From Hilbert’s 13th Problem to essential dimension and back

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1 Introduction

The problem of solving polynomial equations in one variable, i.e., equations of the form

\[ f(x) = 0, \quad \text{where} \quad f(x) = x^n + a_1x^{n-1} + \ldots + a_n, \]  

(1)

goes back to ancient times. Here by “solving” I mean finding a procedure or a formula which produces a solution \( x \) for a given set of coefficients \( a_1, \ldots, a_n \). The terms “procedure” and “formula” are ambiguous; to get a well-posed problem, we need to specify what kinds of operations we are allowed to perform to obtain \( x \) from \( a_1, \ldots, a_n \). In the simplest setting we are only allowed to perform the four arithmetic operations: addition, subtraction, multiplication and division. In other words, we are asking if the polynomial (1) has a root.

The actual wording of the 13th problem is a little different: Hilbert asked for the minimal integer \( r \) one needs to solve every polynomial equation of degree \( n \), assuming that at each step one is allowed to perform the four arithmetic operations and apply any continuous (rather than algebraic) function in \( r \) variables. Let us denote the maximal possible resolvent degree in this setting by \( \text{crd}(n) \), where “\( c \)” stands for “continuous”. Specifically, Hilbert asked whether or not \( \text{crd}(7) = 3 \). In this form Hilbert’s 13th problem was solved by Kolmogorov [36] and Arnold [1] in 1957. 1 They showed that, contrary to Hilbert’s expectation, \( \text{crd}(n) = 1 \) for every \( n \).

The story does not end there. In other words, continuous functions in 1 variable are enough to solve any polynomial equation of any degree. Moreover, any continuous function in \( n \) variables can be expressed as a composition of functions of one variable and addition.

Progress on the algebraic form of Hilbert’s 13th problem has been slow. From what I said above, \( \text{rd}(n) = 1 \) when \( n \leq 5 \); this was known before Hilbert and even before Galois. The value of \( \text{rd}(n) \) remains open for every \( n \geq 6 \), and the possibility that \( \text{rd}(n) = 1 \) for every \( n \) has not been ruled out. The best known upper bounds on \( \text{rd}(n) \) are of the form

\[ \text{rd}(n) \leq n - \alpha(n), \]

where \( \alpha(n) \) is an unbounded but very slow growing function of \( n \). The list of people who have proved inequalities of this form includes some of the leading mathematicians of the two centuries: Hamilton, Sylvester, Klein, Hilbert, Chebotarev, Segre, Brauer. Recently, their methods have been refined and their bounds sharpened by Wolfson [63], Sutherland [59] and Heberle-Sutherland [29].

There is another reading of the 13th problem, to the effect that Hilbert meant to allow global multi-valued continuous functions; see [2, p. 613]. These behave in many ways like algebraic functions. If we denote the resolvent degree in this sense by \( \text{Crd}(n) \), where “\( C \)” stands for “global continuous”, then

\[ 1 = \text{crd}(n) \leq \text{Crd}(n) \leq \text{rd}(n) \leq n - \alpha(n). \]

As far as I am aware, nothing else is known about \( \text{Crd}(n) \) or \( \text{rd}(n) \) for \( n \geq 6 \).

On the other hand, in recent decades considerable progress has been made in studying a related but different invariant, the essential dimension. 2 Joe Buhler and I [15] introduced this notion in the late 1990s. In special instances it came up earlier, e.g., in the work of Kronecker [37], Klein [34], Chebotarev [61], Procesi [47] 3 and Kawamata [33] 4 . Our

The body of work on \( \text{rd}(n) \) going back centuries before Hilbert (see, e.g., [20]) and to Hilbert’s own 20th century writings, where only \( \text{rd}(n) \) was considered (see, e.g., [30]). Arnold himself was a strong proponent of this point of view [14, pp. 45-46, 2].
focus in [15] was on polynomials and field extensions. It later became clear that the notion of essential dimension is of interest in other contexts: quadratic forms, central simple algebras, torsors, moduli stacks, representations of groups and algebras, etc. In each case it poses new questions about the underlying objects and occasionally leads to solutions of pre-existing open problems.

This paper has two goals. The first is to survey some of the research on essential dimension in Sections 2-7. This survey is not comprehensive; it is only intended to convey the flavor of the subject and sample some of its highlights. My second goal for this paper is to define the notion of essential degree of a polynomial algebraic group in Section 8, building on the work of Farb and Wolfson [24] but focusing on connected, rather than finite groups. The quantity \( \mathrm{rd}(n) \) defined above is recovered in this setting as \( \mathrm{rd}(S_n) \). For more comprehensive surveys of essential dimension and resolvent degree, see [40, 50] and [24], respectively.

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2 Essential dimension of a polynomial

Let \( k \) be a base field, \( K \) be a field containing \( k \) and \( L \) be a finite-dimensional \( K \)-algebra (not necessarily commutative, associative or unital). We say that \( L \) descends to an intermediate field \( k \subset K \subset L \) if there exists a finite-dimensional \( K_0 \)-algebra \( L_0 \) such that \( L = L_0 \otimes_{K_0} K \). Equivalently, recall that for any choice of an \( K \)-vector space basis \( e_1, \ldots, e_n \) of \( L \), one can encode multiplication in \( L \) into the \( n^2 \) structure constants \( c_{ij}^k \in K \) given by \( e_i e_j = \sum_{k=1}^n c_{ij}^k e_k \). Then \( L \) descends to \( K_0 \subset K \) if and only if there exists a basis \( e_1, \ldots, e_n \) such that all of the structure constants \( c_{ij}^k \) with respect to this basis lie in \( K_0 \). The essential dimension \( \mathrm{ed}_0(L/K) \) is defined as the minimal value of the transcendence degree \( \mathrm{trdeg}_k(K_0) \), where \( L \) descends to \( K_0 \). If the reference to the base field \( k \) is clear from the context, we will write \( \mathrm{ed}_0 \) in place of \( \mathrm{ed}_0(L/K) \).

If \( f(x) = x^n + a_1 x^{n-1} + \cdots + a_n \) is a polynomial over \( K \), for some \( a_1, \ldots, a_n \), as in (1), we define \( \mathrm{ed}_0(f) = \mathrm{ed}_0(L/K) \), where \( L = K[x]/(f(x)) \). Note that if \( f(x) \) (or equivalently, \( L \)) is separable over \( K \), then \( L \) descends to \( K_0 \) if and only if there exists an element \( \bar{y} \in L \) which generates \( L \) as an \( K \)-algebra and such that the minimal polynomial \( g(y) = y^n + b_1 y^{n-1} + \cdots + b_n \) of \( \bar{y} \) lies in \( K_0[y] \).

In classical language the passage from \( f(x) \) to \( g(y) \) is called a Tschirnhaus transformation. Note that

\[
\bar{y} = c_0 + c_1 \bar{x} + \cdots + c_{n-1} \bar{x}^{n-1}
\]

for some \( c_0, c_1, \ldots, c_{n-1} \in K \). Here \( \bar{x} \equiv x \) modulo \( (f(x)) \). Tschirnhaus’ strategy for solving polynomial equations in radicals by induction on degree was to transform \( f(x) \) to a simpler polynomial \( g(y) \), find a root of \( g(y) \) and then recover a root of \( f(x) \) from (4) by solving a polynomial equation of degree \( \leq n-1 \). In his 1683 paper [62], Tschirnhaus successfully implemented this strategy for \( n = 3 \) but made a mistake in implementing it for higher \( n \). Tschirnhaus did not know that a general polynomial of degree \( \geq 5 \) cannot be solved in radicals or that his method for solving cubic polynomials had been discovered by Cardano a century earlier.

