

## 8. CURVE SKETCHING (23/10/2024)

Goals.

- (1) Convexity
- (2) Curve sketching

Midterm grades  
 (1) See Tests page on Canvas  
 (2) Come to office hours

Last Time. Related Rates = chain rule  
 + problem-solving

### Convexity

Consider graph of  $y = f(x)$

① When  $f''(x) > 0$ , the tangent line is below graph on interval, secant lines are above

② When  $f''(x) < 0$ , the tangent line is above graph, on interval, secant lines are below.

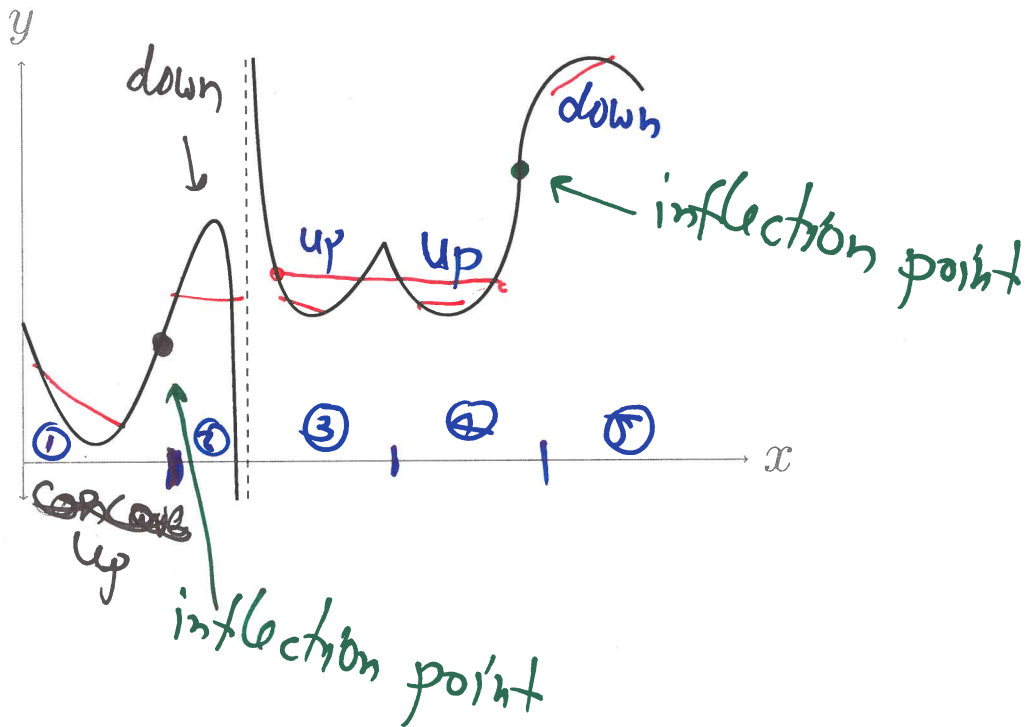
③ If concavity changes at  $(x_0, f(x_0))$  and  $f$  is cts there call this an inflection point

On interval

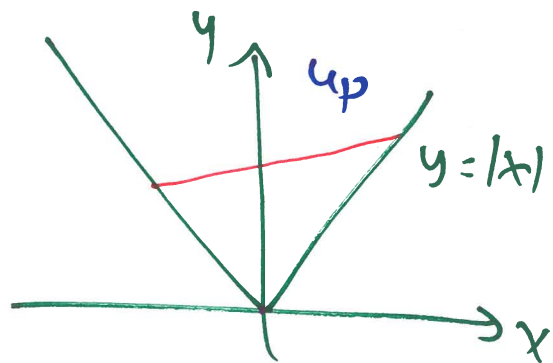
← say  $f$  is convex  
 or concave up

← say  $f$  is concave  
 or concave down

Example:



But



(2) For each curve find its domain; where is it concave up or down? Where are the inflection points.

(a)  $y = x \log x - \frac{1}{2}x^2$ .

defined on  $\{x > 0\} = (0, \infty)$

$\frac{dy}{dx} = \log x + 1 - x$ ,  $\frac{d^2y}{dx^2} = \frac{1}{x} - 1$ , want: when is  $y'' > 0$ ,  $y'' < 0$ .

