

## Problem Set 4 - Math 440/508, Fall 2011

The fourth homework assignment, due on Friday November 25, consists of the problems marked with asterisks.

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1. (\*) Stein and Shakarchi, page 69, Chapter 2, problem 5.
2. Prove Vitali's theorem: Suppose  $G$  is a region and  $\{f_n\} \subseteq \mathbb{H}(G)$  is locally bounded. If  $f \in \mathbb{H}(G)$  has the property that

$$A = \left\{ z \in G : \lim_{n \rightarrow \infty} f_n(z) = f(z) \right\}$$

has a limit point in  $G$ , then  $f_n \rightarrow f$ .

3. (\*) This problem concerns two families of functions.
  - (a) Is the family of functions  $\mathcal{F} = \{f_n : n \geq 1\}$  given by  $f_n(z) = \tan nz$  normal on  $G = \{z : \text{Im}(z) > 0\}$ ? If not, produce a sequence that does not have a convergent subsequence. If yes, find  $\overline{\mathcal{F}}$ .
  - (b) Let  $G$  be a region. For any  $M > 0$ , let  $\mathcal{G}_M$  be the family of all functions in  $\mathbb{H}(G)$  such that

$$\iint_G |f(x + iy)|^2 dx dy \leq M.$$

Is  $\mathcal{G}_M$  normal?

4. In class, we discussed  $\mathbb{H}(G)$  as a subset of  $C(G, \mathbb{C})$ . We would like to carry out a similar analysis for the set of all meromorphic functions  $\mathbb{M}(G)$  on  $G$ , considering it as a subset of  $C(G, \mathbb{C}_\infty)$ . Recall that via the stereographic projection,  $\mathbb{C}_\infty$  is endowed with the chordal metric  $d$ : for  $z, z' \in \mathbb{C}$ ,

$$d(z, z') = \frac{2|z - z'|}{[(1 + |z|^2)(1 + |z'|^2)]^{\frac{1}{2}}}, \quad d(z, \infty) = \frac{2}{(1 + |z|^2)^{\frac{1}{2}}}.$$

Use  $d$  to impose a metric  $\rho$  on  $C(G, \mathbb{C}_\infty)$ , exactly as before; namely,

$$\rho(f, g) = \sum_{n=1}^{\infty} 2^{-n} \frac{\rho_n(f, g)}{1 + \rho_n(f, g)}, \quad \rho_n(f, g) = \sup \{d(f(z), g(z)) : z \in K_n\},$$

where  $K_n \subseteq G$  is an increasing sequence of compact sets that exhaust  $G$ , and satisfy  $K_n \subseteq \text{int}(K_{n+1})$ .

Verify the following statements:

- (a) Let  $f_n$  be a sequence in  $\mathbb{M}(G)$  and suppose  $f_n \rightarrow f$  in  $C(G, \mathbb{C}_\infty)$ . Then either  $f$  is meromorphic or  $f \equiv \infty$ . If each  $f_n$  is analytic then either  $f$  is analytic or  $f \equiv \infty$ .
  - (b)  $\mathbb{M}(G) \cup \{\infty\}$  is a complete metric space.
  - (c)  $\mathbb{H}(G) \cup \infty$  is closed in  $C(G, \mathbb{C}_\infty)$ .
5. (\*) If  $f$  is a meromorphic function of the region  $G$  then define  $f^\# : G \rightarrow \mathbb{R}$  by

$$f^\#(z) = \left\{ \begin{array}{ll} \frac{2|f'(z)|}{1 + |f(z)|^2} & \text{if } z \text{ is not a pole of } f, \\ \lim_{w \rightarrow z} \frac{2|f'(w)|}{1 + |f(w)|^2} & \text{if } z \text{ is a pole of } f. \end{array} \right\}$$

Prove the following theorem due to Marty:

*A family  $\mathcal{F} \subseteq \mathbb{M}(G)$  is normal in  $C(G, \mathbb{C}_\infty)$  if and only if the family  $\mathcal{F}^\# = \{f^\# : f \in \mathcal{F}\}$  is locally bounded.*

6. (\*) Let  $A(0; r, R)$  denote the annulus centered at the origin, with inner radius  $r$  and outer radius  $R$ ,  $r < R$ . Find a necessary and sufficient condition for two annuli  $A(0; r_1, R_1)$  and  $A(0; r_2, R_2)$  to be conformally equivalent.
7. Let  $(X_n, d_n)$  be a metric space for each  $n \geq 1$  and let  $X = \prod_{n=1}^{\infty} X_n$ . For  $\xi, \eta \in X$  with  $\xi = (x_n), \eta = (y_n), x_n, y_n \in X_n$ , define

$$d^*(\xi, \eta) := \sum_{n=1}^{\infty} 2^{-n} \frac{d_n(x_n, y_n)}{1 + d_n(x_n, y_n)}.$$

- (a) Show that  $(X, d^*)$  is a metric space.
- (b) Describe the convergent sequences in this metric space.
- (c) Show that if  $(X_n, d_n)$  is compact for every  $n$ , then  $(X, d^*)$  is a metric space. (Hint: Use Cantor diagonalization.)
8. Let  $G$  be a domain in  $\mathbb{C}$ , and  $(\Omega, d)$  a complete metric space. In our discussion of normal subfamilies of  $C(G, \Omega)$ , we mentioned the Arzela-Ascoli theorem, which goes as follows:  
**Arzela-Ascoli Theorem.** *A set  $\mathcal{F} \subseteq C(G, \Omega)$  is normal if and only if both the following conditions hold:*

- (i) *For each  $z \in G$ ,  $\{f(z) : f \in \mathcal{F}\}$  has compact closure in  $\Omega$ ;*  
(ii)  *$\mathcal{F}$  is equicontinuous at each point in  $G$ .*

The purpose of this problem is to sketch a proof of this theorem. Fill in the details of the steps outlined below.

- (a) Assume that  $\mathcal{F}$  is normal. Show that for each  $z \in G$ , the map from  $C(G, \Omega)$  to  $\Omega$  given by

$$f \mapsto f(z)$$

is continuous. Use this to deduce part(i) of the theorem.

- (b) Show that if  $\mathcal{F}$  is normal, then for every compact set  $K \subset G$  and  $\epsilon > 0$  there are functions  $f_1, \dots, f_n$  in  $\mathcal{F}$  such that for every  $f \in \mathcal{F}$  there is some  $k \in \{1, \dots, n\}$  with

$$\sup \{d(f(z), f_k(z)) : z \in K\} < \epsilon.$$

Use this to show that  $\mathcal{F}$  is equicontinuous on  $G$ .

- (c) Conversely, suppose that  $\mathcal{F}$  satisfies conditions (i) and (ii). Let  $\{z_n : n \geq 1\}$  be an enumeration of all points in  $G$  with rational real and imaginary parts. If  $\{f_k : k \geq 1\}$  is a sequence of functions in  $\mathcal{F}$ , use problem 7 to deduce the existence of a subsequence  $\{f_{k_j} : j \geq 1\} \subseteq \{f_k : k \geq 1\}$  such that for every  $n \geq 1$ ,

$$\lim_{j \rightarrow \infty} f_{k_j}(z_n) = \omega_n \quad \text{for some } \omega_n \in \Omega.$$

- (d) Use the conclusion in part (c) to show that the subsequence  $\{f_{k_j}\}$  is Cauchy in  $(C(G, \Omega), \rho)$ , and hence converges. This concludes the proof that  $\mathcal{F}$  is normal.