Let us denote the maximal value of \( \mathrm{ed}(f) \) taken over all field extensions \( K/k \) and all separable polynomials \( f(x) \in K[x] \) of degree \( n \) by \( \mathrm{ed}_k(n) \). Kronecker [37] and Klein [34] showed that

\[
\mathrm{ed}_k(2) = 2.
\]

This classical result is strengthened in [15] as follows.

**Theorem 1.** Assume \( \mathrm{char}(k) \neq 2 \). Then \( \mathrm{ed}_k(1) = 0, \mathrm{ed}_k(2) = \mathrm{ed}_k(3) = 1, \mathrm{ed}_k(4) = \mathrm{ed}_k(5) = 2, \mathrm{ed}_k(6) = 3 \) and \( \mathrm{ed}_k(n+2) \geq \mathrm{ed}_k(n) + 1 \) for every \( n \geq 1 \). In particular,

\[
[n/2] \leq \mathrm{ed}_k(n) \leq n - 3
\]

for every \( n \geq 5 \).

I recently learned that a variant of the inequality \( \mathrm{ed}_k(n) \geq [n/2] \) was known to Chebotarev [61] as far back as 1943. The problem of finding the exact value of \( \mathrm{ed}_k(n) \) may be viewed as being analogous to Hilbert’s 13th Problem with \( \mathrm{rd}(n) \), \( \mathrm{crd}(n) \) or \( \mathrm{Cr}(n) \) replaced by \( \mathrm{ed}(n) \). Since Hilbert specifically asked about \( \mathrm{rd}(7) \), the case, where \( n = 7 \) is of particular interest.

**Theorem 2** (Duncan [22]). If \( \mathrm{char}(k) = 0 \), then \( \mathrm{ed}_k(7) = 4 \).

The proof of Theorem 2 relies on the same general strategy as Klein’s proof of (5); I will discuss it further in Section 6. Combining Theorem 2 with the inequality \( \mathrm{ed}_k(n+2) \geq \mathrm{ed}_k(n) + 1 \) from Theorem 1, we can slightly strengthen (6) in characteristic 0 as follows:

\[
[n+1/2] \leq \mathrm{ed}_k(n) \leq n - 3 \text{ for every } n \geq 7.
\]

Beyond (7), nothing is known about \( \mathrm{ed}_k(n) \) for any \( n \geq 8 \). I will explain where I think the difficulty lies in Section 5.

Analogous questions can be asked about polynomials that are not separable, assuming \( \mathrm{char}(k) = p > 0 \). In this setting the role of the degree is played by the “generalized degree” (\( n, e \)). Here \( n = [S:K] \), where \( S \) is the separable closure of \( K \) in \( L = K[x]/(f(x)) \) and \( e = (e_1, \ldots, e_r) \) is the so-called type of the purely inseparable algebra \( L/S \) defined as follows. Given \( x \in L \), let us define the exponent \( \exp(x,S) \) to be the smallest integer \( e \) such that \( x^{p^e} \in S \). Then \( e_1 \) is the largest value of \( \exp(x,S) \) as \( x \) ranges over \( L \). Choose an \( x_1 \in L \) of exponent \( e_1 \) and define \( e_2 \) as the largest value of \( \exp(x,S,\{x_1\}) \). Now choose \( x_2 \in L \) of exponent \( e_2 \) and define \( e_3 \) as the largest value of \( \exp(x,S,\{x_1,x_2\}) \). Each step of the tower \( S, x_1, x_2, \ldots \) is monoidal, and \( \mathrm{ed}_k(n) \) is the maximal value of \( \mathrm{ed}_k(f) \), as \( K \) ranges over all field extension of \( k \) and \( f(x) \in K[x] \) ranges over all polynomials of generalized degree \( n, e \). Surprisingly, the case where \( e = \emptyset \) (i.e., the polynomials \( f(x) \) in question are not separable), turns out to be easier. We refer the reader to [52], where an exact formula for \( \mathrm{ed}(n, e) \) is obtained.
3 Essential dimension of a functor

Following Merkurjev [6], we will now define essential dimension for a broader class of objects, beyond polynomials or finite-dimensional algebras. Let \( k \) be a base field, which we assume to be fixed throughout, and \( \mathcal{F} \) be a covariant functor from the category of field extensions \( K/k \) to the category of sets. Any object \( \alpha \in \mathcal{F}(K) \) in the image of the natural (“base change”) map \( \mathcal{F}(K_0) \to \mathcal{F}(K) \) is said to descend to \( K_0 \). The essential dimension \( ed_k(\alpha) \) is defined as the minimal value of \( \text{trdeg}_k(K_0) \), where the minimum is taken over all intermediate fields \( k \subset K_0 \subset K \) such that \( \alpha \) descends to \( K_0 \).

For any \( v \) from the category of field extensions \( K/k \) assume to be fixed throughout, and \( k \) be a base field, which we assume to be fixed throughout, and \( \mathcal{F} \) be a covariant functor from the category of field extensions \( K/k \) to the category of sets. Any object \( \alpha \in \mathcal{F}(K) \) in the image of the natural (“base change”) map \( \mathcal{F}(K_0) \to \mathcal{F}(K) \) is said to descend to \( K_0 \). The essential dimension \( ed_k(\alpha) \) is defined as the minimal value of \( \text{trdeg}_k(K_0) \), where the minimum is taken over all intermediate fields \( k \subset K_0 \subset K \) such that \( \alpha \) descends to \( K_0 \).

For example, consider the functor \( \text{Ass}_n \) of \( n \)-dimensional associative algebras given by

\[ \text{Ass}_n(K) = \{ \text{\text{n-dimensional associative } K\text{-algebras,}} \]
\[ \text{up to } K\text{-isomorphism} \} \]

For \( A \in \text{Ass}_n(K) \), the new definition of \( ed_k(A) \) is the same as the definition in the previous section. Recall that after choosing a \( K \)-basis for \( A \), we can describe \( A \) completely in terms of the \( n^3 \) structure constants \( c_{ij}^k \). In particular, \( A \) descends to the subfield \( K_0 = k(c_{ij}^k) \) of \( K \) and consequently, \( ed_k(A) \leq n^3 \).

Another interesting example is the functor of non-degenerate \( n \)-dimensional quadratic forms,

\[ \text{Quad}_n(K) = \{ \text{\text{non-degenerate quadratic forms on } K^n,} \]
\[ \text{up to } K\text{-isomorphism} \} \]

For simplicity, let us assume that the base field \( k \) is of characteristic different from 2. Under this assumption a quadratic form \( q \) on \( K^n \) is the same thing as a symmetric bilinear form \( b \). One passes back and forth between \( q \) and \( b \) using the formulas

\[ q(v) = b(v, v) \quad \text{and} \quad b(v, w) = \frac{q(v + w) - q(v) - q(w)}{2} \]

for any \( v, w \in K^n \). The form \( q \) (or equivalently, \( b \)) is called degenerate, if the linear form \( b(v, \cdot) \) is identically zero for some \( 0 \neq v \in K^n \). A variant of the Gram-Schmidt process shows that there exists an orthogonal basis of \( K^n \) with respect to \( b \). In other words, in some basis \( e_1, \ldots, e_n \) of \( K^n \), \( q \) can be written as

\[ q(x_1 e_1 + \cdots + x_n e_n) = a_1 x_1^2 + \cdots + a_n x_n^2, \]

for some \( a_1, \ldots, a_n \in K \). In particular, \( q \) descends to \( K_0 = k(a_1, \ldots, a_n) \) and hence \( ed_k(q) \leq n \). Note that \( q \) is non-degenerate if and only if all \( a_1, \ldots, a_n \neq 0 \).

