

# The decomposition theorem for 2-Calabi-Yau categories

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## Mixed Hodge structures and BM homology

For  $X$  a complex variety, we define  $H^{\text{BM}}(X, \mathbb{Q}) := s_* \mathbb{D}Q_X$ , where

- $Q_X$  is the constant sheaf on  $X$
  - $\mathbb{D}$  is the Verdier duality functor
  - $s_*$  is the derived global sections functor for the structure map  $X \xrightarrow{s} \text{pt}$ .
- 1 If  $X$  is smooth, this is (up to a shift) the usual cohomology, since  $\mathbb{D}Q_X \cong Q_X[2 \dim_{\mathbb{C}}(X)]$ .
  - 2 If  $U \subset X$  is open, have long exact sequence
$$\rightarrow H_{-i}^{\text{BM}}(X \setminus U, \mathbb{Q}) \rightarrow H_{-i}^{\text{BM}}(X, \mathbb{Q}) \rightarrow H_{-i}^{\text{BM}}(U, \mathbb{Q}) \rightarrow H_{-(i+1)}^{\text{BM}}(X \setminus U, \mathbb{Q}) \rightarrow .$$

Can *define* the mixed Hodge structure on  $H^{\text{BM}}(X, \mathbb{Q})$  by decreeing that this is a long exact sequence in MHS, and taking standard pure Hodge structure on each  $H^{\text{BM}}(X, \mathbb{Q})$  if  $X$  is smooth projective.

- 3 There is an essentially unique functorial way to extend  $H^{\text{BM}}: \text{Var} \rightarrow \mathcal{D}(\text{MHS})$  to global quotient stacks.

(2) says that  $H^{\text{BM}}$  is “motivic”, so this cohomology theory connects well with point-counting over  $\mathbb{F}_q$  as well as DT theory.

# The BBDG decomposition theorem

## Theorem (Beilinson–Bernstein–Deligne–Gabber)

Let  $p: X \rightarrow Y$  be a projective morphism of complex algebraic varieties, with  $X$  smooth. Then

$$p_*\mathbb{Q}_X \cong \bigoplus_{i \in \mathbb{Z}} {}^p\mathcal{H}^i(p_*\mathbb{Q}_X)[-i]$$

and each perverse sheaf  ${}^p\mathcal{H}^i(p_*\mathbb{Q}_X)$  is semisimple.

- If  $X$  is singular variety, same theorem remains true, but with  $\mathbb{Q}_X$  replaced everywhere by  $\mathcal{IC}_X$ , the intersection complex, i.e. the intermediate extension of the constant perverse sheaf  $\mathbb{Q}[\dim(X)]|_{X^{\text{sm}}}$ .
- For  $X$  smooth, defining

$$\mathfrak{P}^i\mathcal{H}(X, \mathbb{Q}) := H(Y, {}^p\tau^{\leq i} p_*\mathbb{Q}_X) \subset H(X, \mathbb{Q})$$

gives the perverse filtration of  $X$  with respect to  $q$ , with subquotients

$$\mathfrak{P}^i\mathcal{H}(X, \mathbb{Q})/\mathfrak{P}^{i-1}\mathcal{H}(X, \mathbb{Q}) \cong H(Y, {}^p\mathcal{H}^i(p_*\mathbb{Q}_X)).$$

## Saito's version

Given  $X$  a complex variety, Saito defines the category  $\text{MHM}(X)$  of mixed Hodge modules on  $X$ , along with faithful functor  $\text{MHM}(X) \rightarrow \text{Perv}(X)$ ;

- So a MHM on  $X$  is a perverse sheaf  $\mathcal{F}$  on  $X$  along with some extra data. Most important part (for us) is the *weight filtration*  $W_\bullet \mathcal{F}$ .
- A MHM  $\mathcal{F}$  is *pure of weight  $i$*  if  $\text{Gr}_j^W \mathcal{F} = 0$  for  $i \neq j$ .
- A complex  $\mathcal{F} \in D^b(\text{MHM}(X))$  is called *pure* if each  $\mathcal{H}^i(\mathcal{F})$  is pure of weight  $i$ .
- The perverse sheaves  $\mathbb{Q}_X[\dim(X)]$  on a smooth variety  $X$  (or  $\mathcal{IC}_X$  on a general variety) have lifts to simple pure weight zero MHMs (at least if  $\dim(X)$  even).