$\frac{1}{x} - 1 = \frac{1-x}{x} > 0$  if  $1-x > 0$  if  $x < 1$

meeting point of interval  
↓

so concave up on  $(0, 1)$ , down on  $(1, \infty)$ , inflection point  $(1, -\frac{1}{2})$

(b)  $y = \sqrt[3]{x}$ .

defined on  $(-\infty, \infty)$

$y' = \frac{1}{3}x^{-2/3}$ ,  $y'' = -\frac{2}{9}x^{-5/3}$

positive if  $x < 0$   
negative if  $x > 0$

so concave up on  $(-\infty, 0]$ , down on  $[0, \infty)$

inflection point at  $(0, 0)$

IF  $f''$  defined at inflection pt,  
then  $f''(x_0) = 0$

## Summary

$f''(x) > 0 \Rightarrow$  concave up

$f''(x) < 0 \Rightarrow$  concave down

Inflection point can only occur where  $f''(x) = 0$   
OR  $f''(x)$  DNE.  
(but doesn't have to)

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## Curve sketching

Example,  $y = x^4 - 4x^3 = x^3(x-4)$

①  $y = 0$  if  $x = 0, x = 4$ , ~~graph~~ on  $(-\infty, 0)$   $y > 0$   
on  $(0, 4)$   $y < 0$   
on  $(4, \infty)$   $y > 0$   
as  $x \rightarrow \pm\infty$ ,  $y \sim x^4$ ,  $y(0) = 0$

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①  $\frac{dy}{dx} = 4x^3 - 4 \cdot 3x^2 = 4x^2(x-3)$

$y' = 0$  at  $x = 0, 3$  critical points  $(0, 0), (3, -27)$

~~on  $(-\infty, 0)$~~   $y' > 0$  if  $x > 3$ ,  $y' < 0$  on  $(-\infty, 0), (0, 3)$

## 1 CURVE SKETCHING NOTES

**1.1 Tools.** Let  $f$  be differentiable as needed on  $(a, b)$ .

**Fact (First derivative).** (1) If  $f'(x) > 0$  for all  $x \in (a, b)$  then  $f$  is strictly increasing there.

(2) If  $f'(x) < 0$  for all  $x \in (a, b)$  then  $f$  is strictly decreasing there.

Every change involves either a *critical point* ( $f'$  vanishes) or a *singularity* ( $f'$  undefined).

**Fact (Second derivative).** (1) If  $f''(x) > 0$  for all  $x \in (a, b)$  then  $f$  is concave up there.

(2) If  $f''(x) < 0$  for all  $x \in (a, b)$  then  $f$  is concave down there.

**Definition.** A change in concavity is called an *inflection point*.

**Theorem.** (*Tests for minima and maxima*) Let  $x_0 \in (a, b)$  be a critical or singular number for  $f$ , and suppose  $f$  is continuous at  $x_0$ , differentiable near it.

(1) Either of the following is sufficient to show that  $f$  has a local minimum at  $x_0$ :

(a)  $f''(x_0) > 0$  or;

(b)  $f'(x)$  is negative to the left of  $x_0$ , positive to its right.

(2) Either of the following shows that  $f$  has a local maximum at  $x_0$ :

(a)  $f''(x_0) < 0$  or;

(b)  $f'(x)$  is positive to the left of  $x_0$ , negative to its right.

**1.2 Curve sketching protocol.** Given a function  $f$ .

0th derivative stuff:

(a) The domain and the domain of continuity.

(b) Domains where  $f > 0$ ,  $f < 0$ .

(c) Anchor points:  $x$ - and  $y$ -intercepts.

(d) vertical asymptotes.

(e) Asymptotics at  $\pm\infty$  (if in the domain)

1st derivative stuff:

(a) Evaluate  $f'(x)$  [**high stakes: error here loses a lot of points down the line**]

Using this, determine:

(b) Domains where  $f' > 0$ ,  $f' < 0$

(c) Critical and singular points.

2nd derivative stuff:

(a) Domains where  $f'' > 0$ ,  $f'' < 0$

(b) Points where  $f''(x) = 0$ , inflection points.

decreasing on  $(-\infty, 3)$ , increasing on  $(3, \infty)$

so  $(3, -27)$  is a local minimum.

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②  $\frac{d^2y}{dx^2} = 12x^2 - 24x = 12x(x-2)$  vanishes at  $x=0, 2$

$y'' > 0$  on  $(-\infty, 0)$  [there  $x < 0, x-2 < 0$ ]

$y'' < 0$  on  $(0, 2)$  [ $x > 0, x-2 < 0$ ]

$y'' > 0$  on  $(2, \infty)$  [ $x > 2, x-2 > 0$ ]

so concave up on  $(-\infty, 0), (2, \infty)$ , concave down on  $(0, 2)$

so inflection points  $(0, 0), (2, -16)$

Math 100A - WORKSHEET 8  
CURVE SKETCHING

1. CONVEXITY AND CONCAVITY

(1) Consider the curve  $y = x^3 - x$ .