Yet another interesting example is provided by the functor of elliptic curves,

\[ \text{Ell}(K) = \{ \text{elliptic curves over } K, \text{ up to } K\text{-isomorphism} \} \]

For simplicity assume that \( \text{char}(k) \neq 2 \) or 3. Then every elliptic curve \( X \) over \( K \) is isomorphic to the plane curve cut out by a Weierstrass equation \( y^2 = x^3 + ax + b \), for some \( a, b \in K \). Hence, \( X \) descends to \( K_0 = k(a, b) \) and \( ed(X) \leq 2 \).

Informally, we think of \( \mathcal{F} \) as specifying the type of algebraic object under consideration (e.g., algebras or quadratic forms or elliptic curves), \( \mathcal{F}(K) \) as the set of objects of this type defined over \( K \), and \( ed_k(\alpha) \) as the minimal number of parameters required to define \( \alpha \). In most cases essential dimension varies from object to object, and it is natural to consider what happens under a “worst case scenario”, i.e., how many parameters are needed to define the most general object of a given type. This number is called the essential dimension of the functor \( \mathcal{F} \). That is,

\[ ed_k(\mathcal{F}) = \sup_{\alpha} ed_k(\alpha), \]

as \( K \) varies over all fields containing \( k \) and \( \alpha \) varies over \( \mathcal{F}(K) \). Note that \( ed_k(\mathcal{F}) \) can be either a non-negative integer or \( \infty \). In particular, the arguments above yield

\[ ed(\text{Ass}_n) \leq n^2, \quad ed(\text{Quad}_n) \leq n \quad \text{and} \quad ed(\text{Ell}) \leq 2. \]

One can show that the last two of these inequalities are, in fact, sharp. The exact value of \( ed(\text{Ass}_n) \) is unknown for most \( n \); however, for large \( n \),

\[ ed(\text{Ass}_n) = 4n^3/27 + O(n^{8/3}). \]

Similarly \( ed(\text{Lie}_n) = 2n^3/27 + O(n^{8/3}) \) and \( ed(\text{Comm}_n) = 2n^2/27 + O(n^{8/3}) \), where \( \text{Lie}_n \) and \( \text{Comm}_n \) are the functors of \( n \)-dimensional Lie algebras and commutative algebras, respectively. These formulas are deduced from the formulas for the dimensions of the varieties of structure constants for \( n \)-dimensional associative, Lie and commutative algebras due to Neretin [43].

This brings us to the functor \( H^1(\ast, G) \), where \( G \) is an algebraic group defined over \( k \). The essential dimension of this functor is a numerical invariant of \( G \). This invariant has been extensively studied; it will be our main focus in the next section. The functor \( H^1(\ast, G) \) associates to a field \( K/k \) the set \( H^1(K, G) \) of isomorphism classes of \( G \)-torsors over \( K \). Recall that a \( G \)-torsor over \( K \) is an algebraic variety with a \( G \)-action defined over \( K \), such that over the algebraic closure \( \overline{K} \), \( T \) becomes equivariantly isomorphic to \( G \) acting on itself by left translations. If \( T \) has a \( K \)-point \( x \), then \( G \to T \) taking \( g \) to \( g \cdot x \) is, in fact, an isomorphism over \( K \). In this case the torsor \( T \) is called “trivial” or “split”. The interesting (non-trivial) torsors over \( K \) have no \( K \)-points. For example, if \( G = C_2 \) is a cyclic group of order 2 and \( char(k) \neq 2 \), then every \( C_2 \)-torsor is of the form \( T_a \), where \( T_a \) is the subvariety of \( \mathbb{A}^1 \) cut out by the quadratic equation \( x^2 - a = 0 \), for some \( a \in K \). Informally, \( T_a \) is a pair of point (roots of this equation) permuted by \( C_2 \); it splits if and only if these points are defined over \( K \) (i.e., \( a \) is a complete square in \( K \)). In fact, \( H^1(K, C_2) \) is in bijective correspondence with \( K^*/(K^*)^2 \) given by \( T_a \mapsto a \mod (K^*)^2 \), where \( K^* \) is the multiplicative group of \( K \). Note that in this example \( H^1(K, G) \) is, in fact, a group. This is the case whenever \( G \) is abelian. For a non-abelian algebraic group \( G \), \( H^1(K, G) \) carries no natural group structure, it is only a set with a marked element (the trivial torsor).

For many linear algebraic groups \( G \) the functor \( H^1(\ast, G) \) parametrizes interesting algebraic objects. For example, when \( G \) is the orthogonal group \( O_n \), \( H^1(\ast, O_n) \) is the functor \( \text{Quad}_n \), we considered above. When \( G \) is the projective linear group \( \text{PGL}_n \), \( H^1(K, \text{PGL}_n) \) is the set of isomorphism classes of central simple algebras of degree \( n \) over \( K \). When \( G \) is the exceptional group of type \( G_2 \), \( H^1(K, G_2) \) is the set of isomorphism classes of octonion algebras over \( K \).
4 Essential dimension of an algebraic group

The essential dimension of the functor $H^1(*, G)$ is abbreviated as $ed_d(G)$. Here $G$ is an algebraic group defined over $k$. This number is always finite if $G$ is linear but may be infinite if $G$ is an abelian variety [13]. If $G$ is the symmetric group $S_n$, then
\[ ed_4(S_n) = ed_4(n) \]  
(8)

where $ed_d(n)$ is the quantity we defined and studied in Section 2. Indeed, $H^1(K, S_n)$ is the set of étale algebras $L/K$ of degree $n$. Etale algebras of degree $n$ are precisely the algebras of the form $K[x]/(f(x))$, where $f(x)$ is a separable (but not necessarily irreducible) polynomial of degree $n$ over $K$.

Thus (8) is just a restatement of the definition of $ed_d(n)$.

Another interesting example is the general linear group $G = GL_n$. Elements of $H^1(K, GL_n)$ are the $n$-dimensional vector spaces over $K$. Since there is only one $n$-dimensional $K$-vector space up to $K$-isomorphism, we see that $H^1(K, GL_n) = [1]$. In particular, every object of $H^1(K, GL_n)$ descends to $k$, and we conclude that $ed_d(GL_n) = 0$. I will now give a brief summary of three methods for proving lower bounds on $ed_d(G)$ for various linear algebraic groups $G$.

4.1 Cohomological invariants

Let $F$ be a covariant functor from the category of field extensions $K/k$ to the category of sets, as in the previous section. A cohomological invariant of degree $d$ for $F$ is a morphism of functors
\[ F \to H^d(*, M) \]
for some discrete $Gal(k)$-module $M$. In many interesting examples, $M = \mu_n$ is the module of $n$th roots of unity with a natural $Gal(k)$-action (trivial if $k$ contains a primitive $m$th root of unity). The following observation is due to J.-P. Serre.

**Theorem 3.** Assume that the base field $k$ is algebraically closed. If $F$ has a non-trivial cohomological invariant $F \to H^d(*, M)$, then $ed_d(F) \geq d$.