### Theorem (Saito)

*The category of pure weight  $n$  MHMs on a variety is semisimple. If  $f: X \rightarrow Y$  is projective,  $f_*: D^b(\text{MHM}(X)) \rightarrow D^b(\text{MHM}(Y))$  preserves pure objects. If  $\mathcal{F} \in D^b(\text{MHM}(Y))$  is pure, then  $\mathcal{F} \cong \bigoplus_{i \in \mathbb{Z}} \mathcal{H}^i(\mathcal{F})[-i]$ .  
 $\therefore$  If  $\mathcal{G} \in D^b(\text{MHM}(X))$  is pure, then  $f_* \mathcal{G} \cong \bigoplus_{i \in \mathbb{Z}} \mathcal{H}^i(f_* \mathcal{G})[-i]$ .*

## Coherent sheaves on K3 surfaces

Let  $(S, H)$  be a polarized K3 surface, or a pair of a smooth complex algebraic surface  $S$  with trivial  $\omega_S$ , along with an ample class on  $\bar{S}$ .

We denote by  $\mathfrak{M}_\alpha^H(S)$  the moduli stack of  $H$ -Geiseker semistable coherent sheaves on  $S$  with projective support and with Chern character  $\alpha$ , and by  $\mathcal{M}_\alpha^H(S)$  the coarse moduli space.

- Via the standard quot scheme construction,  $\mathfrak{M}_\alpha^H(S)$  is a global quotient stack.
- The canonical morphism  $p: \mathfrak{M}_\alpha^H(S) \rightarrow \mathcal{M}_\alpha^H(S)$  is a good moduli space in the sense of Alper.

One of the motivations of this project was to prove

### Conjecture (Halpern–Leistner)

*Assume that  $S$  is a K3 surface, then the mixed Hodge structure on  $H^{\text{BM}}(\mathfrak{M}_\alpha^H(S), \mathbb{Q})$  is pure.*

(We'll prove the conjecture under *weaker* assumptions on  $S$ .)

# Formal and étale neighbourhoods (after Alper–Hall–Rydh)

## Formal neighbourhoods

Fix a finite length category Abelian subcategory  $\mathcal{C}$  of  $\mathcal{H}(\mathcal{D})$  for  $\mathcal{D}$  a “nice” dg category, with a good moduli space  $p: \mathfrak{M}_{\mathcal{C}} \rightarrow \mathcal{M}_{\mathcal{C}}$ .

Formal completion of  $p$  at  $x$  representing the semisimple object  $\mathcal{F} = \bigoplus_{i \in I} \mathcal{F}_i^{\oplus d_i}$  is determined by the dg algebra  $\mathrm{RHom}_{\mathcal{D}}(\mathcal{F}, \mathcal{F})$ , which is determined by  $\{\mathcal{F}_i\}_{i \in I}$ , the full dg subcategory of  $\mathcal{D}$  containing the  $\mathcal{F}_i$ .

Let  $\mathcal{F}'_i$  be objects of a finite length Abelian subcategory  $\mathcal{C}' \subset \mathcal{H}(\mathcal{D}')$  such that there is a dg equivalence  $\{\mathcal{F}'_i\} \simeq \{\mathcal{F}_i\}$ , and there is a good moduli space  $\mathfrak{M}_{\mathcal{C}'} \rightarrow \mathcal{M}_{\mathcal{C}'}$  of objects in  $\mathcal{C}'$ . Let  $x'$  represent  $\bigoplus_{i \in I} \mathcal{F}'_i^{\oplus d_i}$ . Then there is a commutative diagram with Cartesian squares and étale horizontal morphisms

$$\begin{array}{ccccc} (\mathfrak{M}_{\mathcal{C}'}, x') & \longleftarrow & (\mathfrak{U}, u) & \longrightarrow & (\mathfrak{M}_{\mathcal{C}}, x) \\ p' \downarrow & & q \downarrow & & p \downarrow \\ (\mathcal{M}_{\mathcal{C}'}, x') & \longleftarrow & (\mathcal{U}, q(u)) & \longrightarrow & (\mathcal{M}_{\mathcal{C}}, x) \end{array}$$

## Formality

Let  $\mathcal{C} \in \mathbf{H}(\mathcal{D})$  be a finite length Abelian category with  $\mathcal{D}$  2CY, e.g.

- Semistable coherent sheaves of fixed slope on smooth  $S$  satisfying  $\mathcal{O}_S \cong \omega_S$
- Semistable Higgs bundles of fixed slope on a smooth projective curve.
- Representations of a (usual/deformed/multiplicative) preprojective algebra  $\Pi_Q$ .
- Bridgeland semistable objects of fixed slope in Kuznetsov component.
- Representations of  $\pi_1(\Sigma_g)$  for  $\Sigma_g$  a compact Riemann surface.