(a) Find the line tangent to the curve at  $x = 1$ .

$$y'(1) = [3x^2 - 1]_{x=1} = 2 \text{ so line is } y = 2(x-1)$$

(b) Near  $x = 1$ , is the line above or below the curve? Hint: how does the slope of the curve behave to the right and left of the point?

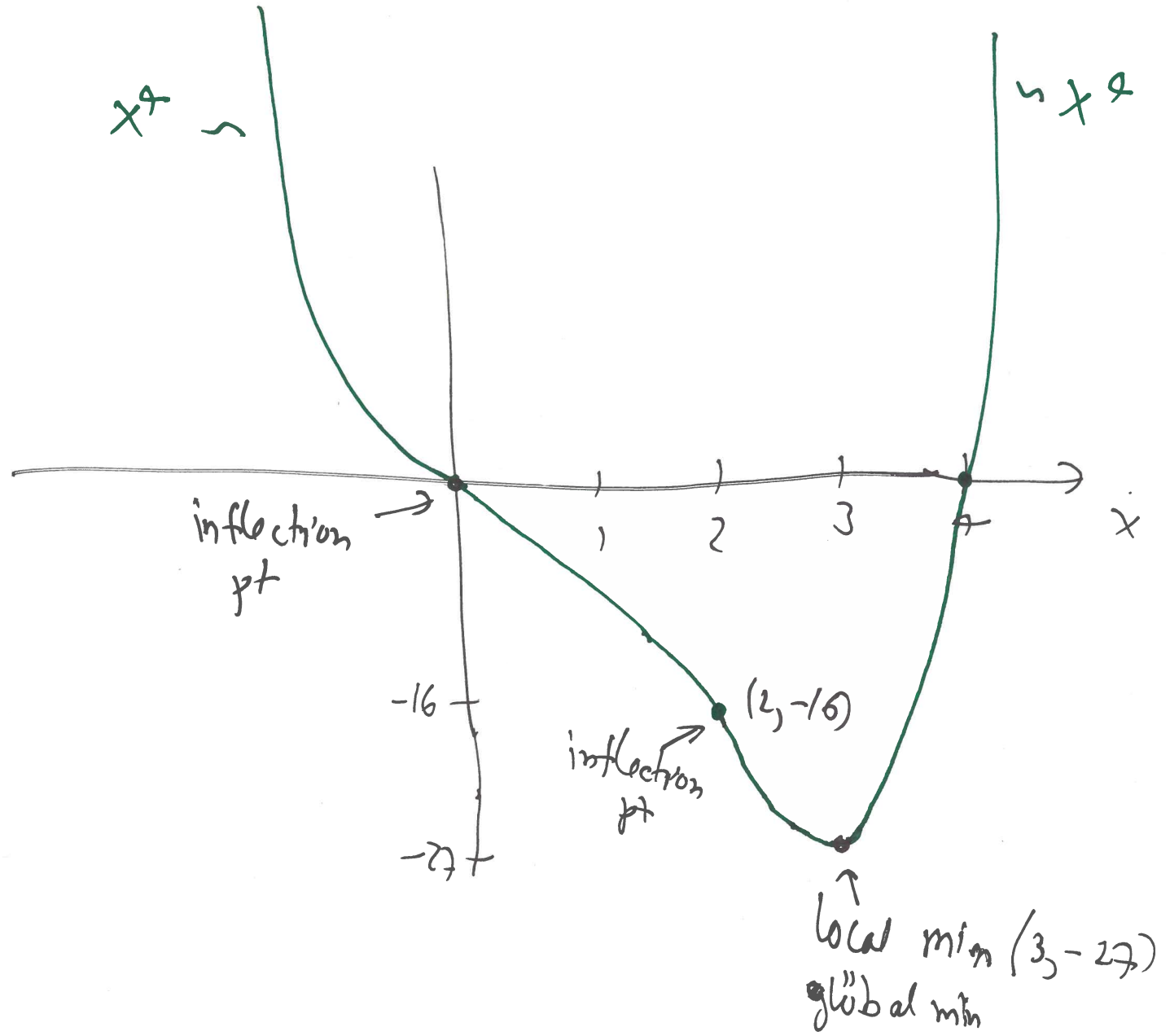
To right of 1,  $y' > 2$  so curve growing faster, to left of 1,  $y' < 2$  so line falling faster.  $\Rightarrow$  line is below curve.