The proof is an immediate consequence of the Serre Vanishing theorem. Cohomological invariants of an algebraic group $G$ (or equivalently, of the functor $H^1(*, G)$) were introduced by Serre and Rost in the early 1990s, and have been extensively studied since then; see [56]. These invariants give rise to a number of interesting lower bounds on $ed_d(G)$ for various groups $G$; in particular,

(i) $ed(O_n) \geq n$, (ii) $ed(SO_n) \geq n - 1$ for every $n \geq 3$, (iii) $ed(G_2) \geq 3$, (iv) $ed(F_4) \geq 5$, (v) $ed(S_n) \geq [n/2]$.

Inequalities (i), (ii) and (iii) turn out to be exact. (iv) is best known, and (v) is best known for even $n$; see (7).

4.2 Finite abelian subgroups

**Theorem 4.** Let $G$ be a reductive group over $k$ and $A$ be a finite abelian subgroup of $G$ of rank $r$.

(a) ([54]) Assume $char(k) = 0$. If the centralizer $C_G(A)$ is finite, then $ed(G) \geq r$.

(b) ([28]) Assume $char(k)$ does not divide $|A|$. If $G$ is connected, and the dimension of the maximal torus of $C_G(A)$ is $d$, then $ed(G) \geq r - d$.

Note that both parts are vacuous if $A$ lies in a maximal torus $T$ of $G$. Indeed, in this case the centralizer $C_G(A)$ contains $T$, so $d \geq r$. In other words, only non-toral finite abelian subgroups $A$ of linear algebraic groups are of interest here. These have been much studied and catalogued, starting with the work of Borel in the 1950s. Theorem 4 yields the best known lower bound on $ed(G)$ in many cases, such as $ed(E_7) \geq 7$ and $ed(E_8) \geq 9$, where $E_7$ denotes the split simply connected exceptional group of type $E_7$ and similarly for $E_8$.

4.3 The Brauer class bound

Consider a linear algebraic group $G$ defined over our base field $k$. Suppose $G$ fits into a central exact sequence of algebraic groups (again, defined over $k$)
\[ 1 \to D \to G \to \overline{G} \to 1, \]
(9)

where $D$ is diagonalizable over $k$. For every field extension $K/k$, this sequence gives rise to the exact sequence of pointed sets
\[ H^1(K, G) \to H^1(K, \overline{G}) \to H^2(K, D). \]

Every element $\alpha \in H^2(K, D)$ has an index, $ind(\alpha)$, defined as follows. If $D \cong \mathbb{G}_m$, then $\alpha$ is a Brauer class over $K$, and $ind(\alpha)$ denotes the Schur index of $\alpha$, as usual. In general, we consider the character group $X(D)$ whose elements are homomorphisms $x: D \to \mathbb{G}_m$. Note that $X(D)$ is a finitely generated abelian group and each character $x \in X(D)$, induces a homomorphism
\[ x_+: H^1(K, D) \to H^2(K, \mathbb{G}_m). \]

The index of $\alpha \in H^2(K, D)$ is defined as the minimal value of
\[ \text{ind}(x_+ \alpha) = \sum \text{ind}(x_i \alpha) \]
as $\{x_1, \ldots, x_r\}$ range over generating sets of $X(D)$. Here each $(x_i \alpha)$ lies in $H^1(K, \mathbb{G}_m)$, and $\text{ind}(x_i \alpha)$ denotes its Schur index, as above. We now define $\text{ind}(G, D)$ as the maximal index of $\alpha \in \partial(H^1(K, \overline{G})) \subset H^2(K, D)$, where the maximum is taken over all field extensions $K/k$, as $\alpha$ ranges over the image $H^1(K, \overline{G})$ in $H^2(K, D)$.

**Theorem 5.** (a) $\text{ind}(G, D)$ is the greatest common divisor of $\dim(\rho)$, where $\rho$ ranges over the linear representations of $G$ over $k$ such that the restriction $\rho|D$ is faithful.

(b) Let $p$ be a prime different from $\text{char}(k)$. Assume that the exponent of every element of $H^2(K, D)$ in the image of
\[ \partial: H^1(K, \overline{G}) \to H^2(K, D) \]
is a power of $p$, for every field extension $K/k$. (This is automatic if $D$ is a $p$-group.) Then $\text{ed}_d(G) \geq \text{ind}(G, D) - \dim(G)$.

Part (a) is known as Merkurjev’s Index Formula. The inequality of part (b) is based on Karpenko’s Incompressibility Theorem. Part (b) first appeared in [10] in the special case where $D = \mathbb{G}_m$ or $\mathbb{Z}/d$ and in [25] in an even more special case, where $D = \mathbb{Z}/p$. It was proved in full generality in [32].

Theorem 5 is responsible for some of the strongest results in this theory, including the exact formulas for the essential dimension of a finite $p$-group (Theorem 6 below), the essential
p-dimensional of an algebraic torus, and the essential dimension of spinor groups Spin\(_n\). The latter turned out to increase exponentially in \(n\):

\[
ed(\text{Spin}_n) \geq 2^{(n-1)/2} - \frac{n(n-1)}{2}.
\]

(10)

This inequality was first proved in [10]. The exact value of \(\ed(\text{Spin}_n)\) subsequently got pinned down in [11] and [17] in characteristic 0, [27] in characteristic \(p \neq 2\) and [60] in characteristic 2. When \(n \geq 15\), the inequality (10) is sharp for \(n \equiv 0 \mod 4\), and is off by a \(2^{\frac{n}{2}}\) otherwise. Here \(2^{\frac{n}{2}}\) is the largest power of 2 dividing \(n\).

The exponential growth of \(\ed(\text{Spin}_n)\) came as a surprise. Prior to [10] the best known lower bounds on \(\ed(\text{Spin}_n)\) were linear (see [18, Section 7]), on the order of \(n/2\). Moreover, the exact values of \(\ed(\text{Spin}_n)\) for \(n \leq 14\) obtained by Rost and Garibaldi [26] appeared to suggest that these linear bounds should be sharp. The fact that \(\ed(\text{Spin}_n)\) increases exponentially in \(n\) has found interesting applications in the theory of quadratic forms. For details, see [11] and [17].

5 Essential dimension at \(p\)

Once again, fix a base field \(K\) and let \(F\) be a covariant functor from the category of field extensions \(K'/K\) to the category of sets. The essential dimension \(\ed_\alpha(\alpha; p)\) of an object \(\alpha \in \mathcal{F}(K)\) at a prime \(p\) is defined as the minimal value of \(\ed(A'; p)\), where the minimum ranges over all finite field extensions \(K'/K\) of degree prime to \(p\) and \(\alpha'\) denotes the image of \(\alpha\) under the natural map \(\mathcal{F}(K) \to \mathcal{F}(K')\). Finally, the essential dimension \(\ed(\mathcal{F}; p)\) of \(\mathcal{F}\) at \(p\) is the maximal value of \(\ed(\alpha)\), as \(K\) ranges over all fields containing \(k\) and \(K\) ranges over all fields containing \(\mathcal{F}(K)\). When \(\mathcal{F} = H^1(*, G)\) for an algebraic group \(G\), we write \(\ed(G; p)\) in place of \(\ed(\mathcal{F}; p)\). Once again, if the reference to the base field is clear from the context, we will abbreviate \(\ed\) as \(\ed\) by definition, \(\ed(\alpha; p) \leq \ed(\alpha)\) and \(\ed(\mathcal{F}; p) \leq \ed(\mathcal{F})\).

