

NONLINEAR FRICTION AND CONTROL

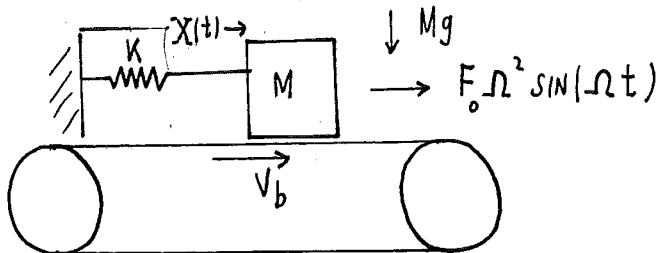
WE CONSIDER THE TRADITIONAL MASS-ON-A-MOVING BELT MODEL. THE NEGATIVE SLOPE IN THE FRICTION-VELOCITY RELATION LEADS TO SELF-EXCITED OSCILLATIONS.

MANY SLIDING MECHANICAL INTERFACES ARE CHARACTERIZED BY SOME FORM OF DRY FRICTION WITH A FORCE-VELOCITY CURVE HAVING A NEGATIVE SLOPE AT LOW VELOCITIES. THEN, FRICTION DECREASES AS THE CONTACTING OBJECT BEGINS TO MOVE, WHEREAS AT HIGHER VELOCITIES THE FRICTION FORCE INCREASES AGAIN. THE NEGATIVE SLOPE CORRESPONDS TO NEGATIVE DAMPING AND MAY CAUSE OSCILLATIONS THAT GROW IN AMPLITUDE. THERE ARE TYPICALLY TWO PHASES OF SUCH OSCILLATIONS:

- (i) A STICK PHASE WITH NO SLIPPAGE BETWEEN PARTS AND FRICTION FORCES LIMITED BY STATIC FRICTION.
- (ii) A SLIP PHASE WITH A SOMEWHAT LOWER FRICTION.

APPLICATIONS: SQUEAKING DOOR HINGES, SQUEALING BRAKES, CHATTER FROM FRICTION ETC.

THE MODEL SYSTEM IS



REF. J. THOMSEN

"USING FAST VIBRATION TO QUENCH
FRICTION-INDUCED OSCILLATION"

J. SOUND AND VIBRATION (1999)
220 (5), PP. 1079-1102.

NEWTON'S LAW YIELDS

$$MX'' = -KX - cX' - F_f + F_0 \Omega^2 \sin(\Omega t)$$

THE FRICTION FORCE F_f HAS THE FORM

$$F_f = +\mu(v_r)Mg$$

WHERE $v_r = dx/dt - v_b$ IS THE RELATIVE VELOCITY BETWEEN THE MASS AND THE BELT. THIS YIELDS AN EQUATION WITH

$$MX'' + cX' + KX + \mu(v_r)Mg = F_0 \Omega^2 \sin(\Omega t)$$

NOW WE NON-DIMENSIONALIZE $\Omega = \Omega_0 \omega_0$ $t = \tau / \omega_0$ $X = x L$.

THIS YIELDS,

$$M L \omega_0^2 \ddot{X} + C L \omega_0 \dot{X} + K L X = F_0 \Omega^2 \sin(\Omega \tau / \omega_0) - \mu(V_r) M g$$

WE DEFINE $\omega_0 = \sqrt{K/M}$ AND $\beta = \frac{C}{2 M \omega_0}$. THIS YIELDS

$$\ddot{X} + 2\beta \dot{X} + X = \frac{F_0 \Omega_0^2}{M L \omega_0^2} \sin(\Omega_0 \tau) - \mu(V_r) \frac{g}{L \omega_0^2}$$

NOW $V_r = V_r / \omega_0 L$. WE CHOOSE $L = g / \omega_0^2$ AND LET $a = \frac{F_0}{M L \omega_0^2}$.

THIS YIELDS THE DIMENSIONLESS SYSTEM

$$\ddot{X} + 2\beta \dot{X} + X + \gamma \mu(V_r) = a \sin(\Omega_0 \tau) \Omega_0^2$$

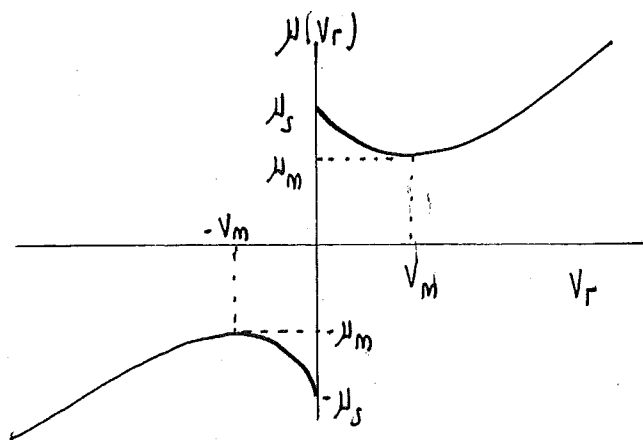
IT IS ASSUMED $\Omega_0 \gg 1$
BUT $a \Omega_0 = O(1)$.

here $V_r = \dot{X} - v_b$ IS THE RELATIVE VELOCITY BETWEEN MASS AND BELT.