$y'' > 0 \Rightarrow$  tangent line is below curve

Date: 23/10/2024, Worksheet by Lior Silberman. This instructional material is excluded from the terms of UBC Policy 81.

1

calculate:  $x^3 - x - 2(x-1) = (x-1)(x^2 + x - 2) = (x-1)(x-1)(x+2)$   
 $= 2(x-1)^2 + (x-1)^3 \sim 2(x-1)^2 > 0$  as  $x \rightarrow 1$





## 2. CURVE SKETCHING

(3) Let  $f(x) = \frac{x^2}{x^2+1}$  for which  $f'(x) = \frac{2x}{(x^2+1)^2}$  and  $f''(x) = \frac{2(1-3x^2)}{(x^2+1)^3}$ .

(a) What are the domain and intercepts of  $f$ ? What are the asymptotics at  $\pm\infty$ ? Are there any vertical asymptotes? What are the asymptotics there?

since  $x^2+1 > 0$  always,  $f$  is defined on  $(-\infty, \infty)$ .  $f > 0$  except at  $(0,0)$   
As  $x \rightarrow \infty$ ,  $f(x) \sim \frac{x^2}{x^2} \Rightarrow 1$  same as  $x \rightarrow -\infty$  No vertical asymptotes.

(b) What are the intervals of increase/decrease? The local and global extrema?

since  $(x^2+1)^2 > 0$ ,  $f' > 0$  where  $x > 0$  so

$f$  ~~is~~ decreasing on  $(-\infty, 0]$ , increasing on  $[0, \infty)$

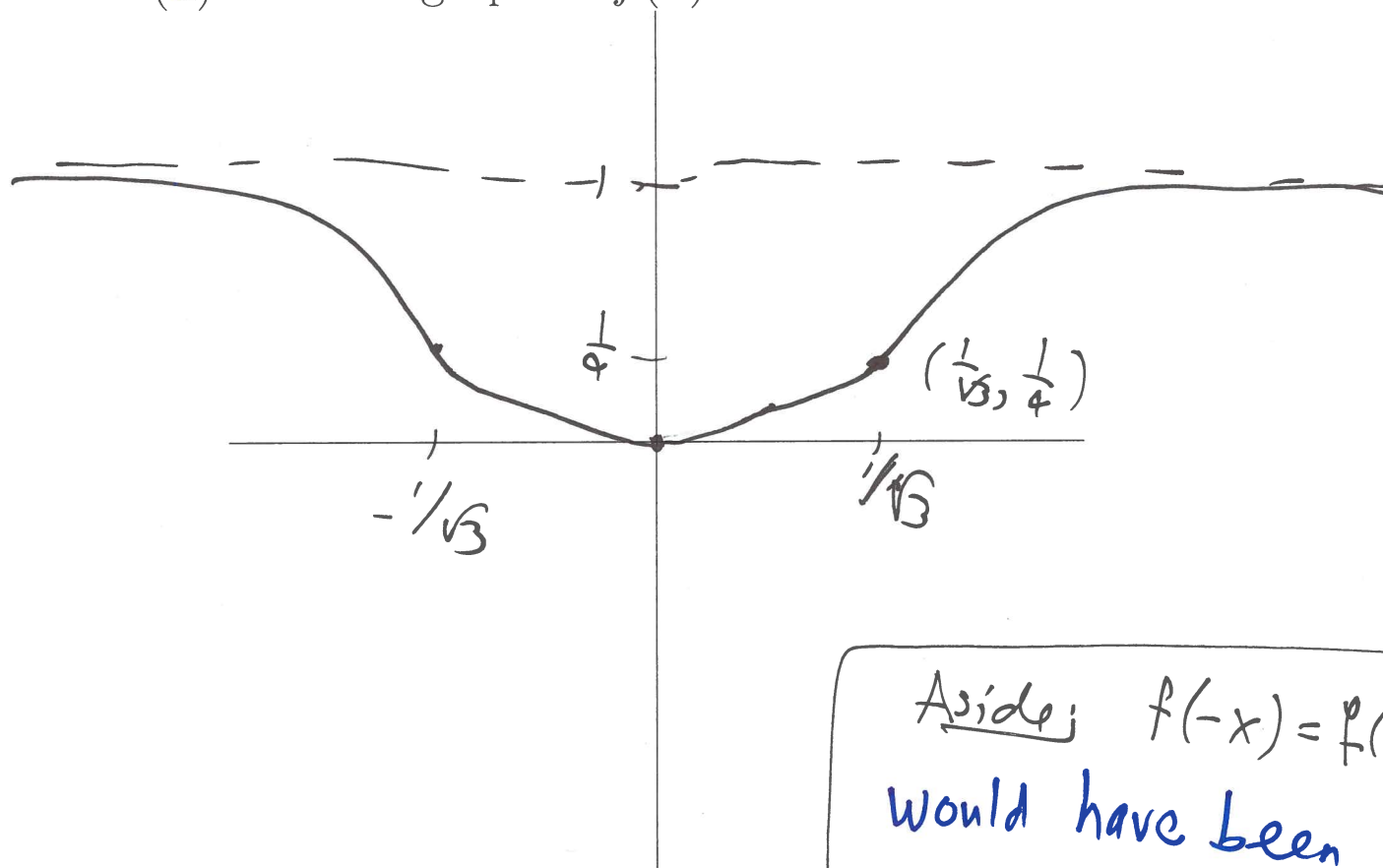
so  $(0,0)$  is the global minimum (also a local min)