The reason to consider \(\ed(\mathcal{F}; p)\) in place of \(\ed(\mathcal{F})\), is that the former is often more accessible. In fact, most of the methods we have for proving a lower bound on \(\ed(\alpha)(p)\) (respectively, \(\ed(\mathcal{F})\)) turn out to produce a lower bound on \(\ed(\alpha'; p)\) (respectively, \(\ed(\mathcal{F}; p)\)) for some prime \(p\). For example, the lower bound in Theorem 5(b) is really \(\ed(G; p) \geq \text{ind}(G, D) - \text{dim}(G)\). In Theorem 4, one can usually choose \(A\) to be a \(p\)-group, in which case the conclusion can be strengthened to \(\ed(G; p) \geq r\) in part (a) and \(\ed(G; p) \geq r - d\) in part (b). In Theorem 3, if \(M\) is \(p\)-torsion (which can often be arranged), then \(\ed(G; p) \geq d\).

This is a special case of a general meta-mathematical phenomenon: many problems concerning algebraic objects (such as finite-dimensional algebras or polynomials or algebraic varieties) over fields \(K\) can be subdivided into two types. In Type 1 problems we are allowed to pass from \(K\) to a finite extension \(K'/K\) of degree prime to \(p\), for one prime \(p\), where as in Type 2 problems this is not allowed. For example, given algebraic variety \(X\) defined over \(K\), deciding whether or not \(X\) has a 0-cycle of degree 1 is a Type 1 problem (it is equivalent to showing that there is a 0-cycle of degree prime to \(p\), for every prime \(p\)), where as deciding whether or not \(X\) has a \(K\)-point is a Type 2 problem. As I observed in [50, Section 5], most of the technical tools we have are tailor-made for Type 1 problems, where as many long-standing open questions across several areas of algebra and algebraic geometry are of Type 2.

In the context of essential dimension the problem of computing \(\ed(G; p)\) for a given algebraic group \(G\) and a given prime \(p\) is of Type 1, where as the problem of computing \(\ed(G)\) is of Type 2. For simplicity let us assume that \(G\) is a finite group. In this case \(\ed(G; p) = \ed(G; p)_p\), where \(G_p\) is the Sylow \(p\)-subgroup of \(G\). In other words, the problem of computing \(\ed(G; p)\) reduces to the case, where \(G\) is a \(p\)-group.

In this case we have the following remarkable theorem of Karpenko and Merkurjev [31].

**Theorem 6.** Let \(p\) be a prime and \(k\) be a field containing a primitive \(p\)th root of unity. Then for any finite \(p\)-group \(P\),

\[
\ed(P) = \ed(P; p) = \text{rdim}(P),
\]

where \(\text{rdim}(P)\) denotes the minimal dimension of a faithful representation of \(P\) defined over \(k\).

Theorem 6 reduces the computation of \(\ed(G; p)\) to \(\text{rdim}(G_p)\). For a given finite \(p\)-group \(P\), one can often (though not always) compute \(\text{rdim}(P)\) in closed form using the machinery of character theory; see, e.g., [3, 35, 41, 42].

The situation is quite different when it comes to computing \(\ed(G)\) for an arbitrary finite group \(G\). Clearly \(\ed(G) \geq \max_p \ed(G; p)\), where \(p\) ranges over the prime integers. In those cases, where \(\ed(G)\) is strictly larger than \(\max_p \ed(G; p)\), the exact value of \(\ed(G)\) is usually difficult to establish. The only approach that has been successful to date relies on class-classification results in algebraic geometry, which are currently only available in low dimensions. I will return to this topic in the next section.

To illustrate the distinction between Type 1 and Type 2 problems, consider the symmetric group \(G = S_n\). For simplicity assume that \(k = \mathbb{C}\) is the field of complex numbers. Here the Type 1 problem is solved completely: \(\ed(S_n; p) = [n/p]\) for every prime \(p\). Thus \(\max_p \ed(S_n; p) = [n/2]\), and (7) tells us that \(\ed(S_n) > \max_p \ed(S_n; p)\), for every odd \(n \geq 7\). The remaining Type 2 problem is to bridge the gap between \([n/2]\) and the true value of \(\ed(S_n)\). This problem has only been solved for \(n \leq 7\); see Theorems 1, 2 and (8).

Note that the algebraic form of Hilbert’s 13th problem is also of Type 2 in the sense that

\[
\text{rd}(f; p) \leq 1
\]

(11)

for any prime \(p\), every field \(K\) and every separable polynomial \(f(x) \in K[x]\). Indeed, denote the Galois group of \(f(x)\) by \(G\). Then after passing from \(K\) to a finite extension \(K'/K\) whose degree \([K' : K] = |G : G_p|\) is prime to \(p\), we may replace \(G\) by its \(p\)-Sylow subgroup \(G_p\). Since every \(p\)-group is solvable, this means that \(f(x)\) becomes solvable in radicals over \(K'\) and hence, its resolvent degree becomes \(\leq 1\), as desired.

The inequality (11) accounts, at least in part, for the difficulty of showing that \(\text{rd}(n) \geq 2\) for any \(n\). The methods used to prove lower bounds on the essential dimension of algebraic groups in Section 4 and anything resembling these methods, cannot possibly work here. Otherwise we would
also be able to prove that \( \text{rd}(f; p) \geq 2 \) for some prime \( p \), contradicting (11).

A similar situation arises in computing the essential dimension of a finite \( p \)-group \( G \) over a field \( k \) of characteristic \( p \). Superficially this problem looks very different from Hilbert’s 13th Problem (where one usually works over \( k = \mathbb{C} \)); the common feature is that both are Type 2 problems. Indeed, it is shown in [53] that \( \text{ed}_2(G; p) = 1 \) for every non-trivial \( p \)-group \( G \). Using the method described in the next section, one can often show that \( \text{ed}_2(G) \geq 2 \), but we are not able to prove that \( \text{ed}_2(G) > 2 \) for any \( p \)-group \( G \) and any field \( k \) of characteristic \( p \). On the other hand, Ledet [38] conjectured that

\[
\text{ed}_2(C_{p^r}) = n
\]

(12)

for any prime \( p \) and any infinite field \( k \) of characteristic \( p \). Here \( C_{p^r} \) denotes the cyclic group of order \( p^r \). Ledet showed that \( \text{ed}_2(C_{p^r}) \leq n \) for every \( n \geq 1 \) and that equality holds when \( n \leq 2 \).

My general feeling is that Type 2 problems arising in different contexts are linked in some way, and that solving one of them (e.g., proving Ledet’s Conjecture) can shed light on the other (e.g., Hilbert’s 13th Problem). The only bit of evidence I have in this direction is the following theorem from [12] linking a priori unrelated Type 2 problems in characteristic \( p \) and in characteristic 0.

**Theorem 7.** Let \( p \) be a prime and \( G \) be a finite group satisfying the following conditions:

(i) \( G \) does not have a non-trivial normal \( p \)-subgroup, and

(ii) \( G \) has an element of order \( p^n \).

If Ledet’s Conjecture (12) holds, then \( \text{ed}_2(G) \geq n \).

The following family of examples is particularly striking. Let \( p \) be a prime and \( n \) a positive integer. Choose a positive integer \( m \) such that \( q = mp^n + 1 \) is a prime. Note that by Dirichlet’s theorem on primes in arithmetic progressions, there are infinitely many such \( m \). Let \( C_q \) be a cyclic group of order \( q \). Then \( \text{Aut}(C_q) \) is cyclic of order \( mp^n \); let \( C_{p^r} \leq \text{Aut}(C_q) \) denote the unique subgroup of order \( p^r \). Applying Theorem 7 to \( G = C_q \rtimes C_{p^r} \), we obtain the following.

