

# Math 428, Lecture 20

Last time: Intro to QM

Today: Mathematical quantization

(1) Fourier transform

(2) Pseudodifferential operators

(3) Microlocal calculus

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## ① Review of Fourier analysis

(1a) on  $S = \mathbb{R}/\mathbb{Z}$ . Set  $e_k(z) = \exp(2\pi i k z)$

For  $k \in \mathbb{Z}$ ,  $e_k(x) \stackrel{\text{def}}{=} e^{2\pi i k x} \in L^2(S')$

$\{e_k\}_{k \in \mathbb{Z}} \subset L^2(S')$  orthonormal

$A = \text{Span}_{\mathbb{C}} \{e_k\}_{k \in \mathbb{Z}} \subset C(S')$

subalgebra ( $e_k e_l = e_{k+l}$ )  
contains  $e_0 = 1$

$e_k$  separates points

$$\overline{e_k} = e_{-k}$$

$\Rightarrow$  (Stone-Weierstrass)  $A$  is dense in  $C(S')$

$\Rightarrow A^\perp = (C(S'))^\perp = L^2(S')^\perp = \{0\}$  so  $\{e_k\}$  is an o.n.b.

$\Rightarrow f \in L^2(S')$ ,  $\hat{f}(k) = \langle e_k, f \rangle$  then  $f = \sum_k \hat{f}(k) e_k$  in  $L^2$ ,

$$\|f\|_{L^2}^2 = \sum_k |\hat{f}(k)|^2 \quad (\text{Parseval's identity})$$

Check (Integration by parts) if  $f \in C^r(\mathbb{R}^n)$  then

$$|\hat{f}(k)| \ll \langle k \rangle^{-r} \quad \langle k \rangle = \sqrt{|k|^2}$$

$\Rightarrow$  (e.g.) if  $f \in C^2$  then  $\sum_k \hat{f}(k) e_k(x)$  converge abs to  $f$

Ex:  $\Lambda \subset \mathbb{R}^n$  discrete subsp  $\Leftrightarrow \Lambda = \bigoplus_{i=1}^r \mathbb{Z} v_i, \{v_i\}_{i=1}^r \subset \mathbb{R}^n$   
indep /  $\mathbb{R}$

$\mathbb{R}^n / \Lambda$  cpt  $\Leftrightarrow r=n \Leftrightarrow \{v_i\}_{i=1}^n \subset \mathbb{R}^n$  basis

call  $\Lambda$  a **lattice**.

Entire theory works on  $\mathbb{R}^n / \Lambda$ : want  $\int_{\mathbb{R}^n / \Lambda} f(x) e(-kx) dx$   
to make sense so need  $e(-k\lambda) = 1$  for all  $\lambda \in \Lambda$

$\Leftrightarrow \langle k, \lambda \rangle \in \mathbb{Z}$  for all  $\lambda \in \Lambda$

**Dual lattice**  $\Lambda^* = \{k \in \text{Hom}(\mathbb{R}^n, \mathbb{R}) \mid k|_{\Lambda} \in \text{Hom}(\Lambda, \mathbb{Z})\}$   
 $= \text{Hom}_{\mathbb{Z}}(\Lambda, \mathbb{Z})$ .

Then  $\hat{f} = \Lambda^* \rightarrow \mathbb{C}$ ,  $\hat{f}(k) = \frac{1}{\text{vol}(\mathbb{R}^n / \Lambda)} \langle e_k, f \rangle$ ,  $e_k(x) = e(kx)$

Then  $f = \sum_{k \in \Lambda^n} \hat{f}(k) e_k$  in  $L^2$ ,  $f(x) = \sum_{k \in \Lambda^n} \hat{f}(k) e_k(x)$

if enough decay (e.g.  $f \in C^{n+1}(\mathbb{R}^n)$ ).

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On  $\mathbb{R}^n$ : if  $f \in L^1(\mathbb{R}^n)$  set  $\hat{f}(k) = \int_{\mathbb{R}^n} f(x) e(-kx) dx$   
for  $k \in (\mathbb{R}^n)^*$ .

Def:  $f \in C^\infty(\mathbb{R}^n)$  is of **Schwartz class** if for each  $\alpha, r$

$$|\partial^\alpha f(x)| \leq C_\alpha \langle x \rangle^{-r}.$$

Write  $\mathcal{S}(\mathbb{R}^n)$  for the vsp.

