

## Homework set 3 – Solution

**Problem 1.** (i) Let  $x, x' \in X$  and  $y \in E$ . Taking the infimum of  $d(x, y) \leq d(x, x') + d(x', y)$  over  $y \in E$  implies that  $d_E(x) \leq d(x, x') + d_E(x')$ . Combining this inequality with the one obtained by interchanging  $x$  with  $x'$ , we conclude that  $|d_E(x) - d_E(x')| \leq d(x, x')$ , proving the uniform continuity of  $d_E$ .

(ii) Since  $A$  is closed,  $x \in A \Leftrightarrow d_A(x) = 0$ . Since  $\Rightarrow$  is immediate, we prove  $\Leftarrow$ . By definition of the infimum,  $d_A(x) = 0$  implies that there is a sequence  $(x_n)_{n \in \mathbb{N}}$  in  $A$  such that  $d(x, x_n) \rightarrow 0$  as  $n \rightarrow \infty$ . Hence  $x \in \overline{A} = A$ . Now, since  $A, B$  are disjoint,  $d_A(x) + d_B(x) > 0$  for all  $x \in X$ , and therefore  $f$  is continuous by (i). Moreover,  $f(x) = 0$  for all  $x \in A$  and  $f(x) = 1$  for all  $x \in B$  and  $0 \leq f \leq 1$ . In other words,  $f$  provides a slightly weaker separation of  $K = B$  and  $U = X \setminus A$  under weaker assumptions:  $B$  is not compact and  $\text{supp}(f) = \overline{X \setminus A}$ .

**Problem 2.** (i) Let  $\{O_1, \dots, O_N\}$  be a finite open subcover of  $K$  (here we insist with the use of the relative topology on  $K$ ). For any  $1 \leq j \leq N$ , we define  $f_j : K \rightarrow [0, \infty)$  by  $f_j(x) = d_{K \setminus O_j}(x)$  as in Problem 1. Each  $f_j$  is continuous and so is  $f = f_1 \vee \dots \vee f_N$ . For any  $x \in K$ , there is  $1 \leq j_0 \leq N$  such that  $x \in O_{j_0}$ , and hence there is  $\delta_x$  such that  $B_{\delta_x}(x) \cap K \subset O_{j_0}$ . It follows that  $f(x) \geq f_{j_0}(x) > 0$ . By continuity,  $f(K)$  is a compact subset of  $\mathbb{R}$ , and hence  $2r = \min\{f(x) : x \in K\} > 0$ . By the definition of  $f$ , for any  $x \in K$ , there is  $1 \leq i_0 \leq N$  such that  $f_{i_0}(x) > r$ , namely  $B_r(x) \cap K \subset O_{i_0}$ , which is what we had set to prove.

(ii) Apply the above to the cover with one element  $U \cap K$ .

*Remark.* In other words: In a metric space, for any neighbourhood  $U$  of a compact set  $K$ , the distance between  $K$  and  $U^c$  is strictly positive.

**Problem 3.** We first note that

$$|x| - P_{n+1}(x) = (|x| - P_n(x)) \left(1 - \frac{|x| + P_n(x)}{2}\right).$$

Assume that  $|x| \leq 1$ . Then  $0 \leq P_0 \leq |x|$ . Moreover,  $0 \leq P_n(x) \leq |x|$  implies  $0 \leq 1 - \frac{|x| + P_n(x)}{2} \leq 1$  and hence  $0 \leq P_{n+1}(x) \leq |x|$ . It follows that  $0 \leq P_n(x) \leq |x|$  for all  $n \in \mathbb{N}$ . With this,  $P_{n+1}(x) - P_n(x) = (x^2 - (P_n(x))^2)/2 \geq 0$ , so that  $0 \leq P_n(x) \leq P_{n+1}(x) \leq |x|$  for all  $n \in \mathbb{N}$ . Hence,  $(P_n(x))_{n \in \mathbb{N}}$  is convergent for any  $|x| \leq 1$ . The limit  $L(x) = \lim_{n \rightarrow \infty} P_n(x)$  satisfies  $0 = x^2 - L(x)$  and hence  $L(x) = |x|$  since  $L(x) \geq 0$ . The convergence is uniform by Dini's theorem.

*Remark.* With this in hand, the proof of Stone-Weierstrass does not require the classical Weierstrass result.