**Corollary 8.** If Ledet’s Conjecture (12) holds, then

\[
\text{ed}_2(C_q \rtimes C_{p^r}) \geq n.
\]

Note that since the Sylow subgroups of \( C_q \rtimes C_{p^r} \) are all cyclic,

\[
\text{ed}_2(C_q \rtimes C_{p^r}; l) \leq 1
\]

for every prime \( l \), so the inequality of Corollary 8 is a Type 2 result. An unconditional proof of this inequality or even of the weaker inequality \( \text{ed}_2(C_q \rtimes C_{p^r}) > 3 \) is currently out of reach for any specific choice of \( q \) and \( p^n \).

6 Essential dimension and the Jordan property

An alternative (equivalent) definition of essential dimension of a finite group \( G \) is as follows. An action of \( G \) on an algebraic variety \( X \) is said to be linearizable if there exists a \( G \)-equivariant dominant rational map \( V \to X \) for some linear representation \( G \to \text{GL}(V) \). Then \( \text{ed}_0(G) \) is the minimal value of \( \dim(X) \), as \( X \) ranges over all linearizable varieties with a faithful \( G \)-action defined over \( k \). In particular,

\[
\text{ed}_0(G) \leq \text{rdim}_0(G),
\]

(13)

where \( \text{rdim}_0(G) \) is the minimal dimension of a faithful linear representation of \( G \) over \( k \), as in Theorem 6.

This geometric interpretation of \( \text{ed}_0(G) \) can sometimes be used to prove lower bounds on \( \text{ed}(G) \) by narrowing the possibilities for \( X \) and ruling them out one by one using Theorem 4(a). For the remainder of this section I will assume that \( G \) is a finite group and the base field \( k \) is the field of complex numbers and will write \( \text{ed} \) in place of \( \text{ed}_0 \).

Suppose \( \text{ed}(G) = 0 \). Then \( X \) is a single point, and only the trivial group can act faithfully on a point. Thus \( \text{ed}(G) = 0 \) if and only if \( G \) is the trivial group.

Now suppose \( \text{ed}(G) = 1 \). Then \( X \) is a curve with a dominant map \( V \to X \). By Lüroth’s theorem, \( X \) is birationally isomorphic to \( \mathbb{P}^1 \) and thus \( G \) is a subgroup of \( \text{PGL}_2 \). Finite subgroups of \( \text{PGL}_2 \) were classified by Klein [34]. Here is a complete list: cyclic groups \( C_p \) and dihedral groups \( D_n \) for every \( n \), \( A_4 \), \( S_4 \) and \( A_5 \). Theorem 4(a) rules out the groups on this list which contain \( A = C_2 \times C_2 \). We thus obtain the following.

**Theorem 9** (Theorem 6.2 in [15]). Let \( G \) be a finite group. Then \( \text{ed}(G) = 1 \) if and only if \( G \) is either cyclic or odd dihedral.

To classify groups of essential dimension \( d \) (or more realistically, show that \( \text{ed}(G) > d \) for a particular finite group \( G \)) in a similar manner, we need a classification of finite subgroups of Bir(\( X \)), extending Klein’s classification of finite subgroups in Bir(\( \mathbb{P}^1 \)). Here \( X \) ranges over the unirational complex varieties of dimension \( d \), and Bir(\( X \)) denotes the groups of birational automorphisms of \( X \). In dimension 2 every unirational variety is rational, so we are talking about classifying finite subgroups of the Cremona group Bir(\( \mathbb{P}^2 \)). Such a classification exists, though it is rather complicated; see [21]. Serre used this approach to show that \( \text{ed}(A_6) = 3 \) [58, Theorem 3.6]. Again, this is a Type 2 phenomenon, since \( \max_p \text{ed}(A_6; p) = 2 \). Duncan [23] subsequently extended Serre’s argument to a full classification of finite groups of essential dimension 2.

In dimension 3 there is the additional complication that unirational complex varieties do not need to be rational. Here only a partial analogue of Klein’s classification exists, namely the classification of rationally connected 3-folds with the action of a finite simple group \( G \), due to Prokhorov [48]. Duncan used this classification to prove Theorem 2. More specifically, he showed that \( \text{ed}(S_7) = \text{ed}(A_7) = 4 \); see (8). Subsequently Beauville [4] showed that the only finite simple groups of essential dimension 3 are \( A_6 \) and possibly PSL(\( \mathbb{F}_{11} \)).

In dimension \( d \geq 4 \) even a partial analogue of Klein’s classification of finite subgroups of Bir(\( \mathbb{P}^2 \)) is out of reach. However, a recent break-through in Mori Theory gives us a new insight into the asymptotic behavior of \( \text{ed}(G_n) \) for certain infinite sequences \( G_1, G_2, \ldots \) of finite groups. Recall that an abstract group \( \Gamma \) is called Jordan if there exists an integer \( j \) (called a Jordan constant of \( \Gamma \)) such that every finite subgroup \( G \subset \Gamma \) has a normal abelian subgroup \( A \) of index \( [G : A] \leq j \).
This definition, due to Popov [45], was motivated by the classical theorem of Camille Jordan which asserts that $GL_n(\mathbb{C})$ is Jordan, and by a theorem of Serre [57] which asserts that the Cremona group $\text{Bir}(\mathbb{P}^n)$ is also Jordan. The following result, due to Prokhorov, Shramov and Birkar 8, is a far-reaching generalization of Serre’s theorem.

I will say that a collection of abstract groups is uniformly Jordan if they are all Jordan with the same constant.

**Theorem 10.** Fix $d \gg 1$. Then the groups $\text{Bir}(X)$ are uniformly Jordan, as $X$ ranges over $d$-dimensional rationally connected complex varieties.

Unirational varieties are rationally connected. The converse is not known, though it is generally believed to be false. Rationally connected varieties naturally arise in the context of Mori theory, and we are forced to consider them even if we are only really interested in unirational varieties. Note that Theorem 10 does not become any easier to prove if one requires $X$ to be unirational. In fact, prior to Birkar [7] (respectively, prior to Prokhorov-Shramov [48]), it was an open question, due to Serre [57, Section 6], whether for each $d \geq 3$ (respectively, for $d = 3$) there exists even a single finite group which does not embed into $\text{Bir}(\mathbb{P}^d)$.

Now observe that while every finite group is obviously Jordan, being uniformly Jordan is a strong condition on a sequence of finite groups

$$G_1, G_2, G_3, \ldots$$

Suppose the sequence (14) is chosen so that no infinite subsequence is uniformly Jordan. Then we claim that

$$\lim_{n \to \infty} \text{ed}(G_n) = \infty.$$  

Indeed, if $\text{ed}(G_n) = d$, then there exists a $d$-dimensional linearizable variety $X$ with a faithful $G_n$-action. In particular, $G_n$ is contained in $\text{Bir}(X)$. Since $X$ is linearizable, it is unirational and hence, rationally connected. On the other hand, since no infinite subsequence of (14) is uniformly Jordan, Theorem 10 tells us that there are at most finitely many groups $G_n$ with $\text{ed}(G_n) = d$, and (15) follows. Here is an interesting family of examples.

**Theorem 11.** For each positive integer $n$, let $C_n$ be a cyclic group of order $n$ and $H_n$ be a subgroup of $\text{Aut}(C_n)$.