THE FRICTION MODEL $\mu(V_r)$ HAS THE FORM

$$\mu(V_r) = \mu_s \operatorname{sign}(V_r) - \frac{3}{2} (\mu_s - \mu_m) \left(\frac{V_r}{V_m} - \frac{1}{3} \left(\frac{V_r}{V_m} \right)^3 \right).$$

IF WE PLOT THIS FUNCTION IT HAS THE FOLLOWING SHAPE



μ_m = MINIMUM OF KINETIC FRICTION

μ_s = COLOUMB FRICTION.

IF $|\mu| \leq \mu_s$ AND $V_r = 0 \rightarrow$

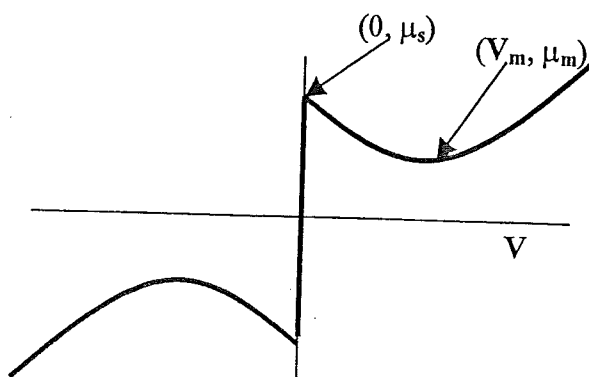
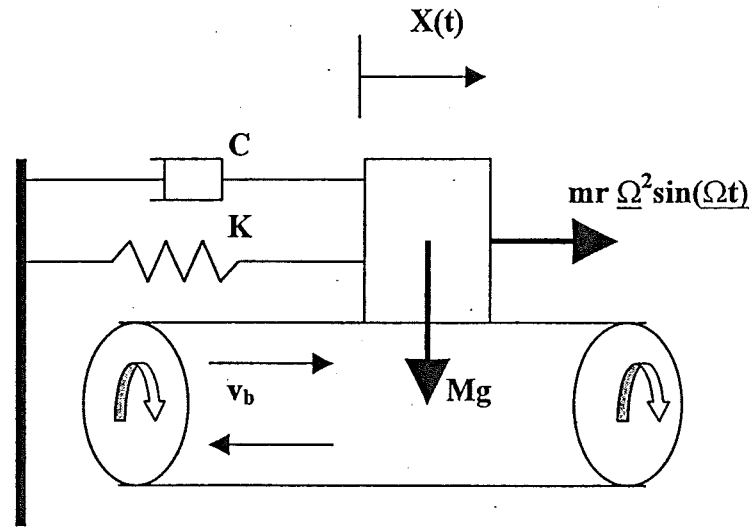
MASS IS AT REST ON MOVING BELT.
(stick-phase)

IF IT STARTS MOVING THEN THE
FRICTION INITIALLY DECREASES
(slip phase).

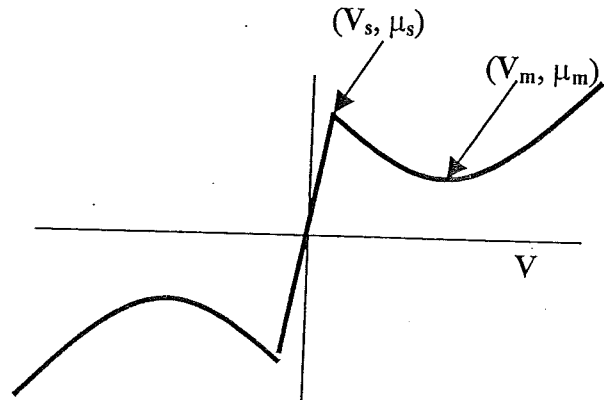
TO AVOID DISCONTINUITIES WE USE A REGULARIZED FRICTION MODEL OF THE FORM

$$\mu_{\delta}(v_r) = \begin{cases} \mu_s v_r / \delta & |v_r| < \delta \\ \text{sgn}(v_r) \left[\mu_s - \frac{3}{2} (\mu_s - \mu_m) \left(\frac{|v_r| - \delta}{v_m - \delta} - \frac{1}{3} \left(\frac{|v_r| - \delta}{v_m - \delta} \right)^3 \right) \right] & |v_r| \geq \delta. \end{cases}$$

WITH $\delta = 10^{-4}$, THE REGULARIZED AND UNREGULARIZED FRICTION MODELS ARE SHOWN BELOW.



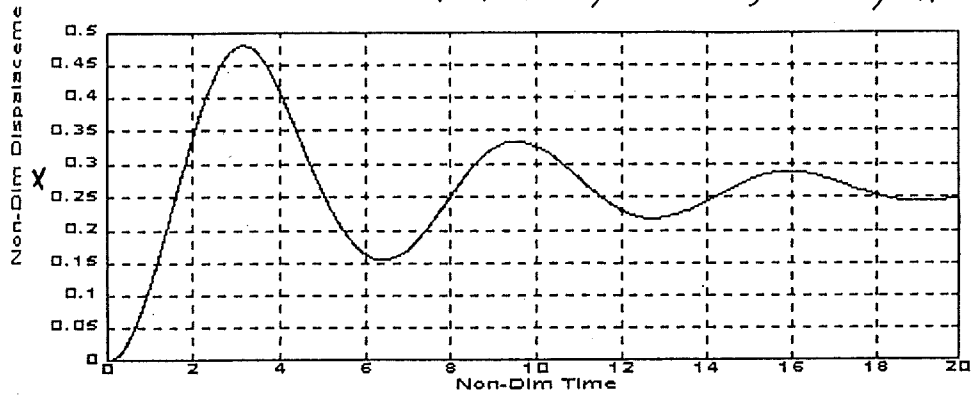
(a)