**Problem 4.** (i) If  $f$  is continuous, then for any  $a \in \mathbb{R}$ , both  $f^{-1}((-\infty, a))$  and  $f^{-1}((a, \infty))$  are open, proving that  $f$  is both l.s.c. and u.s.c. Reciprocally, for any  $a < b$ ,  $f^{-1}((a, b)) = f^{-1}((-\infty, b)) \cap f^{-1}(a, \infty)$ , which is open if  $f$  is both l.s.c. and u.s.c. This proves continuity since  $\{(a, b) : -\infty < a < b < \infty\}$  is a base for the metric topology on  $\mathbb{R}$ . Indeed: let  $\mathcal{B}$  be a base for a topology and assume that  $f^{-1}(B)$  is open for all  $B \in \mathcal{B}$ . Any open set can be written as  $O = \cup_{\alpha \in I} B_\alpha$ , where  $B_\alpha \in \mathcal{B}$  and so  $f^{-1}(O) = \{x : f(x) \in \cup_{\alpha \in I} B_\alpha\} = \cup_{\alpha \in I} f^{-1}(B_\alpha)$  is open.

(ii) If  $O$  is open, then

$$\{x \in S : \chi_O(x) > a\} = \begin{cases} \emptyset & \text{if } a \geq 1 \\ O & \text{if } 0 \leq a < 1 \\ S & \text{if } a < 0 \end{cases}$$

proving that  $\chi_O$  is l.s.c. since all three  $\emptyset, O, S$  are open.

(iii) Let  $C$  be closed, namely  $C = O^c$  where  $O$  is open. Then  $\chi_C(x) = 1 - \chi_O(x)$  proving that  $\chi_C$  is u.s.c. by (ii). Indeed, if  $f$  is l.s.c. then  $-f$  is u.s.c. since  $\{x : f(x) > a\} = \{x : -f(x) < -a\}$ .

(iv) It suffices to note that  $\{x \in S : \sup\{f_\alpha(x) : \alpha \in I\} > a\} = \cup_{\alpha \in I} \{x : f_\alpha(x) > a\}$ . Hence it is open if all  $f_\alpha$  are l.s.c.

**Problem 5.** (i) Let  $\{O_\alpha : \alpha \in I\}$  be an open cover of  $X \times Y$ . For any  $(x, y) \in X \times Y$ , there is an  $\alpha(x, y)$  such that  $(x, y) \in O_{\alpha(x, y)}$ . Since simple products of open sets form a base, there are  $U_{(x, y)} \in \mathcal{T}_X, V_{(x, y)} \in \mathcal{T}_Y$  such that  $(x, y) \in U_{(x, y)} \times V_{(x, y)} \subset O_{\alpha(x, y)}$ . For any fixed  $x \in X$ , the collection  $\{V_{(x, y)} : y \in Y\}$  is an open cover of  $Y$ , from which we extract a finite subcover indexed by  $\{y_{x,1}, \dots, y_{x,n}\}$ . The set  $U_x = \cap_{j=1}^n U_{(x, y_{x,j})}$  is open and contains  $x$ . Hence, the collection  $\{U_x : x \in X\}$  is an open cover of  $X$ , from which we extract a finite subcover indexed by  $\{x_1, \dots, x_m\}$ . It follows that  $\{O_{\alpha(x_i, y_{x_i,j})} : 1 \leq i \leq m, 1 \leq j \leq n\}$  is a finite subcover of  $X \times Y$ .

(ii) Let  $\mathcal{A} = \{\sum_{j=1}^n g_j(x)h_j(y) : n \in \mathbb{N} \text{ and } g_j \in C_{\mathbb{R}}(X), h_j \in C_{\mathbb{R}}(Y) \text{ for all } 1 \leq j \leq n\}$ . Clearly,  $\mathcal{A}$  is an algebra.  $1 \in \mathcal{A}$  since 1 corresponds to  $n = 1, g_1 = h_1 = 1$ . Let  $(x, y) \neq (x', y')$ , without loss  $x \neq x'$ . By Urysohn's lemma applied to  $K = \{x\}$  and  $U = X \setminus \{x'\}$ , there is a function  $g \in C_{\mathbb{R}}(X)$  such that  $g(x) = 1$  and  $g(x') = 0$ .  $g$  is identified with a function in  $\mathcal{A}$  by setting  $n = 1, h = 1$  and hence  $\mathcal{A}$  separates points. By (i),  $X \times Y$  is compact so that  $\mathcal{A}$  is dense in  $C_{\mathbb{R}}(X, Y)$  by Stone-Weierstrass, concluding the proof.