If $\lim_{n \to \infty} |H_n| = \infty$, then $\lim_{n \to \infty} \text{ed}(C_n \rtimes H_n) = \infty$.

Note that this method does not give us any information about $\text{ed}(C_n \rtimes H_n)$ for any particular choice of $n$ and of $H_n \subset \text{Aut}(C_n)$. For example, while Theorem 11 tells us that

$$\text{ed}_{\text{c}}(C_p \rtimes \text{Aut}(C_p)) > 10$$

for all but finitely many primes $p$, it does not allow us to exhibit a specific prime for which this inequality holds. The reason is that when $d > 3$, a specific Jordan constant for the family of groups $\text{Bir}(X)$ in Theorem 10 is out of reach. In particular, an unconditional proof of Corollary 8 along these lines does not appear feasible. Nevertheless, Theorem 11 represents a big step forward: previously it was not even known that $\text{ed}_{\text{c}}((C_p \rtimes \text{Aut}(C_p)) > 3$ for any prime $p$.

A classification of the subgroups of $\text{Bir}(X)$, as $X$ ranges over the unirational varieties of dimension $d$ is a rather blunt instrument. It would be preferable to find some topological or algebro-geometric obstruction to the existence of a linearization map $V \to X$, which can be read off from the $G$-variety $X$ without enumerating all the possibilities for $X$. Unfortunately, all known obstructions of this sort are of Type 1: they do not distinguish between dominant rational maps $V \to X$ and correspondences $V \to X$ of degree prime to $p$, for a suitable prime $p$ and thus cannot help us if $\text{ed}(G) \geq \text{max}_p \text{ed}(G; p)$.

Another draw-back of this method is that, as we mentioned in the previous section, beyond dimension 1 10, none of the classification theorems we need are available in prime characteristic.

### 7 Essential dimension of a representation

#### 7.1 Representations of finite groups in characteristic 0

Let $G$ be a finite group of exponent $e$, $k$ be a field of characteristic 0, $K/k$ be a field extension, $\rho: G \to \text{GL}_n(K)$ be a representation of $G$, and $\chi: G \to K$ be the character of $\rho$. Can we realize $\rho$ over $K$? In other words, is there a representation $\rho': G \to \text{GL}(k)$ such that $\rho$ and $\rho'$ are equivalent over $K$? A celebrated theorem of Richard Brauer asserts that the answer is “yes” as long as $k$ contains a primitive root of unity of degree $e$. If it doesn’t, there is a classical way to quantify how far $\rho$ is from being definable over $k$ via the Schur index, at least in the case where $\rho$ is absolutely irreducible and the character value $\chi(g)$ lies in $k$ for every $g \in G$. The Schur index of $\rho$ is defined as the index of the envelope

$$\text{Env}_k(\rho) := \text{Span}_k(\rho(g) | g \in G) \subset \text{Mat}_n(K)$$

which, under our assumptions on $\rho$, is a central simple algebra of degree $n$ over $k$. The Schur index of $\rho$ is equal to the minimal degree $[l : k]$ of a field extension $l/k$ such that $\rho$ can be realized over $l$.

The essential dimension $\text{ed}_k(\rho)$ gives us a different way to quantify how far $\rho$ is from being definable over $k$. Here we do not need to assume that $\rho$ is irreducible or that its character values lie in $k$. We simply think of $\rho$ as an object of the functor $\text{Rep}_G: K \mapsto \{K\text{-representations of } G, \text{ up to } K\text{-isomorphism}\}$.

The naive upper bound on $\text{ed}(\rho)$ is $r^2$, where $r$ is the dimension of $\rho$ and $r$ is the minimal number of generators of $G$. Indeed, if $G$ is be generated by $r$ elements $g_1, \ldots, g_r$ and $\rho(g_i)$ is the $n \times n$ matrix $(a_{ij}^g)$, then $\rho$ descends to the field

$$K_0 = k \left( d_{ij}^g | i, j = 1, \ldots, n; h = 1, \ldots, r \right)$$

transcendence degree at most $rn^2$ over $k$. It is shown in [31] that, in fact, $\text{ed}(\rho) \leq n^2/4$ and moreover, $\text{ed}(\text{Rep}_G) \leq |G|/4$. We have also proved lower bounds on $\text{ed}_k(\rho)$ in many cases; we refer the reader to [31] for details. Note that these are quite delicate: by Brauer’s theorem $\text{ed}_2(G) = 0$ as long as $k$ contains suitable roots of unity.

#### 7.2 Representations of finite groups in positive characteristic

Here the situation is entirely different.
Parameter families. On the other hand, A is bounded from above as n → ∞.}

This gives us three new invariants of finite-dimensional algebras, a1(A) = lim supn→∞ rA(n)/n for i = 0, 1, 2. Informally, a1(A) (respectively, a2(A)) quantifies “how wild” (respectively, “how tame”) A is. Scavia [55] computed a1(A) and a2(A) explicitly in combinatorial terms in the case, where A is a quiver algebra.

8 Back to resolvent degree

8.1 The level of a field extension

Let k be a base field, K be a field containing k, and L/K be a field extension of finite degree. I will say that L/K is of level ≤ d if there exists a finite tower of subfields

\[ K = K_0 < K_1 \subset \ldots < K_n \]

such that L ⊂ K_n and ed(K_{i+1}/K_i) ≤ d for every i. The level of L/K is the smallest such d; I will denote it by lev(L/K).

Clearly lev(L/K) ≤ ed(L/K).

If K is a field of rational functions on some algebraic variety X defined over k, then it is natural to think of elements of K_1 as algebraic (multi-valued) functions on X in ≤ ed(K_1/K) variables, and elements of L as compositions of algebraic functions in ≤ ed(L/K) variables.

Example 15. If the field extension L/K is solvable, then we claim that lev(L/K) ≤ 1. Indeed, here we can choose the tower (16) so that each K_{n+1} is obtained from K_n by adjoining a single radical. Then ed(K_{i+1}/K_i) ≤ 1 for each i, and hence, lev(L/K) ≤ 1, as claimed.

8.2 The resolvent degree of a functor

Let F be a functor from the category of field extensions K/k to the category of sets with a marked element. We will denote the marked element in F(K/k) by 1 and will refer to it as being “split”. We will say that a field extension L/K splits an object α of F if α_K = 1. Here as usual, α_K denotes the image of α under the natural map F(K) → F(L). Let us assume that

for every field K/k and every α ∈ F(K), α can be split by a field extension L/K of finite degree.

This is a strong condition of F; in particular, it implies that F(K) = {1} whenever K is algebraically closed.

I will now define the resolvent degrees rd₁(α) of α ∈ F(K) and rd₀(F) of the functor F satisfying condition (17) by analogy with the definitions of ed₁(α) and ed₀(F) in Section 3. The resolvent degree rd₁(α) is the minimal integer d ≥ 0 such that α is split by a field extension L/K of level d (or equivalently, of level ≤ d). The resolvent degree rd₀(F) is the maximal value of rd₁(α), as K ranges over all fields containing k and α ranges over F(K).

Example 16. Let n ≥ 2 be an integer not divisible by char(k).