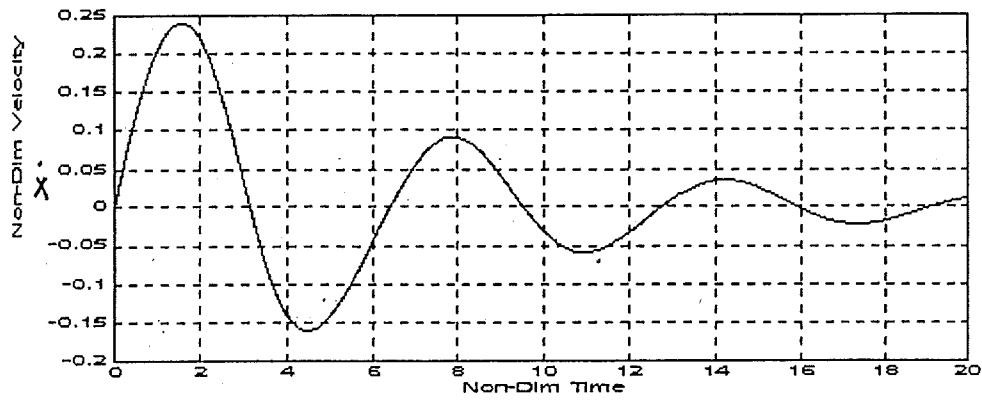
(b)

Figure (5)

$$x(0) = x'(0) = 0, \quad \beta = 0.05, \quad v_m = 0.5, \quad \mu_m = 0.25, \quad \delta = 10^{-4}, \quad \mu_s = 0.4$$



$$v_b = 0.6$$

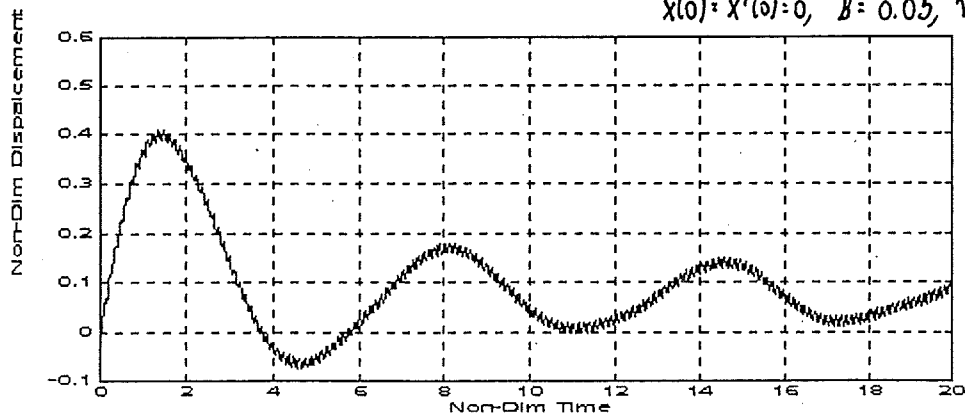


$$v_b = 0.6$$

Figure (6)

$$x(0) = x'(0) = 0, \quad \beta = 0.05, \quad v_m = 0.5, \quad \mu_m = 0.25,$$

$$\delta = 10^{-4}, \quad \mu_s = 0.4$$



$$a = 0.01, \quad \Omega_0 = 50$$

$$v_b = 0.2$$

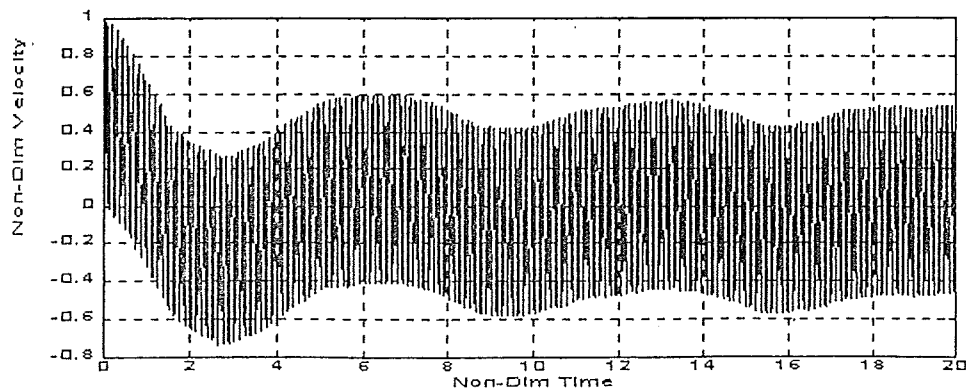
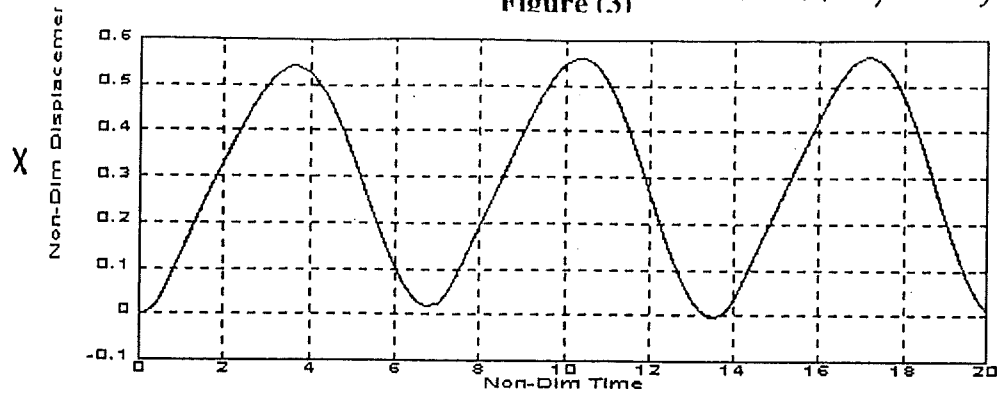