Ex: let  $f \in \mathcal{S}(\mathbb{R}^n)$ . Then:  $D^j = \partial_j = \frac{\partial}{\partial x_j}$

$$\wedge \quad \partial_j \hat{f}(k) = \int_{\mathbb{R}^n} \partial_j f(x) e(kx) dx = (2\pi i k_j) \hat{f}(k)$$

$$\Rightarrow D^\alpha = (2\pi i)^{|\alpha|} \cdot k^\alpha \hat{f}(k)$$

$$(2) \quad (\partial_j \hat{f})(k) = \widehat{(-2\pi i x_j) f}(k)$$

$$\Rightarrow \partial_k^\alpha \hat{f} = (-2\pi i)^{|\alpha|} \widehat{x^\alpha f}(k) \ll \langle k \rangle^{-r}$$

decays

Set:  $\hat{f} \in \mathcal{S}(\mathbb{R}^n)$

Set  $\Phi_\lambda(x) = \sum_\lambda f(x+\lambda)$   $\bullet \Phi_\lambda: \mathbb{R}^n/\lambda \rightarrow \mathbb{C}$

$\Phi_\lambda \in C^\infty(\mathbb{R}^n/\lambda)$

check  $\hat{\Phi}_\lambda(k) = \frac{1}{\text{vol}(\mathbb{R}^n/\lambda)} \hat{f}(k)$  for  $k \in \lambda^\perp \subset (\mathbb{R}^n)^\perp$ .

By Fourier inversion  $\Phi_\lambda(x) = \frac{1}{\text{vol}(\mathbb{R}^n/\lambda)} \sum_{k \in \lambda^\perp} \hat{f}(k) e_k(x)$

Consider  $\Phi_{\delta\lambda}(x) = \frac{1}{\delta^n \text{vol}(\mathbb{R}^n/\lambda)} \sum_{k \in \delta^{-1}\lambda^\perp} \hat{f}(k) e_k(x)$

$\delta \rightarrow \infty$

$\Phi(x)$

$\frac{\text{vol}(\mathbb{R}^n)^\perp}{\delta^n \lambda^\perp}$

$\delta \rightarrow \infty$

$\int_{(\mathbb{R}^n)^\perp} \hat{f}(k) e_k(x) dk$

Similarly:  $\sum_{k \in \delta^{-1}\lambda^\perp} |\hat{\Phi}_{\delta\lambda}(k)|^2 = \frac{1}{\delta^{2n} \text{vol}(\mathbb{R}^n/\lambda)} \int_{\mathbb{R}^n/\delta\lambda} |\Phi_{\delta\lambda}(x)|^2 dx$

$\Rightarrow \frac{\text{vol}(\mathbb{R}^n)^\perp}{\delta^{2n} \lambda^\perp} \sum_{k \in \delta^{-1}\lambda^\perp} |\hat{f}(k)|^2 = \sum_{\lambda, \lambda' \in \lambda} \int_{\mathbb{R}^n} |f(x+\delta\lambda) \overline{f(x+\delta\lambda')}| dx$

take  $\delta \rightarrow \infty$

$\int_{(\mathbb{R}^n)^\perp} |\hat{f}(k)|^2 dk$

$\int_{\mathbb{R}^n} |f(x)|^2 dx$

Plancherel's Identity.

Core  $f \mapsto \hat{f}$   $L^2$  isometry  $\rightarrow$  extend to isom  $L^2 \rightarrow L^2$ .

Semiclassical FT: For  $p \in (\mathbb{R}^n)^\vee$  set

$$\tilde{f}(p) = \hat{f}\left(\frac{p}{\hbar}\right) = \int_{\mathbb{R}^n} f(x) e\left(-\frac{px}{\hbar}\right) dx = \int_{\mathbb{R}^n} f(x) \exp\left(-\frac{px}{\hbar}\right) dx.$$

$$\text{Then } f(x) = \hbar^{-n} \int \tilde{f}(p) e\left(\frac{px}{\hbar}\right) dp$$

$$\|f\|_{L^2}^2 = \hbar^{-n} \int |\tilde{f}(p)|^2 dp = \hbar^{-n} \|\tilde{f}\|_{L^2}^2$$

② Quantization =  $\Psi$ DO (Kohn-Nirenberg)