### Theorem

*The dg category  $\{\mathcal{F}_i\}_{i \in I}$  is formal for any collection of simple objects in  $\mathcal{C}$ .  
I.e. there is a quasi-equivalence of  $A_\infty$ -algebras*

$$\mathrm{RHom}_{\mathcal{D}}^{\bullet}(\mathcal{F}, \mathcal{F}) \simeq \mathrm{Ext}_{\mathcal{D}}^{\bullet}(\mathcal{F}, \mathcal{F}).$$

$\therefore$  The étale neighbourhood of  $p: \mathfrak{M}_{\mathcal{C}} \rightarrow \mathcal{M}_{\mathcal{C}}$  around  $x$  representing  $\bigoplus_i \mathcal{F}_i^{\oplus d_i}$  is entirely determined by the dimensions of the  $\mathrm{Ext}^1(\mathcal{F}_i, \mathcal{F}_j)$ .

## Preprojective algebras

- Let  $Q$  be a quiver with vertices  $Q_0$  and arrows  $Q_1$ .
- Define  $\overline{Q}$  to be the doubled quiver formed by adjoining an arrow  $a^*$  with opposite orientation to  $a$  for each arrow  $a \in Q_1$ .
- Define  $\Pi_Q = \mathbb{C}\overline{Q} / \langle \sum_{a \in Q_1} [a, a^*] \rangle$

E.g. if  $Q$  is the *Jordan quiver* with one vertex and one loop,  $\Pi_Q \cong \mathbb{C}[x, y]$ .

- The category  $\mathcal{C}$  of finite-dimensional  $\Pi_Q$ -modules is an Abelian subcategory of a 2CY dg category  $\mathcal{D}$  (outside of ADE type  $\mathcal{D}$  is just the dg category of  $\Pi_Q$ -modules).
- Let  $S_i$  be the one-dimensional nilpotent  $\Pi_Q$ -module with dimension vector  $1_i$ . Then

$$\mathrm{Ext}^1(S_i, S_j) = \begin{cases} a_{ij} & \text{if } i \neq j \\ 2a_{ii} & \text{if } i = j. \end{cases}$$

where  $(a_{ij})$  is the adjacency matrix of the underlying graph of  $Q$ .



## Local structure of stacks of objects in 2CY categories

### Theorem

let  $p: \mathfrak{M}_c \rightarrow \mathcal{M}_c$  be a good moduli space of objects in  $\mathcal{C}$ , a finite length Abelian subcategory of  $\mathcal{H}(\mathcal{D})$ , for  $\mathcal{D}$  a 2CY dg category. Let  $x \in \mathcal{M}_c$  represent the semisimple object  $\mathcal{F} = \bigoplus_{i \in I} \mathcal{F}_i^{\oplus d_i}$ , and pick  $Q$  so that the Ext quiver of  $\{\mathcal{F}_i\}_{i \in I}$  is  $\overline{Q}$ . Then there is a commutative diagram

$$\begin{array}{ccccc}
 (\mathfrak{M}_d(\Pi_Q), 0_d) & \longleftarrow & (\mathfrak{U}, u) & \xrightarrow{\subset} & (\mathfrak{M}, x) \\
 \text{JH} \downarrow & & q \downarrow & & p \downarrow \\
 (\mathcal{M}_d(\Pi_Q), 0_d) & \longleftarrow & (\mathcal{U}, q(u)) & \xrightarrow{\subset} & (\mathcal{M}, x)
 \end{array}$$

with Cartesian squares, and étale horizontal maps, where  $\text{JH}$  is the morphism from the stack of  $d$ -dimensional  $\Pi_Q$ -modules to the coarse moduli space.

Purity for  $p_* \mathbb{D}\mathcal{Q}_{\mathfrak{M}}$  around  $x$  then follows from purity for  $\text{JH}_* \mathbb{D}\mathcal{Q}_{\mathfrak{M}_d(\Pi_Q)}$ , for which we turn to cohomological DT theory.

# Dimensional reduction I

## Theorem

Let  $X$  be a smooth variety,  $\bar{X} = X \times \mathbb{A}^m$ . Let  $f \in \Gamma(X \times \mathbb{A}^m)$  be a weight one function for the scaling action of  $\mathbb{A}^m$ , i.e. we can write  $f = \sum_{i \leq m} x_i f_i$  for  $x_i$  coordinates on  $\mathbb{A}^m$  and  $f_i$  functions on  $X$ . Let  $Z = V(f_1, \dots, f_m) \subset X$ . Then the natural morphism

$$\pi_! \phi_f \mathbb{Q}_{\bar{X}} \rightarrow \pi_! \mathbb{Q}_{Z \times \mathbb{A}^m}$$

is an isomorphism. Taking Verdier duals, and derived global sections, yields

$$H^{\text{BM}}(Z \times \mathbb{A}^m, \mathbb{Q}) \xrightarrow{\cong} H(\bar{X}, \phi_f \mathbb{Q}).$$