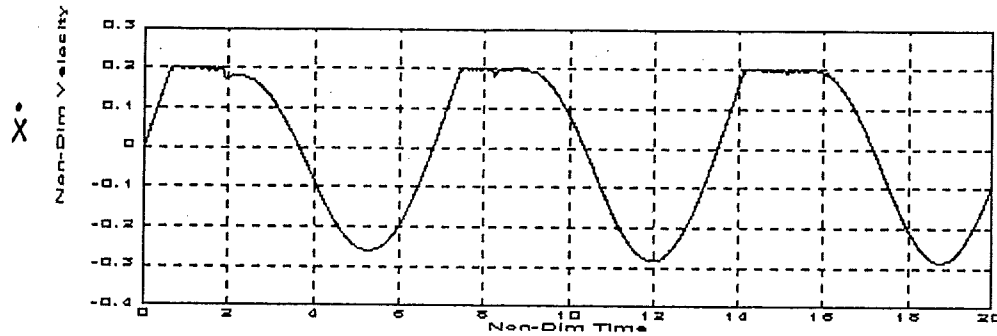
Figure (3)

$$X(0) = X'(0) = 0, \beta = 0.05, \gamma_m = 0.5, \mu_m = 0.25,$$

$$\delta = 10^{-4}, \mu_s = 0.4$$



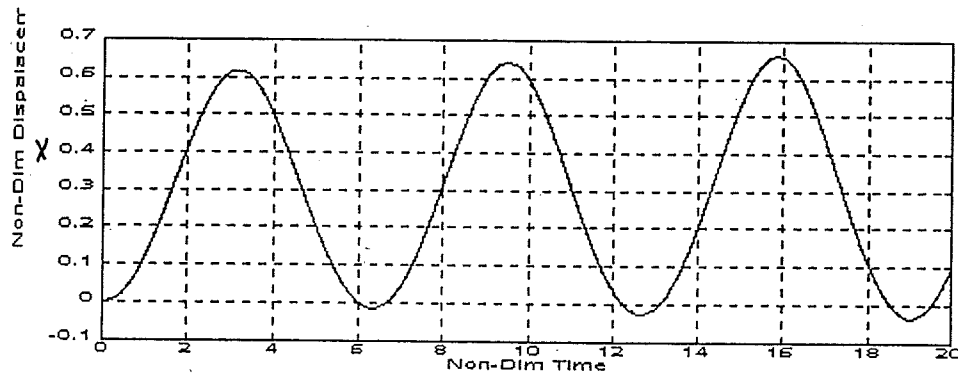
$$\leftarrow v_b = 0.2$$



$$\leftarrow v_b = 0.2$$

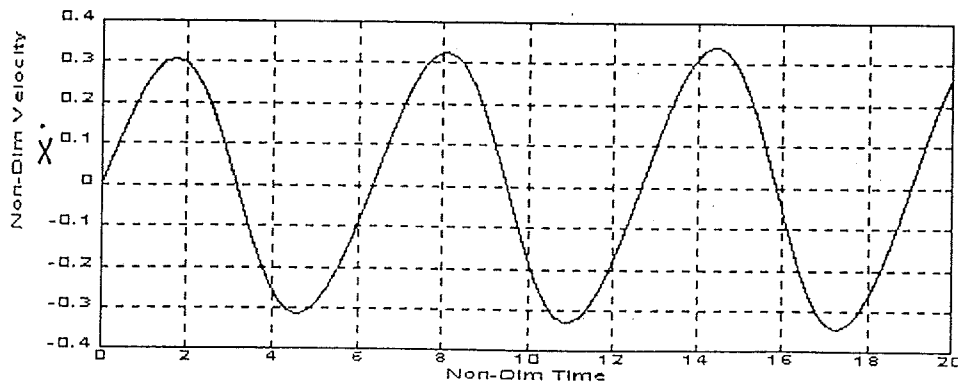
stick-slip
oscillation

Figure (4)



$$\leftarrow v_b = 0.4$$

sliding
oscillation.



$$\leftarrow v_b = 0.4$$

WHEN THERE IS NO EXTERNAL EXCITATION, THERE ARE ESSENTIALLY THREE TYPES OF BEHAVIORS

- (I) AT low belt velocities, there is stick-slip oscillations. (Fig. 3)
- (II) AT intermediate belt velocities there is pure-sliding motion (Fig. 4)
- (III) AT high belt velocities there is pure sliding motion with essentially NO oscillation. The oscillations are damped.