inflection pts  $(\pm \frac{1}{\sqrt{3}}, \frac{1}{4})$

(c) What are the intervals of concavity? Any inflection points?

$(x^2+1)^3 > 0$  so  $f'' > 0$  when  $(-3x^3 > 0, \text{ when } 3x^3 < 0)$ , when  $|x| < \frac{1}{\sqrt{3}}$   
so  $f$  is concave down on  $(-\infty, -\frac{1}{\sqrt{3}}) \cup (\frac{1}{\sqrt{3}}, \infty)$ , concave up on  $(-\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}})$

(d) Sketch a graph of  $f(x)$ .



Aside:  $f(-x) = f(x)$ , so  $f$  is even  
would have been enough to  
compute on  $[0, \infty)$

true: as  $x \rightarrow \infty$ ,  $(x-\mu)^2 \sim x^2$

but  $e^{-\frac{(x-\mu)^2}{2\sigma^2}} \neq e^{-x^2/2\sigma^2}$ : ratio is  $e^{\frac{2x\mu}{2\sigma^2} - \frac{\mu^2}{2\sigma^2}}$

(4) Let  $f(x) = \frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(x-\mu)^2}{2\sigma^2}}$ .

(a) What are the domain and intercepts of  $f$ ? What are the asymptotics at  $\pm\infty$ ? Are there any vertical asymptotes? What are the asymptotics there?

domain  $(-\infty, \infty)$ ,  $f > 0$  for all  $x$  since  $e^u > 0$  for all  $u$ .

no simpler asymptotics but  $\lim_{x \rightarrow \pm\infty} f(x) = 0$  (horizontal asymptote!)

no vertical asymptotes

•  $-\frac{(x-\mu)^2}{2\sigma^2}$  is a large negative number

(b) What are the intervals of increase/decrease? The local and global extrema?

$$f'(x) = -\frac{1}{\sqrt{2\pi\sigma^2}} e^{-\frac{(x-\mu)^2}{2\sigma^2}} (x-\mu)$$

since  $e^u > 0$  for all  $u$ ,  $f'(x) > 0$  on  $(-\infty, \mu)$  increase  
 $f'(x) < 0$  on  $(\mu, \infty)$  decrease

critical pt at  $(\mu, \frac{1}{\sqrt{2\pi\sigma^2}})$ , which is the global maximum

$$f''(x) = \frac{1}{\sqrt{2\pi}\sigma^3} e^{-\frac{(x-\mu)^2}{2\sigma^2}} \left( \frac{(x-\mu)^2}{\sigma^2} - 1 \right)$$

(c) What are the intervals of concavity? Any inflection points?

$f'' > 0$  if  $\frac{(x-\mu)^2}{\sigma^2} - 1 > 0 \Leftrightarrow |x-\mu| > \sigma$  so concave up  $(-\infty, \mu-\sigma)$  and  $(\mu+\sigma, \infty)$

(d) Sketch a graph of  $f(x)$ .

down on  $(\mu-\sigma, \mu+\sigma)$   
 inflection pts at  $x = \mu \pm \sigma$   
 $(\mu \pm \sigma, \frac{1}{\sqrt{2\pi e}\sigma^2})$