## Example

Take function  $\text{Tr}(W) = \text{Tr}([A, B]C)$  on  $\bar{X} = \text{Mat}_{n \times n}(\mathbb{C})^{\times 2} \times \text{Mat}_{n \times n}(\mathbb{C})$ . Then  $\pi_* \phi_{\text{Tr}(W)} \mathbb{Q}_{\bar{X}} \cong \mathbb{D}\mathbb{Q}_{\mathcal{C}_m} \otimes \mathbb{L}^?$ , where  $\mathcal{C}_m$  is the variety of pairs of commuting matrices, and  $\mathbb{L} = H_c(\mathbb{A}^1, \mathbb{Q})$  is the pure Tate twist.

## Dimensional reduction II

Fix a quiver  $Q$ , and define  $\tilde{Q}$  by adding a loop  $\omega_i$  to  $\bar{Q}$  at each vertex  $Q_0$ . Define

$$\tilde{W} = \sum_{a \in Q_1} [a, a^*] \sum_{i \in Q_0} \omega_i$$

Define  $\pi: \mathfrak{M}(\tilde{Q}) \rightarrow \mathfrak{M}(\bar{Q})$  to be the forgetful morphism. Then there is an isomorphism

$$\pi_* \phi_{\text{Tr}(\tilde{W})} \mathbb{Q} \cong \mathbb{D}\mathbb{Q}\mathfrak{M}(\Pi_Q) \otimes \mathbb{L}^?.$$

### Proof strategy

In the diagram

$$\begin{array}{ccc} \mathfrak{M}(\tilde{Q}) & \xrightarrow{\pi} & \mathfrak{M}(\bar{Q}) \\ \downarrow \tilde{J}_H & & \downarrow J_H \\ \mathcal{M}(\tilde{Q}) & \xrightarrow{\pi'} & \mathcal{M}(\bar{Q}) \end{array}$$

prove purity of  $JH_* \mathbb{D}\mathbb{Q}\mathfrak{M}(\Pi_Q)$  via purity of (isomorphic)  $\pi'_* \tilde{J}_H_* \phi_{\text{Tr}(\tilde{W})} \mathbb{Q}$ .

# Integrality and BPS sheaves for $\tilde{Q}, \tilde{W}$

## Tensor structure

There is a finite morphism  $\mu: \mathcal{M}(\tilde{Q}) \times \mathcal{M}(\tilde{Q}) \rightarrow \mathcal{M}(\tilde{Q})$  taking a pair of modules to their direct sum. This induces a symmetric tensor structure on  $\mathcal{D}^+(\mathrm{MHM}(\mathcal{M}(\tilde{Q})))$ :

$$\mathcal{F} \otimes_{\oplus} \mathcal{G} := \mu_*(\mathcal{F} \boxtimes \mathcal{G}).$$

$\pi'_*: \mathcal{D}^+(\mathrm{MHM}(\mathcal{M}(\tilde{Q}))) \rightarrow \mathcal{D}^+(\mathrm{MHM}(\mathcal{M}(\bar{Q})))$  is a tensor functor.

For  $\mathfrak{M}$  a stack with virtual dimension  $d$ , we set  $\mathbb{Q}_{\mathfrak{M}, \mathrm{vir}} := \mathbb{Q}_{\mathfrak{M}} \otimes \mathbb{L}^{-d/2}$ .

## Theorem (-, Meinhardt)

*There is a canonical isomorphism*

$$\tilde{J}\mathbb{H}_* \phi_{\mathrm{Tr}(\tilde{W})} \mathbb{Q}_{\mathrm{vir}} \cong \mathrm{Sym} \left( \bigoplus_{d \neq 0} \mathcal{BPS}_{\tilde{Q}, \tilde{W}, d} \otimes \mathrm{H}(\mathrm{pt}/\mathbb{C}^*, \mathbb{Q}_{\mathrm{vir}}) \right)$$

where  $\mathcal{BPS}_{\tilde{Q}, \tilde{W}, d} = \phi_{\mathrm{Tr}(\tilde{W})} \mathcal{IC}_{\mathcal{M}_d(\tilde{Q})} \in \mathrm{MHM}(\mathcal{M}_d(\tilde{Q}))$  is Verdier self dual.