Then the functor H²(•, μₙ) satisfies condition (17). I claim that this functor has resolvent degree 1. Indeed, let α ∈ H²(K, μₙ) and let ζ be a primitive nth root of unity in K. By the Merkurjev-Suslin Theorem, over K(ζ), we can write

\[ α = (a_1) \cup (b_1) + (a_2) \cup (b_2) + \ldots + (a_r) \cup (b_r) \]

for some 0 ≠ a_i, b_i ∈ K(ζ). Now L = K(ζ, a_i^{1/n}, \ldots, a_i^{1/n}) splits α. By our construction L is solvable over K. Thus, as we saw in Example 15, lev(L/K) ≤ 1. This shows that rd₀(α) ≤ 1, as claimed. Using the Norm Residue Isomorphism Theorem (formerly known as the Bloch-Kato Conjecture) in place of Merkurjev-Suslin, one shows in the same manner that H²(•, μₙ) has resolvent degree 1 for every d ≥ 1.
The resolvent degrees \( \mathrm{rd}_d(\alpha; p) \) and \( \mathrm{rd}_d(\mathcal{F}; p) \) at a prime \( p \) are defined in the same way as \( \mathrm{ed}_d(\alpha; p) \) and \( \mathrm{ed}_d(\mathcal{F}; p) \). Here \( \mathcal{F} \) is a functor satisfying (17) \( \alpha \in \mathcal{F}(K) \) is an object of \( \mathcal{F} \). That is, \( \mathrm{rd}_d(\alpha; p) \) is the minimal value of \( \mathrm{rd}_d(\alpha_K) \), as \( K' \) ranges over all field extension of \( K \) such that \([K' : K]\) is finite and prime to \( p \), and \( \mathrm{rd}_d(\mathcal{F}; p) \) is the maximal value of \( \mathrm{rd}_d(\alpha; p) \), where \( K \) ranges over all field containing \( k \), and \( \alpha \) ranges over \( \mathcal{F}(K) \). A variant of the argument we used to prove (11) shows that \( \mathrm{rd}_d(\mathcal{F}; p) \leq 1 \) for every base field \( k \), every functor \( \mathcal{F} \) satisfying (17) and every prime \( p \).

8.3 The resolvent degree of an algebraic group

The functor \( \mathcal{F} = H^1(\ast, G) \) whose objects over \( K \) are \( G \)-torsors over \( \text{Spec}(K) \) satisfies condition (17) for every algebraic group \( G \) defined over \( k \). I will write \( \mathrm{rd}_d(G) \) for the resolvent degree of this functor. For simplicity let us assume that \( k = \mathbb{C} \) for the remainder of this section. I will write \( \mathrm{rd} \) in place of \( \mathrm{rd}_d \).

Note that the quantity \( \mathrm{rd}(n) \) we defined in the Introduction can be recovered in this setting as \( \mathrm{rd}(S_n) \); cf. (8). Moreover, for a finite group \( G \) our definition of \( \mathrm{rd}(G) \) coincides with the definition given by Farb and Wolfson in [24].

Recall that for a polynomial \( f(x) \in K[x] \), our definition of \( \mathrm{rd}(f) \) was motivated by wanting to express a root of \( f(x) \) as a composition of algebraic functions in \( \leq d \) variables applied to the coefficients. Equivalently, we wanted to find the smallest \( d \) such that the \( 0 \)-cycle in \( A_k^d \) given by \( f(x) = 0 \) has an \( L \)-point, for some field extension \( L/K \) of level \( \leq d \). If \( G \) is a linear algebraic group and \( T \rightarrow \text{Spec}(K) \) is a \( G \)-torsor, then our more general definition of \( \mathrm{rd}(T) \) retains this flavor. Indeed, \( T \) is an affine variety defined over \( K \), and saying that \( T \) is split by \( L \) is the same as saying that \( T \) has an \( L \)-point.

While little is known about \( \mathrm{rd}(n) = \mathrm{rd}(S_n) \), it is natural to ask what \( \mathrm{rd}(G) \) is for other algebraic groups \( G \). Such questions can be thought of as variants of Hilbert’s 13th Problem. Let us now take a closer look at the case, where \( G \) is linear and connected. The following folklore conjecture is implicit in the work of Tits.

**Conjecture 17.** Let \( G \) be a connected complex linear algebraic group and \( K \) be a field containing \( \mathbb{C} \). Then every \( \alpha \in H^1(K, G) \) is split by some solvable field extension \( L/K \).

Since solvable extensions are of level \( \leq 1 \), this conjecture implies that \( \mathrm{rd}(G) \leq 1 \) for every connected linear algebraic group \( G \). I can prove the following weaker inequality unconditionally [51].

**Theorem 18.** Let \( G \) be a connected complex linear algebraic group. Then \( \mathrm{rd}(G) \leq 7 \).

Note that if we knew that \( \mathrm{rd}(S_n) \leq d \) for every \( n \), we would be able to conclude that \( \text{lev}(L/K) \leq d \) for every field extension \( L/K \) of finite degree. This would, in turn, imply that \( \mathrm{rd}(\mathcal{F}) \leq d \) for every functor \( \mathcal{F} \) satisfying (17). Setting \( \mathcal{F} = H^1(\ast, G) \), we obtain \( \mathrm{rd}(G) \leq d \) for every algebraic group \( G \). In particular, if we were able to show that \( \mathrm{rd}(\mathcal{F}) > 1 \) for some functor \( \mathcal{F} \) satisfying (17), we would be able to conclude that \( \mathrm{rd}(S_n) > 1 \) for some \( n \). This would constitute major progress on Hilbert’s 13th problem. I do not see how to reverse this implication though: an upper bound on \( \mathrm{rd}(G) \) for every connected group \( G \) (such as the inequality \( \mathrm{rd}(G) \leq 7 \) of Theorem 18) does not appear to tell us anything about \( \mathrm{rd}(S_n) \).

However, Conjecture 17 and Theorem 18 make me take more seriously the possibility that \( \mathrm{rd}(S_n) \) may be identically 1 or at least bounded as \( n \rightarrow \infty \).

**Notes**

1. Arnold was a 19 year old undergraduate student in 1957. He later said that all of his numerous subsequent contributions to mathematics were, in one way or another, motivated by Hilbert’s 13th problem; see [2].

2. The term “essential dimension” was coined by Joe Buhler. The term “resolvent degree” was introduced by Richard Brauer in [8].

3. Processi asked about the minimal number of independent parameters required to define a generic division algebra of degree \( n \). In modern terminology, this number is the essential dimension of the projective linear group \( \text{PGL}_n \).

4. Kawamata defined an invariant \( \text{Var}(f) \) of an algebraic fiber space \( f : X \rightarrow S \), which he informally described as “the number of moduli of fibers of \( f \) in the sense of birational geometry”. In modern terminology, \( \text{Var}(f) \) is the essential dimension of \( f \).

5. Note the resemblance of these asymptotic formulas to the classical theorem of Higman and Sims, which assert that the number of finite \( p \)-groups of order \( p^n \) (up to isomorphism) is asymptotically \( p^{2n^2/27+o(n^2)} \). This is not an accident; see [44].

6. For the precise definitions of \( \mathrm{rd}(f) \) and \( \mathrm{rd}(f; p) \), see Section 8.

7. It is not known whether the essential dimension of \( \text{PSL}_2(\mathbb{F}_{11}) \) is 3 or 4.

8. Prokhorov and Shramov [49] proved this theorem assuming the Borisov–Alexeev–Borisov (BAB) conjecture. The BAB conjecture was subsequently proved by Birkar [7].

9. For the current status of Serre’s questions from [57, Section 6], see [46, Section 3].

10. Groups of essential dimension 1 have been classified over an arbitrary field \( k \); see [39] and [19]. Recall that Theorem 9 assumes that \( k = \mathbb{C} \).

11. Other interesting consequences of Conjecture 17 are discussed in [16].

**References**


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