IN FIG. 6 WE SHOW THAT SMALL AMPLITUDE HIGH FREQUENCY OSCILLATIONS CAN ELIMINATE THE STICK-SLIP VIBRATION WHEN $V_b = 0.2$. SELF-EXCITED OSCILLATIONS ON NEGATIVE SLOPE OF FRICTION TERM

ROUGH IDEA OF ANALYSIS FOR $a \ll 1$, $a\Omega_0 = O(1)$, $\Omega_0 \gg 1$.

WE WRITE A IN (31)

$$\ddot{X} + S(X, \dot{X}) = \Omega (a\Omega) \sin(\Omega\tau)$$

WE WRITE $X(\tau) = Z(\tau) + \Omega^{-1} \phi(\tau, \Omega\tau)$ $T = \Omega\tau$ FAST TIME

Z SLOW MOTION, $\Omega\tau$ FAST TIME-SCALE OF EXTERNAL EXCITATION.

WE MAKE $\langle \phi(\tau, T) \rangle = \frac{1}{2\pi} \int_0^{2\pi} \phi(\tau, T) dT = 0$.

SUBSTITUTING: $\dot{X} = \dot{Z} + \Omega^{-1} (\phi_\tau + \Omega \phi_T)$

$$\ddot{X} = \ddot{Z} + \Omega^{-1} (\phi_{\tau\tau} + 2\Omega \phi_{\tau T} + \Omega^2 \phi_{TT})$$

$$\begin{aligned} \text{THEN} \quad \Omega \phi_{TT} + 2 \phi_{\tau T} + \Omega^{-1} \phi_{\tau\tau} + \ddot{Z} + S\left(X, \dot{Z} + \phi_T + \frac{1}{\Omega} \phi_\tau\right) \\ = \Omega (a\Omega) \sin(\Omega\tau) \end{aligned}$$

THEREFORE,

$$\phi_{TT} + \frac{1}{\Omega} (2\phi_{TT} + \ddot{z} + S(z + \Omega^2 \phi, \dot{z} + \phi_T + \frac{1}{\Omega} \phi_T)) + \frac{1}{\Omega^2} \phi_{TT} = (a\Omega) \sin(\Omega\tau).$$

HENCE $\phi_{TT} = a\Omega \sin(\tau) +$

so $\phi = -a\Omega \sin(\tau) + \dots <\phi> = 0.$

THIS GIVES

$$X(\tau) = z(\tau) - a \sin(\tau)$$

so $<f> =$

NOW

$$\ddot{z} + S(z - a \sin(\tau), \dot{z} - a\Omega \cos \tau) = 0$$

WE TAKE THE AVERAGE TO OBTAIN

$$\ddot{z} + \langle S(z - a \sin(\Omega\tau), \dot{z} - a\Omega \cos(\Omega\tau)) \rangle = 0$$

RECALL THAT

$$S(x, \dot{x}) = x + 2B\dot{x} + \gamma^2 \mu(\dot{x} - V_b)$$

THIS WILL YIELD THAT

$$\ddot{z} + 2B\dot{z} + z + \gamma^2 \bar{\mu}(\dot{z} - V_b) = 0$$

where $\bar{\mu}$ is an effective friction characteristic defined by

$$\bar{\mu}(V_r) = \langle \mu(V_r - a\Omega \cos(\Omega\tau)) \rangle.$$

THIS IS AN AUTONOMOUS EQUATION WHICH IS EASIER

TO ANALYSE.

NOTICE MIN OCCUR AT V_m SO THAT

$$V_r - a\Omega \cos(\Omega\tau) = V_m$$

FOR $|V_m| > a\Omega$ EFFECTIVE FRICTION LARGER THAN TRUE FRICTION

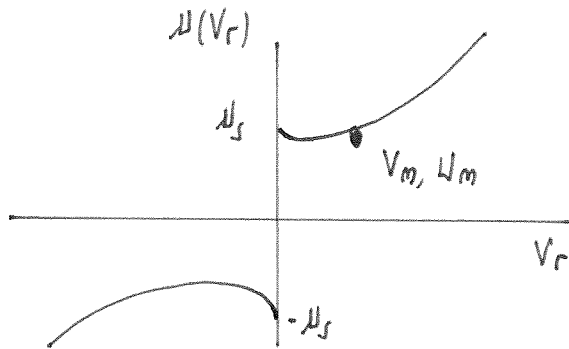
STICK-SLIP OSCILLATIONS

(M1)