## Finishing the proof

$$\begin{aligned} \mathrm{JH}_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q), \mathrm{vir}} &\cong (\mathcal{M}(\tilde{Q}) \xrightarrow{\pi'} \mathcal{M}(\bar{Q}))_* \tilde{\mathrm{JH}}_* \phi_{\mathrm{Tr}(\tilde{W})} \mathbb{Q}_{\mathfrak{M}(\tilde{Q}), \mathrm{vir}} \\ &\cong \mathrm{Sym} \left( \bigoplus_{d \in \mathbb{N}^{Q_0}} \pi'_* \mathcal{BPS}_{\tilde{Q}, \tilde{W}, d} \otimes \mathrm{H}(\mathrm{pt}/\mathbb{C}^*, \mathbb{Q}_{\mathrm{vir}}) \right) \end{aligned}$$

So we need to prove purity of the direct image  $\pi'_* \mathcal{BPS}_{\tilde{Q}, \tilde{W}, d}$  of the BPS sheaf. This follows from

- 1  $\mathrm{JH}_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q), \mathrm{vir}}$  can only be “impure above”:

$$W_i(\mathcal{H}^{i+1}(\mathrm{JH}_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}(\Pi_Q), \mathrm{vir}})) = 0.$$

So same is true of summand  $\pi'_* \mathcal{BPS}_{\tilde{Q}, \tilde{W}, d}$

- 2 Verdier self-duality of  $\mathcal{BPS}_{\tilde{Q}, \tilde{W}, d}$  implies that it is impure above iff it is impure below.
- 3 The “support lemma”:  $\mathcal{BPS}_{\tilde{Q}, \tilde{W}, d}$  is entirely supported on locus where all of the eigenvalues of the loops  $\omega_i$  are the same.

# The decomposition theorem

## Theorem

Let  $\mathcal{C} \subset \mathcal{H}(\mathcal{D})$  be finite length Abelian category, with  $\mathcal{D}$  a 2CY dg category. Let  $p: \mathfrak{M}_{\mathcal{C}} \rightarrow \mathcal{M}_{\mathcal{C}}$  be a good moduli space of objects in  $\mathcal{C}$ , with  $\mathfrak{M}_{\mathcal{C}}$  a global quotient stack. Then  $p_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}_{\mathcal{C}}, \text{vir}}$  is pure, and

$$p_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}_{\mathcal{C}}, \text{vir}} \cong \bigoplus_{i \in 2 \cdot \mathbb{Z}_{\geq 0}} \mathcal{H}^i(p_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}_{\mathcal{C}}, \text{vir}})[-i].$$

## Corollary

For  $S$  a K3 or Abelian surface,  $H$  a polarization, the mixed Hodge structure  $H^{\text{BM}}(\mathfrak{M}_{\alpha}^H(S), \mathbb{Q}) \cong H(\mathcal{M}_{\alpha}^H(S), p_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}_{\alpha}^H(S)})$  is pure.

## Corollary

If there is a stable object in  $\mathfrak{M}_{\alpha}^H(S)$ , there is a canonical inclusion  $\text{IC}_{\mathcal{M}_{\alpha}^H(S)} \subset H^{\text{BM}}(\mathfrak{M}_{\alpha}^H(S), \mathbb{Q}_{\text{vir}})$  as a *cuspidal* summand of the zeroth piece of the perverse filtration with respect to  $p$ .

## Corollaries in nonabelian Hodge theory

### Corollary

For  $C$  a smooth projective curve, and  $\mathfrak{h}: \mathcal{M}_{r,d}^{\text{Dol}}(C) \rightarrow \Lambda_r$  the Hitchin map from the moduli space of semistable Higgs bundles, the complex  $(\mathfrak{h} \circ p)_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}_{r,d}^{\text{Dol}}(C), \text{vir}}$  is pure. So the Borel–Moore homology of  $\mathbb{D}\mathbb{Q}_{\mathfrak{M}_{r,d}^{\text{Dol}}(C), \text{vir}}$  carries a perverse filtration, generalising the case  $(r, d) = 1$ .

### Corollary

For  $C$  a smooth complex projective curve, the mixed Hodge structure  $H^{\text{BM}}(\mathfrak{M}_{r,d}^{\text{Dol}}(C), \mathbb{Q}) \cong H(\Lambda, (\mathfrak{h} \circ p)_* \mathbb{D}\mathbb{Q}_{\mathfrak{M}_{r,d}^{\text{Dol}}(C)})$  is pure.

Finally: can formulate stacky  $P = W$  conjecture, that implies  $IP = IW$  conjecture, and is conjecturally equivalent to it, along with  $P = W$  conjecture (via  $\chi$ -independence conjectures for BPS cohomology).

### Theorem

$SP=SW$  true in genus 0,1.