Figure 3). The middle part is entirely contained in E. We label by A, B, C, D, P, Q, R, S the remaining 8 segments as shown in Figure 3. We then let

$$E \cap P = P \cap \left\{ 0 \le x_3 \le \frac{1}{8} \text{ or } \frac{1}{2} \le x_3 \le \frac{5}{8} \right\},\$$
$$E \cap R = R \cap \left\{ 0 \le x_3 \le \frac{1}{8} \text{ or } \frac{1}{2} \le x_3 \le \frac{5}{8} \right\},\$$
$$E \cap Q = Q \cap \left\{ 0 \le x_3 \le \frac{1}{4} \text{ or } \frac{3}{8} \le x_3 \le \frac{3}{4} \text{ or } \frac{7}{8} \le x_3 \le 1 \right\},\$$
$$E \cap S = S \cap \left\{ 0 \le x_3 \le \frac{1}{4} \text{ or } \frac{3}{8} \le x_3 \le \frac{3}{4} \text{ or } \frac{7}{8} \le x_3 \le 1 \right\},\$$

and

$$E \cap A = A \cap \left\{ 0 \le x_3 \le \frac{1}{16} \right\}, \ E \cap C = A \cap \left\{ \frac{1}{2} \le x_3 \le \frac{9}{16} \right\},$$
$$E \cap B = B \cap \left\{ \frac{5}{16} \le x_3 \le \frac{3}{4} \right\}, \ E \cap D = D \cap \left\{ 0 \le x_3 \le \frac{1}{4} \text{ or } \frac{13}{16} \le x_3 \le 1 \right\}.$$

We also denote $K = \bigcup_{j \in \mathbb{Z}} (E + (0, 0, j)).$

Let E + T be a tiling of \mathbb{R}^3 , and assume that $0 \in T$. Suppose that E + vand E + w are neighbours in this tiling so that the vertical sides of $(E \cap P) + v$ and $(E \cap Q) + w$ meet in a set of non-zero two-dimensional measure. Then we must have $v - w = (0, 1, (v - w)_3)$, where $(v - w)_3 \in \{\pm \frac{1}{4}, \pm \frac{3}{4}\}$. A similar statement holds with P, Q replaced by R, S and with the x_1, x_2 coordinates interchanged. We deduce that the tiling consists of copies of E stacked into identical vertical "columns" $K_{ij} = K + (i, j, t_{ij})$, arranged in a rectangular grid in the x_1x_2 plane and shifted vertically so that $t_{i+1,j} - t_{ij}$ and $t_{i,j+1} - t_{ij}$ are always $\pm \frac{1}{4}$. We will use matrices (t_{ij}) to encode such a tiling or portions thereof.

It is easy to see that (t_{ij}) , where $t_{ij} = 0$ if i + j is even and $\frac{1}{4}$ if i + j is odd, is indeed a tiling. It remains to show that E does not admit a lattice tiling. Indeed, the four possible choices of the generating vectors in any lattice (t_{ij}) with $t_{ij} = \pm \frac{1}{4}$ produce the configurations

$$\begin{pmatrix} 0 & t \\ t & 2t \end{pmatrix}, \begin{pmatrix} 2t & t \\ t & 0 \end{pmatrix}, \begin{pmatrix} 0 & t \\ -t & 0 \end{pmatrix}, \begin{pmatrix} 0 & -t \\ t & 0 \end{pmatrix}.$$

But it is easy to see that the corners A, B, C, D do not match if so translated.

References

- B. Fuglede: Commuting self-adjoint partial differential operators and a group-theoretic problem, J. Funct. Anal. 16 (1974), 101–121
- [2] D. Girault-Beauquier, M. Nivat: Tiling the plane with one tile, in: Topology and Category Theory in Computer Science, G.M. Reed, A.W. Roscoe, R.F. Wachter (eds.), Oxford Univ. Press 1989, 291–333.
- [3] B. Grünbaum, G.C. Shepard: *Tilings and patterns*, New York: Freeman 1987.
- [4] A. Iosevich, N. H. Katz, S. Pedersen: Fourier bases and a distance problem of Erdös, Math. Res. Letters 6 (1999), 251–255.
- [5] A. Iosevich, N. H. Katz, T. Tao: Convex bodies with a point of curvature do not have Fourier bases, Amer. J. Math. 123 (2001), 115–120.

- [6] A. Iosevich, N.H. Katz, T.Tao: Fuglede conjecture holds for convex planar domains, preprint, 2001.
- [7] P. Jorgensen, S. Pedersen: Spectral pairs in Cartesian coordinates, J. Fourier Anal. Appl. 5 (1999), 285–302.
- [8] R. Kenyon: *Rigidity of planar tilings*, Invent. Math. 107 (1992), 637–651.
- [9] M. Kolountzakis: Non-symmetric convex domains have no basis of exponentials, Illinois J. Math. 44 (2000), 542–550.
- [10] M.N. Kolountzakis: Packing, Tiling, Orthogonality and Completeness, Bull. L.M.S. 32 (2000), 5, 589–599.
- [11] M.N. Kolountzakis: On the structure of multiple translational tilings by polygonal regions, Discrete Comput. Geom. 23 (2000), 4, 537–553.
- [12] M.N. Kolountzakis, J.C. Lagarias: Structure of tilings of the line by a function, Duke Math. J. 82 (1996), 653–678.
- [13] M.N. Kolountzakis, M. Papadimitrakis: A class of non-convex polytopes that admit no orthonormal basis of exponentials, preprint, 2001.
- [14] I. Łaba: Fuglede's conjecture for a union of two intervals, Proc. AMS 121 (2001), 2965–2972.
- [15] I. Łaba: The spectral set conjecture and multiplicative properties of roots of polynomials, J. London Math. Soc., to appear.
- [16] J. Lagarias, Y. Wang: Tiling the line with translates of one tile, Inv. Math. 124 (1996), 341–365.
- [17] J. Lagarias, Y. Wang: Spectral sets and factorization of finite abelian groups, J. Funct. Anal. 73 (1997), 122–134.
- [18] P. McMullen: Convex bodies which tile the space by translation, Mathematika 27 (1980), 113–121.
- [19] B.A. Venkov: On a class of Euclidean polyhedra, Vestnik Leningrad Univ. Ser. Mat. Fiz. Him. 9 (1954), 11–31.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CRETE, KNOSSOS AVE., 714 09 IRAKLIO, GREECE. E-mail: mk@fourier.math.uoc.gr

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF BRITISH COLUMBIA, VANCOUVER, B.C. V6T 1Z2, CANADA. E-mail: ilaba@math.ubc.ca