IN NON-DIMENSIONAL FORM

$$\ddot{X} + 2B \dot{X} + X + \mu (\dot{X} - V_b) = 0 \quad \text{IF} \quad \dot{X} \neq V_b \quad (\text{slip})$$

$$\ddot{X} = 0, \quad X + 2B V_b \leq \mu_s \quad \text{IF} \quad \dot{X} = V_b \quad (\text{stick})$$



$$\mu(V_r) = \mu_s \operatorname{sign}(V_r) - K_1 V_r + K_3 V_r^3$$

$$V_r = \dot{X} - V_b$$

$$K_1 = \frac{3}{2} \left(\frac{\mu_s - \mu_m}{V_m} \right)$$

$$K_3 = \frac{1}{2} \left(\frac{\mu_s - \mu_m}{V_m^3} \right)$$

- μ_s coefficient dry friction
- V_m velocity corresponding to minimum μ_m of dynamic friction
- $\mu_m < \mu_s$ WITH $K_1 > 0, K_3 > 0$.

stick phase $|\mu| < \mu_s$ WHEN MASS IS ON moving belt at rest
WITH $V_r = 0$.

WHEN MASS STARTS SLIDING THE FRICTION FORCE initially
decreases with increasing velocity $V_r \neq 0$ slip phase.

TYPES OF MOTION

M2

FOR $\ddot{X} + 2B\dot{X} + X + \mu(\dot{X} - V_b) = 0$

WE LOOK FOR AN EQUILIBRIUM SOLUTION WITH $\dot{X} = \ddot{X} = 0$ AND $X = X_e$.

WE OBTAIN

$$X_e = -\mu(-V_b) = \mu_s - \kappa_1 V_b + \kappa_3 V_b^3. \quad \begin{array}{l} \text{Belt moves BUT} \\ \text{MASS stationary} \end{array}$$

NOW WE LET $U = X(t) - X_e$.

THEN FOR SLIP: $\ddot{U} + 2B\dot{U} + U + X_e + \mu(\dot{U} - V_b) = 0$

BUT $\ddot{U} + 2B\dot{U} + U + \mu(V_b) + \mu(\dot{U} - V_b) = 0.$

WE WRITE THIS AS

$$\mu(V_b) = -\mu(-V_b)$$

$$\left\{ \begin{array}{l} \ddot{U} + U + \varepsilon h(\dot{U}) = 0 \quad \text{FOR } \dot{U} \neq V_b \\ \varepsilon h(\dot{U}) = 2B\dot{U} + \mu(\dot{U} - V_b) - \mu(-V_b) \\ \varepsilon h(\dot{U}) = \mu_s(1 + \text{sgn}(\dot{U} - V_b)) + [2B - \kappa_1 + 3\kappa_3 V_b^2]\dot{U} \\ \quad - 3\kappa_3 V_b \dot{U}^2 + \kappa_3 \dot{U}^3. \end{array} \right.$$

THE ASSUMPTIONS ARE THAT

$$2B - \kappa_1 = O(\varepsilon), \quad \kappa_3 = O(\varepsilon), \quad V_b = O(1).$$

i.e. $\mu_s - \mu_m = O(\varepsilon).$

NOW FOR THE STICK-PHASE $X = X_e + U = \mu(V_b) + U.$

SO $X + 2B V_b < \mu_s$ BECOMES $U + 2B V_b + (\mu(V_b) - \mu_s) < 0.$

THIS IMPLIES THAT $\left\{ U + 2B V_b - [\kappa_1 V_b - \kappa_3 V_b^3] < 0 \text{ FOR } \dot{U} = 0 \right.$

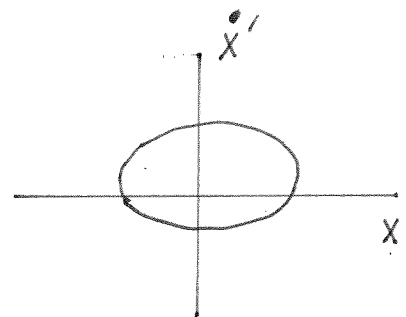
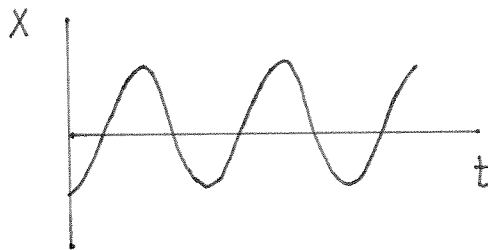
NOTE: 1) $\dot{u} = \ddot{u} = 0$ steady-state sliding $\rightarrow$ MASS IS AT REST WITH THE BELT SLIDING AT CONSTANT VELOCITY v_b BELOW IT.

(M3)

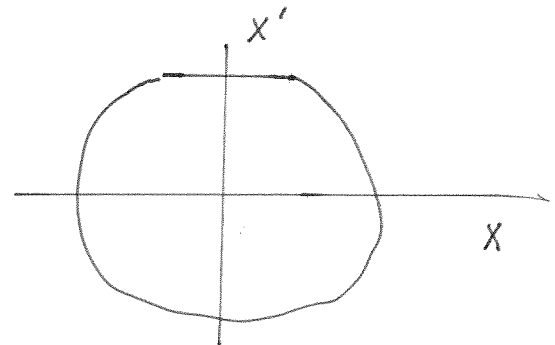
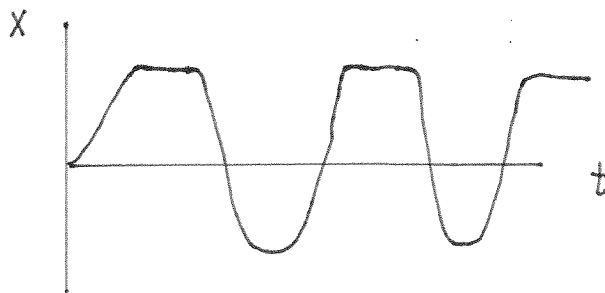
2) negative friction gradient produces negative damping.