Want to quantize observable  $x$  by  $M_x$

$$(M_x f)(x) = x f(x)$$

more generally if  $a = a_1(x)$  want  $(M_a f)(x) = a_1(x) f(x)$

Wanted to quantize  $p_j$  by  $-i\hbar \partial_j$

$$\text{know: } \widehat{\partial_j} f(k) = 2\pi i k_j \hat{f}(k)$$

$\Rightarrow -i\hbar \partial_j$  is a Fourier mult:  $f \mapsto p_j \hat{f}$

Want to quantize  $a_1(x) a_2(p)$

One choice (apply  $a_2(p)$  first) gives:

$$O_{p_h}^{KW}(f) = h^{-n} a_1(x) \int a_2(p) \check{f}(p) e\left(\frac{px}{h}\right) dp$$

$$= h^{-n} \int a(x, p) \check{f}(p) e\left(\frac{px}{h}\right) dp$$

$$= h^{-n} \int a(x, p) f(y) e\left(\frac{p(x-y)}{h}\right) dy dp$$

translation  
invariant

phase space  
integral

More generally set  $t \in [0, 1]$

$$(O_{p_h}^t(a) f)(x) = h^{-n} \int_M a((1-t)x + ty, p) f(y) e\left(\frac{p(x-y)}{h}\right) dy dp$$

(1)  $O_{p_h}^0 = O_{p_h}^{KW}$

(2)  $O_{p_h}^1$  = "adjoint K-N quantization" has

$$O_{p_h}^1(a_1(x) a_2(p)) = O_{p_h}(a_2) O_{p_h}(a_1)$$

(3)  $t = \frac{1}{2}$  is Weyl symmetrization

Ex:  $O_{p_h}^W(xp) = \frac{\hat{x}\hat{p} + \hat{p}\hat{x}}{2}$

# Notes

$$\begin{aligned} \langle \mathcal{O}_{p_h^w}(a) f, g \rangle &= h^{-n} \iiint a\left(\frac{x+y}{2}, p\right) \overline{f(y)} g(x) e\left(\frac{p(y-x)}{h}\right) dx dy dp \\ &= \langle f, \mathcal{O}_{p_h^w}(\bar{a}) g \rangle \end{aligned}$$

$$\text{Ex: } (\mathcal{O}_{p_h^t}(a))^{\dagger} = \mathcal{O}_{p_h^{1-t}}(\bar{a}).$$

Observe: If  $a(x, p) = a_2(p)$  then  $\mathcal{O}_{p_h^t}(a) =$  Fourier mult by  $a_2(p)$

$$\text{If } a(x, p) = \sum_{|\alpha| \leq m} a_{\alpha}(x) p^{\alpha}$$

then  $\mathcal{O}_{p_h^{kn}}(a) =$  diff operator  $\sum_{\alpha} a_{\alpha}(x) (f \cdot i\hbar)^{\alpha}$

Theorem:  $a \in \mathcal{D}(\mathbb{R}^{2n})$  then  $\mathcal{O}_{p_h^t}(a)$  makes sense on very general  $f$ . Gives cts map  $\mathcal{D}(\mathbb{R}^n)' \rightarrow \mathcal{D}(\mathbb{R}^n)$

$$\text{Pf: } (\mathcal{O}_{p_h^t}(a) f)(x) = \int_{\mathbb{R}^n} k(x, y) f(y) dy$$

$$k(x, y) = h^{-n} \int_{(\mathbb{R}^n)'} a([x, y]_t, p) e\left(\frac{p(x-y)}{h}\right) dp$$

↑ partial FT

so same as before  $k(x, y) \in \mathcal{D}(M)$

We see  $Op_h^t(a)$  ldd on any reasonable fcn space  
 (e.g.  $L^2 \rightarrow L^2$ ,  $\mathcal{D} \rightarrow \mathcal{D}$ ,  $L^p \rightarrow L^p$ ).

Prop:  $Op_h^t(a(x))$  is the multiplier  $M_a$ ,  
 (know this if  $t=0$ )

Pf:  $\frac{d}{dt} (Op_h^t(a(x))f) =$

$$h^{-n} \int \langle \partial_x a([\underline{x}, y]_t, p), y-x \rangle f(y) e\left(\frac{p(x-y)}{h}\right) dy dp$$

$$= \frac{h}{2\pi i h^n} \int \langle \partial_x a([\underline{x}, y]_t), \partial_p e\left(\frac{p(x-y)}{h}\right) \rangle f(y) dy dp$$

$$= -\frac{h}{2\pi i h^n} \int_{(\mathbb{R}^n)^k} dp \operatorname{div}_p \left[ e\left(\frac{px}{h}\right) \cdot \left( \partial_x a([\underline{x}, y]_t) f(y) \right) \right] = 0$$

□