IF $\dot{u} = \ddot{u} = 0$ IS UNSTABLE $\rightarrow$ PERIODIC SOLUTIONS ARE POSSIBLE

PURE-SLIP OSCILLATIONS velocity of mass is always smaller than velocity of belt ($\dot{x} < v_b$)



STICK-SLIP OSCILLATIONS MASS OCCASIONALLY STICKS TO THE BELT.



PURE-SLIP OSCILLATIONS WE REQUIRE $\dot{u} < v_b \quad \forall t$

SO THAT DISCONTINUITY IN FRICTION FUNCTION IS NEVER MET OR CROSSED. WE WRITE

$$\varepsilon h(\ddot{u}) = h_1 \ddot{u} + h_2 \ddot{u}^2 + h_3 \ddot{u}^3$$

$$h_1 = 2B - K_1 + 3K_3 v_b^2$$

$$h_2 = -3K_3 v_b^2$$

$$h_3 = K_3$$

THEN
$$\ddot{u} + u + \varepsilon h(\ddot{u}) = 0.$$

WE USE THE METHOD OF MULTIPLE-SCALES AS IN THE NOTES.

(14)

LET $T = t$, $\tau = \varepsilon t$ AND WRITE

$$u = \bar{u}_0(T, \tau) + \varepsilon \bar{u}_1(T, \tau)$$

$$\bar{u}_{0TT} + 2\varepsilon \bar{u}_{0T\tau} + \varepsilon \bar{u}_{1TT} + \bar{u}_0 + \varepsilon \bar{u}_1 + \varepsilon h(\bar{u}_{0T}) + \dots = 0.$$

SO $\bar{u}_{0TT} + \bar{u}_0 = 0$

$$\bar{u}_{1TT} + \bar{u}_1 = -2\bar{u}_{0T\tau} - h(\bar{u}_{0T})$$

SO $\bar{u}_0 = A(\tau) \cos(T + \phi(\tau)).$

THEN $\bar{u}_{1TT} + \bar{u}_1 = 2A' \sin(T + \phi) + 2A\phi' \cos(T + \phi) - h(-A \sin(T + \phi))$

NOW TO SUPPRESS RESONANCE

$$2A' \int_0^{2\pi} \sin^2(T + \phi) d(T + \phi) = \int_0^{2\pi} h(-A \sin(T + \phi)) \sin(T + \phi) d(T + \phi)$$

$$2A\phi' \int_0^{2\pi} \cos^2(T + \phi) d(T + \phi) = \int_0^{2\pi} h(-A \sin(T + \phi)) \cos(T + \phi) d(T + \phi)$$

HENCE $A' = \frac{1}{2\pi} \int_0^{2\pi} h(-A \sin \psi) \sin \psi d\psi$

$$A\phi' = \frac{1}{2\pi} \int_0^{2\pi} h(-A \sin \psi) \cos \psi d\psi$$

$$h(-A \sin \psi) = -Ah_1 \sin \psi + A^2 h_2 \sin^2 \psi + A^3 h_3 \sin^3 \psi.$$

PERFORMING THE CALCULATION WE OBTAIN

M5

$$\dot{A} = -\frac{1}{2} A \left(h_1 + \frac{3}{4} h_3 A^2 \right)$$

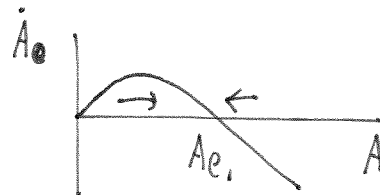
THERE ARE TWO EQUILIBRIUM SOLUTIONS:

$$A_{e_1} = 0 \rightarrow U = 0 \quad (\text{MASS AT REST})$$

$$A_{e_2} = \sqrt{\frac{-4h_1}{3h_3}} \rightarrow \text{MASS MAKE PERIODIC OSCILLATION.}$$

NOTICE: $h_3 = \frac{1}{2} \left(\frac{\mu_s - \mu_m}{v_m^3} \right) > 0$ since $\mu_s > \mu_m$

HENCE IF $h_1 < 0$ THEN



SETTING $h_1 = 0$ GIVE, $2B - K_1 + 3K_3 v_b^2 = 0.$

WITH $K_1 = \frac{3}{2} \left(\frac{\mu_s - \mu_m}{v_m} \right)$ $K_3 = \frac{1}{2} \left(\frac{\mu_s - \mu_m}{v_m^3} \right)$

SOLVING FOR v_b WE OBTAIN THAT $h_1 \leq 0$ WHEN

$$v_b \leq \sqrt{\frac{K_1 - 2B}{3K_3}} = \sqrt{\frac{\frac{3}{2} \left(\frac{\Delta\mu}{v_m} \right) - 2B}{\frac{3}{2} \frac{\Delta\mu}{v_m^3}}} = v_m \left[1 - \frac{4B}{3} \frac{v_m}{\Delta\mu} \right]^{1/2}$$

HENCE $\dot{U} = 0$ IS UNSTABLE WHEN

$$v_b < v_m \left[1 - \frac{4B}{3} \frac{v_m}{(\mu_s - \mu_m)} \right]^{1/2} \equiv v_{b_1}$$

NOTICE:

M6

1) periodic solution if $h_1 < 0$ AND $h_3 > 0$ (always satisfied).

The amplitude is

$$A_e = \sqrt{\frac{-4h_1}{3h_3}} = 2\sqrt{V_{b1}^2 - V_b^2}$$

VALID FOR $V_{b0} < V_b < V_{b1}$

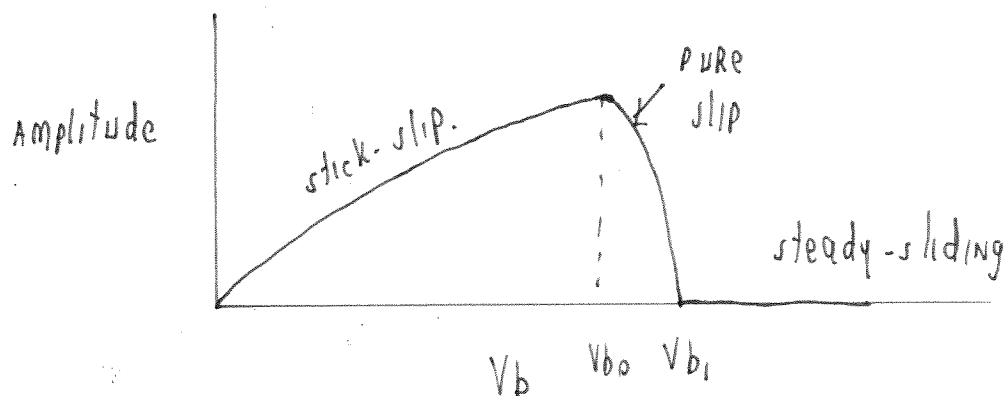
HERE V_{b0} IS WHERE STICK FIRST OCCURS

SET $\max(\ddot{x}) = A_e = V_b$. SOLVING FOR V_{b0} WE

OBTAIN $2\sqrt{V_{b1}^2 - V_{b0}^2} = V_{b0} \rightarrow$

$$V_{b0} = \sqrt{\frac{4}{5}} V_{b1}$$

- pure-slip oscillations when $V_{b0} < V_b < V_{b1}$
- $\ddot{x} = \ddot{x} = 0$ is stable when $V_b > V_{b1}$
- STICK-SLIP OCCURS WHEN $0 < V_b < V_{b0}$.



STICK-SLIP PHASE (STICK $\dot{u} = v_b$)

M7

ASSUME THAT AT $t = 0$ STICK-PHASE ENDS AND SLIP BEGINS.

HENCE,

$$u(0) = -2Bv_b + K_1 v_b - K_3 v_b^3, \quad \dot{u}(0) = v_b \text{ (SLIP STARTS)}$$

$$\ddot{u} + u + \varepsilon h(\dot{u}) = 0.$$

WE SOLVE ON A FINITE TIME-INTERVAL WITH $B, K_1, K_3 = O(\varepsilon)$.

WE WRITE $u = u_0(t) + \varepsilon u_1(t) + \dots$ $0 < t < t_s$,

$$\ddot{u}_0 + u_0 = 0, \quad u_0(0) = 0, \quad \dot{u}_0(0) = v_b$$

$$\ddot{u}_1 + u_1 = -h(\dot{u}_0), \quad u_1(0) = -2B_0 v_b + K_{1,0} v_b - K_{3,0} v_b^3, \\ \dot{u}_1(0) = 0$$

$$B_0 = 2B/\varepsilon, \quad K_{1,0} = K_1/\varepsilon, \quad K_{3,0} = K_3/\varepsilon$$

HENCE $u_0 = v_b \sin t$

$$u_1 = \frac{3}{2} C_3 - \frac{C_1}{2} t \sin t + \left(\frac{55}{32} C_3 - C_1 \right) \cos t - \frac{1}{2} C_3 \cos 2t \\ + \frac{1}{32} C_3 \cos 3t$$

$$C_3 = K_{3,0} v_b^3, \quad C_{1,0} = 2B_0 v_b - K_{1,0} v_b + \frac{15}{4} C_3.$$

$$u = u_0(t) + \varepsilon u_1(t) \quad 0 < t < t_s,$$

NOW STICK-START WHEN

(18)

$$\dot{u}(t_{s,1}) = V_b \quad \text{FOR} \quad t_{s,1} > 0.$$

THIS GIVE $t_{s,1} = 2\pi + O(\varepsilon^{1/2})$

STICK-PHASE

$$\dot{u} = V_b \quad \text{FOR} \quad t_{s,1} < t < T$$

SO $u(t) = u(t_{s,1}) + V_b (t - t_{s,1}).$

THE END OF STICK IS WHEN $u(T) = u(0)$

$$\text{SO} \quad T = t_{s,1} + \frac{[u(0) - u(t_{s,1})]}{V_b}.$$